**IPPC Capacity Development Work Plan and Budget.**

1. At its Fifth Session of the Commission on Phytosanitary Measures (CPM), CPM-5 approved a concept paper on national phytosanitary capacity and an IPPC national phytosanitary capacity building strategy. This global strategy includes six strategic areas and involves stakeholders at national, regional and international level.
2. CPM-5 also agreed to create an Expert Working Group (EWG) to review and refine the phytosanitary capacity development operational plan and assist the Secretariat with developing national phytosanitary capacity. The EWG would also provide, under established terms of reference, recommendations on the future structure and mode of operation of the EWG, including the possibility of forming a subsidiary body on capacity building.
3. The Secretariat facilitated the First Meeting of the EWG in accordance with the terms of reference approved by CPM-5 and based on the guidance received from the Bureau (June 2010) on criteria for selecting participants, including characteristics that those participants should possess. The meeting was held at FAO Headquarters in Rome, Italy, from October 25-29 2010.
4. The EWG acknowledged that the global work plan addressing the IPPC national phytosanitary capacity building strategy (to be used by the IPPC contracting parties, the Secretariat and other organizations) is comprehensive and very useful, as presented at CPM-5.
5. However, the EWG recognised the need to present the work plan in a user-friendly and clear format. Drafting improvements, which need to be addressed before any type of reconsideration, were identified and it was recognised that the global operational work plan is a dynamic activity to be accomplished over many years. The EWG also developed suggestions to modify the CPM 5 workplan presented for adoption and established timeframes and responsibilities in order to present a new version and executive summary.
6. The Second Expert Working Group (EWG) on Phytosanitary Capacity Development took place in Montego Bay, Jamaica, on 23-27 May, 2011. All FAO regions were represented, except Africa, and two observer organizations, the Standards and Trade Development Facility (STDF) and the Inter-American Institute for Cooperation on Agriculture (IICA) participated in the meeting. Under the first Agenda Item: Work Plan and Strategies, a revised version of the document of “Work Plan and Budget” was finalized, with the aim the be presented to the 2011 SPTA and Bureau meetings.
7. As a complementary document, the Secretariat is providing an updated version of the logical framework presented for consideration at CPM-5, and not approved, for consideration (see Appendix 1).
8. Appendix 2 contains the Summary of Capacity Development Work Plans and Budgets for clarificatrion purposes.
9. Appendix 3 contains two different alternative presentations of the work plan and budget organized by output and for a 6 years period.
10. With the view to presenting these data to CPM-7 for possible adoption, the SPTA is requested to:
* consider the workplan and budget presented; and
* make recommendations on the improvement and presentation of the CD workplan and budget.

##

## Appendix 1: Programme Logical Framework

|  |  |  |  |
| --- | --- | --- | --- |
| **Impact/Goal** | **Key Indicator** | **Means of Verification** | **Assumptions / Risk** |
| Cooperation between nations in protecting the world’s cultivated and natural plant resources from the spread and introduction of pests of plants, while minimizing interference with the international movement of goods and people | • Reduction of the absolute number of people suffering from hunger • increased food production• Sustainable management and utilization of natural resources | Global poverty and hunger indices (World Bank, FAOSTAT, etc.) | Global economic crisis continues diverting resources from aid to agricultural productivity in Developing countries |
| **Outcome/Purpose** | **Key Indicators** | **Means of Verification** | **Assumptions / Risk** |
| NPPOs able to effectively and sustainably service the needs of their country in the protection of plants and plant products and the facilitation of trade | 1. Number of IPPC standards implemented per country2. Phytosanitary capacity evolving in response to changing circumstances3. Number of CPs[[1]](#footnote-1) with phytosanitary issues embedded in national phytosanitary policies  | 1. IRSS data; RPPO reports; NPPO reports2. PCE data; NPPO annual reports; RPPO reports; Independent evaluation reports.3. Policy review reports | Global conflicts, natural disasters and compounded effects at the national level by the global economic crisis diverts funds from NPPOs |
| **Outputs** | **Key Indicator** | **Means of Verification** | **Assumptions / Risk** |
| 1. Enhanced national phytosanitary systems planning, management and leadership.  | 1. Application of the PCE tool2. National strategies or action plans approved3. # of CPs with managers trained in management best practices4. Number of emergency pest response plans develop by CPs | 1. PCE data; NPPO annual reports; RPPO reports; Independent evaluation reports.2. IRSS data; RPPO reports; NPPO reports | The IPPC and partners can develop tools, but NPPOs may not be able or have local support to apply them. |
| 2. Capacity of contracting parties to participate in IPPC standard setting improved. | 1. Number of CPs attending CPM and key IPPC meetings2. Level of feedback of CPs after meetings | 1. IRSS data; RPPO reports; REC and other partners’ reports2. IPPC meeting reports; Survey feedback reports | Governments do not allocate funding to ensure participation |
| 3. Contracting parties (and non-contracting parties) are able to implement ISPMs in line with their needs. | 1. Number of ISPMs reported by CPs to be fully implemented2. Number of guidelines, manuals, SOPs, training and advocacy materials being adopted and used by CPs3. CPs budgets reflect the adequacy of country programmes4. Level of global, regional and national investments to improve implementation of ISPMs5. Level of participation and investments in global, regional and national trainings specific to ISPMs6. Level of funding channeled through the IPPC for capacity development | 1. IRSS data; RPPO reports; REC and other partners’ reports2. IPPC reports; Survey feedback reports3. PCE data; NPPO annual reports; Audit reports; Independent evaluation reports.4. IPPC helpdesk data and M&E data.5. IPPC projects and activities database data; 6. Partners’ financial reports | Global conflicts, natural disasters and compounded effects at the national level by the global economic crisis de-prioritizes the importance of the NPPOs and funding is reduced for effective implementation of ISPMs. |
| 4. Coordinated phytosanitary capacity development are addressing priority needs. | 1. Number coordinated global, regional and national projects implemented per year.2. Number of functional coordination mechanisms reported to be implemented by CPs3. Number of inter-organizational (donors, technical assistance providers, RECs etc.) meetings held per year addressing phytosanitary issues at global, regional or national level4. Degree of CP satisfaction on the assistance provided by the IPPC helpdesk | 1. IRSS data; IPPC and Partners’ reports2. Targeted survey feedback reports3. PCE data; NPPO annual reports; Audit reports; Independent evaluation reports.4. IPPC helpdesk data.5. IPPC projects database and activities data;  | Partners disregard the need for coordination due to other priorities or policies and continue to implement capacity building resulting in duplicated work and resources. |
| 5. Capability to provide plant pest information enhanced. | 1. Rate of pest reporting by CPs and RPPOs through the IPPC portal2. Number of functional diagnostic laboratories at regional or national levels reported by CPs or RPPOs3. Rate of change of number pest specimens hosted in national collections4. Number of pest notifications reported through pest early warning systems | 1. IPP data; IPPC and Partners’ reports2. Targeted survey feedback reports3. PCE data; NPPO annual reports; Audit reports; Independent evaluation reports. | NPPOs refuse to notify pests status for fear of reprisals such as trade prohibitions or restrictions  |
| 6. Enhanced capacity to mobilize funds. | 1. Number of inter-organizational (donors, technical assistance providers, RECs etc.) meetings held per year for resource mobilization to address phytosanitary issues at global, regional or national level2. Degree of change over previous years of budgetary support to IPPC.3. Degree of self sustainability of NPPOs of CPs4. Degree of change over previous years of budgetary support to NPPOs. | 1. IRSS data; IPPC and Partners’ reports2. Targeted survey feedback reports3. PCE data; NPPO annual reports; Audit reports; Independent evaluation reports.4. IPPC projects database and activities data; 5. Partners’ financial reports | Reduction in aid for agricultural development or redirection of funds to other emerging priorities. |
| 7. Improved capacity to promote national phytosanitary systems | 1. Number of policies and legislation developed, updated or enforced, at regional and national levels, with phytosanitary issues embedded.2. Number of guidelines, documentation, training and other materials addressing advocacy developed and used at global, regional and national levels.3. Level of participation and investments in global, regional and national trainings specific to policy makers, senior government officials and private sector stakeholders.4. Number of public-private sector partnerships reported by CPs | 1. IRSS data; IPPC and Partners’ reports2. Targeted survey feedback reports3. PCE data; NPPO annual reports; Audit reports; Independent evaluation reports.4. IPPC projects database and activities data; 5. Partners’ financial reports | The fluid dynamics of politics (impact of national elections on the NPPO and within government structures) particularly in developing countries may limit the impact of the programme in the short-term. |
| 8. Capacity development actively monitored, evaluated and lessons learned acted upon. | 1. Degree of uptake of M&E methods by CPs 2. Number and type of reviews conducted by CPs, RPPOs and other Phytosanitary agencies3. Number of excellence awards provided by the IPPC.4. IPPC global capacity development strategy updated every 6 years. | 1. IRSS data; RPPO reports; REC and other partners’ reports2. IPPC reports; Survey feedback reports3. NPPO annual reports; Audit reports; Independent evaluation reports.4. IPPC M&E data.5. IPPC projects and activities database data;  | Country level data may not be shared readily for fear that such information may impact trade opportunities for some countries. |

## APPENDIX 2: Summary of Capacity Development Work Plans and Budgets (figures rounded to the nearest thousand)

|  |  |  |  |
| --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans** | **Estimated total cost $US 000** | **Funding Options** | **Lead Entity:** |
| Strategic Area 1: National PS Planning and Management | 15,080 | National, IPPC Sec, RPPO, FAO-TCP, Donors, others | NPPO, IPPC Sec, RPPO,  |
| Strategic Area 2: Participation in Standard Setting | 4,206 | Donors, NPPO, RPPO, FAO, IPPC Sec | IPPC Sec, NPPO, RPPO, CPM |
| Strategic Area 3: Standards Implementation | 22,083 | Donors, NPPO, RPPO, FAO, IPPC Sec | NPPO, IPPC Sec, RPPO, FAO, Experts,  |
| Strategic Area 4: Communication and Coordination | 1,455 | Donors | IPPC Sec, RPPO, NPPO, CPM |
| Strategic Area 5: Pest Information | 15,075 | Donors | NPPOs, RPPOs, IPPC Sec |
| Strategic Area 6: Resource Mobilisation (Fundraising) | 1,250 | IPPC Sec, RPPO, Trust Funds, Donors, FAO-TCP National end-user of PS services | IPPC, NPPOs, STDF |
| Strategic Area 7: Advocacy | 9,060 | IPPC, FAO, IFAD, WB, STDF, RPPO, NPPO, RECS and other organizations  | FAO, IPPC Sec, Expert, NPPO, RPPO, RECs AND other organizations |
| Strategic Area 8: Monitoring and Evaluation | 3,890 | Donors | IPPC Sec, NPPOs and other institutions |
| **Total Estimated cost *$US 000*** | **72,099** |  |  |

## Appendix 3. Work Plans and Outline Budgets (alternative 1)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans -** Strategic Area 1: National PS Planning and Management |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub-Activities** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST1/O1/A1.1** | 1. Fit-for-purpose tools and processes for phytosanitary systems(PS) planning.  | Identifying and review tools for PS capacity evaluation | IPPC Sec | NPPO, RPPO | IPPC Sec, FAO-TCP, Donors | Donors includes bilateral, multilateral, regional and sub-regional funded projects | One month in year 1. | 20 |
| **ST1/O1/A1.2** | Develop new or revise existing fit for purpose tools | IPPC Sec | NPPO, RPPO | IPPC Sec, RPPO, FAO-TCP, Donors | Other activities are depended on tools being revised or developed | Six months over year 1and 2. | 200 |
| **ST1/01/A1.3** | Development of IPPC core training materials  | IPPC | NPPO, RPPO | IPPC Sec, RPPO, FAO-TCP, Donors |  Other activities are depended on tools being revised or developed | Through out year 3. | 300 |
| **ST1/O2/A2.1** | 2. Critical compentencies available in the national phytosanitary system to undertake national planning, management and provide leadership to the NPPO. | Regional or national policiy discussions including planning management and leadership on the mandate of the NPPO | RPPO | NPPO, IPPC Sec and others | RPPO, National, IPPC Sec, FAO-TCP, Donors, others |  | On-going activity during year 1-6 | 900 |
| **ST1/O2/A2.2** | Training (project management, proposal writing, administrative and management, leadership, staff training programme development ) | NPPO | IPPC Sec, RPPO, STDF | National, IPPC Sec, RPPO, FAO-TCP, Donors, others | National activities based on needs and priorities | On-going activity during year 1-6 | 3500 |
| **ST1/O2/A2.3** | Undertake baseline study on planning and management requirements in the national phytosanitary system including stakeholder engagement | NPPO | RPPO, IPPC Sec.  | National, IPPC Sec, RPPO, FAO-TCP, Donors | National activities based on needs and priorities | Two months in year 1. | 2500 |
| **ST1/O2/A2.4** | Develop mentoring opportunities to support national phytosanitary planning and management | NPPO, | RPPO, IPPC Sec, STDF, others | National, IPPC Sec, RPPO, FAO-TCP, Donors |   | Four months per year in year 5 and 6.  | 160 |
| **ST1/O3/A3.1** | 3. Best practice for national phytosanitary action plans developed.  | Develop national phytosanitary action plans and HR plans and succession planing . | NPPO | RPPO, Otherrs,IPPC Sec. | National, IPPC Sec, RPPO, FAO-TCP, Donors | National activities based on needs and priorities | Three months per year in year 2 and 3.  | 6000 |
| **ST1/O3/A3.2** | Develop national emergency response plans for regulated pests that incorporate regional Emergency response plans, where they exist. | NPPO | RPPO, Others, IPPC Sec. | National, IPPC Sec, RPPO, FAO-TCP, Donors | National activities based on needs and priorities | Four months in year 3 and 4. | 1500 |
|  |  |  |  |  |  |  | **Total cost** | **15080** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans -** Strategic Area 2: Participation in Standard Setting |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub-activity:** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/Comments** | **Estimated Duration** | **Estimated cost $US** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST2/O1/A1.1** | 1.Enhanced regional coordination of inputs into the standard setting process | RPPO coordinate discussion fora/workshops on draft standards, new topics, specifications and CPM preparation | RPPO | IPPC Sec, RECs, Other integration organizations | FAO, Donors, IPPC Sec | 1 month per year over 6 years | One month per year over 6 years. | 5 |
| **ST2/O1/A1.2** | Training RPPOs staff and regional experts on participation in all stages of the standard setting process (e.g. topics, specifications, representation on the SC and in other technical bodes, draft standards) | IPPC Sec | RPPOs, Experts | IPPC Sec, FAO, Donors, RPPOs | 3 months per year over 6 years | Three months per year over 6 years (this cost is supplementary to the regular cost of meeetings) | 6 |
| **ST2/O1/A1.3** | Training RPPOs staff and regional experts to facilitate/ coordinate standard setting meetings | IPPC Sec | RPPOs, Experts, RPPOs | IPPC Sec, FAO, Donors, RPPOs | 2 days per year for 6 years (1 month total). Linked to budgeted workshops that the IPPC holds. This expenditure represents an additional days cost to the normal 5 day meeting | Two days per year for 6 years (1 month total) | 15 |
| **ST2/O2/A2.1** | 2.Enhanced involvement of stakeholders at national level | Hold multi-stakeholder discussion, fora, training, workshops, web on draft ISPMs, new topics, specifications, CPM, etc | NPPO | RPPOs, RECs Other organizations, IPPC Sec, FAO | Donors, NPPO, RPPO, FAO, IPPC Sec | 1 months per year over 6 years | 1 month per year over 6 years. | 5 |
| **ST2/O3/A3. 1** | 3.Quality of contracting parties participation improved | Hold orientation programme for new CPM delegates | IPPC Sec | RPPOs, FAO | Donors, NPPO, RPPO, FAO, IPPC Sec | 1 day per year over 6 years. A meeting of a few hours prior to start of the CPM. | 1 day per year over 6 years. | 10 |
| **ST2/O3/A3.2** | Peer/coaching/mentoring for new members of subsidiary bodies of the IPPC | IPPC Sec | RPPOs, FAO | Donors, NPPO, RPPO, FAO, IPPC Sec | 1 month per year over 6 years. A meeting of a few hours prior to start of the Subsidiary Body's meeting (e.g. Bureau, SPTA, EWG, TPs, SC etc.) | 1 month per year over 6 years. | 10 |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST2/O3/A3.3** |  | Support X participation in EWGs and Technical panels (Max 2 technical standards per year) | IPPC Sec | RPPOs, NPPOs | Donors, NPPO, RPPO, FAO, IPPC Sec | Only done for technical standards for which the members of an EWG or TP need a global view of the topic at hand | 1 month per year over 6 years. | 60 |
| **ST2/O3/A3.4**  | Conduct in depth discussion with all stakeholders, on draft ISPMs |  NPPO |  RPPOs | Donors, NPPO, RPPO, FAO, IPPC Sec | 8 months over 6 years assuming the IPPC produces 5 standards per year | 8 months over 6 years assuming the IPPC produces 5 standards per year  |  |
|   | Review of draft standards at national level | NPPO | RPPO, RECs and other organisations | 700 |
|   | Review of draft standards at regional level | RPPO | NPPO | 3400 |
| **ST2/O3/A3.5** | Engage government to comit the human and financial resources for the NPPO to participate in the standard setting process and formalize its commitments using relevant instruments. | NPPO | RPPO, RECs and other organisations | Donors, NPPO, RPPO, FAO, IPPC Sec | 6 days over 6 years - this activity can be combined with other workshops or conducted independently | 6 days over 6 years. |  |
| **ST2/O3/A3.6** | Monitoring and evaluation | CPM    | NPPOs, RPPOs, IPPC Sec, Donors | Donors, NPPO, RPPO, FAO, IPPC Sec |  |  |  |
|   | Annual reports | One per year over 6 years. | One per year over 6 years. |  |
|   | midterm review | One in the end of year 3. | One in the end of year 3. |  |
|   | external evaluation | One in the end of year 6 | One in the end of year 6 |  |
|  |  |  |  |  |  |  | **Total** | **4206** |

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| --- | --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans -** Strategic Area 3: Standards Implementation |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub-activity** | **Lead Entity:** | **Supported by:** | **Funding Optio ns** | **Notes/****Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST3/01/A1** | Enhanced involvement of stakeholders at national level | Identifying implementation issues associated with adopted and draft standards (Approx 5 per year). | NPPO | RPPOs, RECs Other organizations, IPPC Sec, FAO | Donors, NPPO, RPPO, FAO, IPPC Sec | Ongoing, aprox 1 adopted ISPM and 2 specifications per year.  | 6 man months per year over 6 years. | 360 |
|  | Accompanying draft implementation guidelines with draft standards (Approx 5 per year). | IPPC Sec/Expert | Experts, RPPOs, NPPOs | Donors, NPPO, RPPO, FAO, IPPC Sec | Implementation requirements guideline prepared that goes out with each draft standard sent for country consultation. 12 man months per standard per year. | 12 man months per standard per year. | 30.10.1 |
|  | A. Prepare guideline |
|  | B. Circulate / review guideline |
|  | C. Distribute guideline at regional workshops |
| **ST3/O2/A2**  | Improved understanding of implementation requirements of specific standards | Develop manuals; guidelines; factsheets; capacity needs assessment tools for implementing specific standardsImplementation of 34 stds @ 2011 | NPPO |  NPPO, RPPOs, Donors, IPPC Sec, FAO, Others | NPPO, RPPOs, Donors, IPPC Sec, FAO | 72 months. In total 6 years.Assume materials developed for 6 standards per yearAssume ongoing PCE work in year 1 and 4 additional tools over 5 years thereafter  | 72 months. In total 6 yearsAssume materials developed for 6 standards per yearAssume ongoing PCE work in year 1 and 4 additional tools over 5 years thereafter | 2250 |
|  | Development of new tools for implementation | IPPC/ExpertsRPPONPPO | RPPOs, NPPOs | 1250 |
| **ST3/O2/A2.1**  | Support provided for implementation of priority ISPMs | **Training on implementation of ISPMs** | RPPO |   | NPPO, RPPOs, Donors, IPPC Sec, FAO-TCP | Estimate 4 months per standard (34 stds @ 2011); Assume a target of 40 developing countries requiring assistance over 6 years; TCP valued at 400K per project.9 regional workshopsFor 1 Center of Excellence - development of framework costs approx. 900k | Estimate 4 months per standard (34 stds @ 20119);Assume a target of 40 developing countries requiring assistance over 6 years; TCP valued at 400K per project.9 regional workshopsFor 1 Center of Excellence - development of framework costs approx. 900k |  |
| **National level****Implementation of 34 stds @ 2011** | Experts,NPPO | RPPO | 16000 |
| **Regional level** | RPPO/Experts | IPPC Sec |  |
| **Workshops** |   |   |  |
| **Higher level education** | RPPO | IPPC Sec, NPPO | 540 |
| **Establishment of centers of excellence** |   |   | 900 |
| **ST3/O2/A2.2** | **Establishment of mentoring system for countries to help each other** | RPPO | RECs and other organisations, IPPC Sec, NPPOs | NPPOs, RPPOs, RECS AND OTHER ORGANIZATIONSs | 8 months to develop and the programme is ongoing thereafter | 8 months to develop and the programme is ongoing thereafter | 1200 |
| **ST3/O2/A2.3** | **Mobilize resources for implementation of standards** | NPPO |   | NPPOs, Donors, STDF, RPPOs, RECS AND OTHER ORGANIZATIONSs, IPPC Sec | Continuous programme and draws on all aspects related in the CD strategy | 72 months |  |
| **Develop advocacy materials**  | IPPC Sec/FAO | RPPOs, RECs and other organizations, SPTA | 2 months year 1 | 20 |
|  |  | Advocate and Communicate | NPPO |   |  |  |  | 0 |
| Develop communication materials | IPPC Sec/FAO | RPPOs and other organizations, SPTA | 2 months year 1 | 20 |
| Develop planning tools for specific ISPMs identified by the NPPOs | IPPC Sec/FAO | RPPOs and other organizations | 2 months year 1 | 20 |
| **ST3/O3/A3** | Level of implementation of ISPMs is appropiate for national needs | Develop resource plan | NPPO | RPPOs, RECs and other organizations, SPTA | 2 months year 1 | 20 |
| Staffing | NPPO |   |  |  |
| Donor matching | IPPC Sec | RPPOs, RECs and other organizations |  |  |
| Mentoring (see Activity 2.2) | NPPO | RPPOs, RECs and other organizations, IPPC Sec |  |  |
| Level of implementation of ISPMs is appropriate for national needs | Implement IRSS programme | IPPC Sec |  RPPO, NPPO, RECs and other organizations | STDF, WTO, FAO, IPPC | Year 1 to year 3 is development and implementation of the programme | Year 1 to year 3 is development and implementation of the programmeYear 4-6, second cycle | 3000 |
|  |  |  | **Total** | **22083.2** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans -** Strategic Area 4: Communication and Coordination |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No.** | **Output** | **Sub-activity** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/ Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST4/O1/A1** | Information and resources of international, regional and national bodies identified, managed and coordinated. | Establish baseline | IPPC and RPPOs | NPPOs and RPPOs | Donors |  30 days cumultavive in year one.  | 30 days cumultative in year one. | 255 |
| **ST4/O1/A1.1** | Build ICT system accessible to donors and recipients with limited general access | IPPC | NPPOs and RPPOs | Donors |  One month in year one. | One month in year one. | 20 |
| **ST4/O1/A1.2** |  Develop and conduct periodic survey of capacity development programs to populate the system | IPPC | NPPOs and RPPOs | Donors |  One month per year over 6 years. | One month per year over 6 years. | 30 |
| **ST4/O1/A1.3** | Train users on system operations. | IPPC | NPPOs and RPPOs | Donors |  One month in year one. | One month in year one. | 350 |
| **ST4/O2/A2.1** | Methods and pathways for communication used | Establish “help desk” which is empowered to facilitate and maintain partnering between donors and recipients | IPPC | NPPOs and RPPOs | Donors | Costed in the IRSS program | Development during year 1. | On-going activity year 2-6. |  |
| **ST4/O2/A2.2** | Each successive CPM encourages the use of help desk | CPM | NPPOs and RPPOs | Donors |  One week during CPM each year. | One week during CPM each year. |  |
| **ST4/O3/A3.2** | Mechanism and synergies for coordination used | Contracting parties or RPPOs reports information to IPPC on ongoing or planned projects in member nations | RPPO | NPPOs and RPPOs | Donors |  One month per year over year 2-6. | One month per year over year 2-6. |  |
| **ST4/O4/A4.1** | Competencies for resource mobilization and management identified and supported through the national phytosanitary action plan | National networking mechanism established | NPPO | NPPOs and RPPOs | Donors | Initial development activity may require IPPC intervention for providing description of what is required - regional travel | Year one. | 25 |
| **ST4/O4/A4.2** | National coordination committee (SPS/biosecurity/trade facilitation, etc) established to engage other ministries/departments in cooperative activities that can benefit plant health efforts | NPPO | NPPOs and RPPOs | Donors | Initial development activity may require IPPC intervention for providing description of what is required - regional travel | Year one. | 25 |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST4/O4/A5.1** |  | Develop linkages between and among other regional and other multinational organizations | IPPC/RPPO | NPPOs and RPPOs | Donors | Five donor conferences held on a regional basis at an average cost of $75,000 | Over year 1-6(72 months) | 750 |
|  |  |  |  |  |  |  | **Total** | **1455** |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IPPC Capacity Development Work Plans -** Strategic Area 5: Pest Information |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub- activity** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/ Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST5/O1/A1** | Contracting parties updated regulated pest lists and timely report outbreaks of regulated pests. | Gap analysis to determine requirements for surveillance, diagnostics, reference collections, information systems etc. | IPPC  | IPPC/RPPO/NPPO and other institutions | Donors |   | Three months year 1. | 350 |
| **ST5/O2/A2** | Pest data analysed, especially providing early warning for risk management, market access and risk analysis. | National Planning activities related to surveillance, diagnostics and related activities underpining food security. | NPPOs | IPPC/RPPO/and other institutions | Donors | This is a component frequently integrated into many cooperation projects  | Year 1. |  |
| **ST5/O/A3.1** |  | Enhancement of surveillance skills through training - especially practical application. | NPPO | RPPOs, IPPC Sec, Technical Assistance providers | Donors | This represents a two year cycle which will include a training workshop (train the trainer), followed by actual surveillance activities culminating in a consolidation workshop. The acitivities are governed by seasonality, and as a result the initial training workshops will need to be held over a six month period. Assuming 80 countries reciving 400.000 each over 2 years. | Year 2-3. | 3200 |
| **ST5/O/A3.2** |  | Development of specialized diagnostic support at a regional level. | RPPO | NPPO/IPPC | Donors | Reflected as ongoing over the life of the project.  | On-going activity during year 2-6. | 2000 |
| **ST5/O/A4.1** |  | Enhancement of diagnostic capability through development of laboratory infrastructure. | NPPO | NPPO/RPPO/IPPC | Donors | Needs determined based on gap analysis and midterm reviewAssuming 80 countries reciving 500.000  | Developed in the beginning of year 2 and year 4. | 4000 |
| **ST5/O/A4.2** |  | Enhancement of diagnostic capability through the provision of diagnostic tools. |  | NPPO/RPPO/IPPC | Donors | This will include taxonomic reference material, electronic or otherwise. | Developed in the beginning of year 2 and year 4. | 200 |
| **ST5/O/A4.3** |  | Enhancement of diagnostic capability through development of networking. | IPPC | RPPO/NPPO | Donors | Vision is to establish a network, registers of expertise, etc.  | Developed in the beginning of year 2 and year 4. | 1750 |
| **ST5/O/A4.4** |  | Enhancement of reference collections and related physical facilities and curation protocols. | NPPO | RPPO/IPPC | Donors | Driven by gap analysis, and revisited periodically | On-going activity in the beginning of year 2 and year 4. | 2000 |
| **ST5/O/A4.5** |  | Confirmatory identifications for specimens to assist with reference collections, early warning for risk management, market access and risk analysis | NPPO | RPPO, IPPC | Donors |   | Developed in the beginning of year 2,on-going activity during year 2-6. | 295 |
| **ST5/O/A5.1** |  | Create and deploy information systems at national level. Mechanisms created to provide pest information to NPPOs. | NPPO | IPPC/RPPO | Donors |   | Developed during year 1, on-going activity year 2-6. | 480 |
| **ST5/O/A5.2** |  | Training in compilation of pest information and management of information systems provided to national actors, including NPPOs.  | NPPO | IPPC/RPPO | Donors |   | One month during year 2. | 100 |
| **ST5/O/A5.3.**  |  | Data entry | NPPOs |   | Donors |   | Developed in the end of year 2, on-going activity year 3-6. | 700 |
| **ST5/O/A6.1** | Capability to provide plant pest information enhanced. | Training provided in analysis of pest information, preparation of pest reports and issuing of pest alert. | NPPO/RPPO | IPPC | Donors | Dependent on complexity of tasks to be done | Two months in year 3. |  |
| **ST5/O1/A7** | . | Pest information analysed; reports and early warnings issued. | NPPOs | IPPC/RPPO/NPPO | Donors |   | On-going activity from end of year 3-year 6. |  |
| **ST5/O/A8** |  | Embed IPPC related material in national tertiary and vocational curricula | NPPOs | IPPC/RPPO | Donors |   | Developed in the end of year 3, on-going activity until year 6. |  |
|  |  |  |  |  |  |  | **Total** | **15075** |

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| **IPPC Capacity Development Work Plans -** Strategic Area 6: Resource Mobilisation (Fundraising) |  | **Review** | **Development Activity** | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub- activity** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/ Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST6/O1/A1.1** | Enhanced capacity to engage donors at all levels | Donor coordination meetings at all levels | IPPC Sec | NPPO, RPPO | IPPC Sec, Donors |  | On-going activity from year 2-6. | 500 |
|  |   |   |   |   |   |  |  |
| **ST6/O1/A1.2** | Coordinate and maximise the effectiveness of the funds available from various sources. | STDF, IPPC Sec | NPPO, RPPO, REC’s, Donors | IPPC Sec, RPPO, Trust Funds, Donors |   | On-going activity from year 2-6. | 100 |
|  |   |   |   |   |   |  |  |
| **ST6/O1/A1.3** | Develop guidelines to be used by NPPOs for engaging donors | IPPC Sec | NPPO, RPPO | IPPC Sec, RPPO, Donors |   | Developed in the beginning of year 3, | 60 |
|  |   |   |   |   |   |  |  |
| **ST6/O1/A1.4** | Develop recommendations to be used by donors for granting funding support | IPPC Sec | NPPO, RPPO | National, IPPC Sec, RPPO, Donors |   | Developed in the beginning of year 3, | 60 |
|  |   |   |   |   |   |  |  |
| **ST6/O1/A1.5** | Hire a full-time fundraiser in the IPPC Secretariat | IPPC Sec | NPPO, RPPO, FAO and others | Trust Funds, IPPC Sec, Donors |  Staff resource shared with other areas of the IPPC | On-going activity from year 2-6. | 230 |
|  |   |   |   |   |   |  |  |
| **ST6/O1/A 1.6** | IPPC facilitates meeting with donors (e.g. side meetings at the CPM) | IPPC Sec. | NPPO, RPPO | Trust Fund, IPPC Sec, Donors |   | On-going activity from year 2-6. | 300 |
|  |   |   |   |   |   |  |  |
| **ST6/O2/A 2.1** | Enhanced capacity to raise funds from national sources. | Undertake national baseline analysis and determine level of funds required. | NPPO | IPPC Sec, RPPO | National, IPPC Sec, RPPO, FAO-TCP, Donors | National activities will be based on need and cost is considered under ST1 | Two months during year 1. |  |
| **ST6/O2/A2.2** | Develop a mechanism to ensure sustainability of the operations of an NPPO, including cost sharing /cost-recovery/user-pay models | NPPO | IPPC Sec, RPPO, National stakeholders | National, IPPC Sec, RPPO, National end-user of PS services,Donors | National activities will be based on need and priority and cost is considered under ST1 | On-going activity from year 1- year 6. |  |
|  |   |   |   |   |   |  |  |
| **ST6/O2/A2.3** | NPPO management develops and pursues appropriate funding level through the national budgetary processes. | NPPO | National stakeholders | IPPC Sec, RPPO, National end-user of PS services,Donors | National activities will be based on need and cost is considered under ST1 | On-going activity from year 1- year 6. |  |
|  |  |   |   |   |   |   | **Total** | **1250** |

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| **IPPC Capacity Development Work Plans -** Strategic Area 7: Advocacy |  | **Review** | Development Activity | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub- activity** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/ Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST7/O1/A1.1** | Enhanced involvement of the NPPO in formulating relevant national policies | Develop training materials; deliver training; evaluate training impact on policy | Multinational organizations  | FAO. IPPC Sec., RPPO/RECs and other organizations | IFAD, FAO, WB, NPPO | 6 months | 6 months. Developed in the end of year 1-beginning of year 2. | 1200 |
| **ST7/O1/A1.2** | National and regional mentoring | NPPO/RPPO | IPPC Sec | NPPO/RPPO | 72 months; ongoing, Costing only staff required by IPPC Sec and RPPO | On-going activity from year 1-6. | 80 |
| **ST7/O1/A1.3** | Conduct study of policy documents for possible impact on the phytosanitary system | RPPO/RECs and other organizations | FAO | RPPO, RECs and other organizations, IFAD, FAO, WB, NPPO | 3 months | Developed during 3 months in year 1.  | 60 |
| **ST7/O2/A2.2** | Enhanced NPPO capacity to promote their own capacity development needs | Develop guidelines for phytosanitary capacity building based on Paris Principles | IPPC Sec | FAO, NPPOs, RPPOs | STDF, FAO, WB | 1 month | Developed during month year 1. | 60 |
| **ST7/O3/A3.1** | NPPOs have better capacity to develop and implement communication/advocacy strategies | Develop training materials | IPPC Sec | FAO | FAO, WB, IFAD, | 6 months; Assuming 20 countries per year | 6 months, in the end of year 1-beginning of year 2. | 160 |
|  | Deliver training | RPPOs, RECs and other organizations | Expert/NPPO/IPPC Sec |  |  | 6 months, in the end of year 1-beginning of year 2. | 420 |
|  | Evaluate training impact | NPPO | Expert |  |  | 6 months, in the end of year 1-beginning of year 2. | 720 |
| **ST7/O3/A3.2** |  | Enhance communication skills of NPPO managers to convince senior officials of the government, includiong policy makers | NPPO | RPPO/RECs and other organizations /FAO | NPPO, FAO, WB, RPPO, RECs and other organizations, | 2 months per year; assume 20 countries conduct 2 national workshops per year | 2 months per year; assume 20 countries conduct 2 national workshops per year | 960 |
| **ST7/O4/A4.1** | Enhanced capacity to coordinate national stakeholders | Engage industry and other private sector stakeholders and NGOs | NPPO | RPPO/RECS and other organizations | Private Sector, NPPO, RPPO, RECS and other organizations | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 960 |
| **ST7/O4/A4.2** | Formalize regular linkages – bridge building with customs, immigration, trade groups and private sector | NPPO | RECs and other organizations | Private Sector, NPPO, RECs and other organizations | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 960 |
| **ST7/O4/A4.3** | Encourage public private partnership with users of the phytosanitary service | NPPO | RECs and other organizations | Private Sector, NPPO, RECs and other organizations | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 3 months over 6 years; assume 20 countries conduct 2 national workshops per year | 960 |
| **ST7/O4/A4.4** | Develop and promote case studies of private sector/public sector collaboration to achieve phytosanitary / bio security / market access objectives | STDF, IPPC Sec and others | RECs and other organizations, RPPO, FAO, IPPC Sec | NPPO, FAO, WB, RPPO, RECs and other organizations, STDF | 24 months at year 5 and 6; Assume a sample of 120 countries over 6 years = 12 country study. | 24 months at year 5 and 6; Assume a sample of 120 countries over 6 years = 12 country study. | 500 |
| **ST7/O5/A5.1** | Enhanced capacity of regional bodies to influence, assist, and promote national policy | Create fora for interchange of experiences and skills on phytosanitary advocacy among regional organizations | RPPO/IPPC Sec | FAO, RECs and other organizations | STDF, NPPO, WB, RECs and other organizations, RPPO | 2 meetings per year; 3 months total | 2 meetings per year; 3 months total | 720 |
| **ST7/O5/A5.2** | Utilize other international fora (e.g. APEC) to advocate for national phytosanitary systems | RPPO/RECS AND OTHER ORGANIZATIONSs | IPPC Sec | STDF, NPPO, WB, RECs and other organizations, RPPO | 1 Meeting every quarter; 4 per year; 6 months total; Assuming 10 delegates from 9 RPPOs and the IPPC Sec attending 4 meetings per year | 1 Meeting every quarter; 4 per year; 6 months total; Assuming 10 delegates from 9 RPPOs and the IPPC Sec attending 4 meetings per year | 1200 |
| **ST7/O5/A5.3** | Conduct baseline study of RPPO relevance | IPPC Sec | NPPO, RECs and other organizations | FAO, NPPO, RECs and other organizations | 3 months | 3 months, review year 1.  | 60 |
| **ST7/O6/A6.1** | Enhanced the capacity of contracting parties to generate, access and retrieve data and information on advocacy | Develop tools for evidence based advocacy (economic analysis, cost/benefit, etc)  | STDF, FAO  | NPPOs | RPPO, RECs and other organizations, IFAD, FAO, WB, NPPO | Ongoing |  |  |
| **ST7/O6/A6.2** | Review of current phytosanitary advocacy and communication documentation | NPPO, IPPC Sec, Other organizations | RPPOs, RECs and other organizations | IPPC, FAO, WB, STDF, NPPO, RECs and other organizations, RPPO | 3 months | 3 months, developed in year1. | 60 |
|  |  |  |  |  |  |  | **Total** | **9060** |

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| **IPPC Capacity Development Work Plans -** Strategic Area 8: Monitoring and Evaluation |  | **Review** | Development Activity | **Ongoing Activity** |

| **Activity No:** | **Output** | **Sub- activity** | **Lead Entity:** | **Supported by:** | **Funding Options** | **Notes/ Comments** | **Estimated Duration** | **Estimated cost $US 000** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST8/O1/A1.1** | Monitoring and evaluation tools developed and used throughout the implementation of the global phytosanitary strategy at all levels. | Identify existence and use of M&E tools by contracting parties and others. | IPPC Sec | NPPOs | Donors |   | Developed in one month year 1.  | 60 |
| **ST8/O1/A1.2** | M&E tools developed or adapted,  | IPPC Sec | NPPOs and other institutions | Donors |   | Developed in two months year 1.  | 80 |
| Develop and populate a depository tool. | IPPC Sec | NPPOs and other institutions | Donors |  |  | 100 |
| **ST8/O1/A1.3** | Training in use of M&E tools (including use of the depository)  | IPPC Sec |   | Donors |   | Developed in one month in the end of year 1, On-going activity one month year 2. | 400 |
| **ST8/O1/A1.4** |
| **ST8/O1/A1.5**  | IPPC secretariat (and others) promote use of M&E and depository tools. | IPPC Sec, NPPOs and other instiututions |   | Donors |   | Development in the middle of first year; |  |
| On-going activity in one month in year 2. |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ST8/O1/A1.7** |  | Adjust M&E tool when necessary | IPPC Sec, NPPOs |   | Donors, Interested NGOs |   | Ongoing activity from year 2 until year 6. |  |
| **ST8/O2/A2.1** | Periodic review and assessment being conducted | Partner with leading institutions to conduct reviews and assessments. | IPPC Sec |   | Donors |   | Development in the beginning of the first year,  | 3000 |
| On-going activity until year 6. |
| **ST8/O3/A3** | Continual process of improvement (adaptive management) | Share review results as appropriate and implement corrective measures. | IPPC |   | Donors |  Ongoing | Initially development in year 4. | 250 |
| On-going activity until year 6. |
| **ST8/O4/A4** | Mechanisms for recognition of good practices performed by countries  | Criteria for awarding an IPPC recognition for excellence. | IPPC |   | Donors |   | Development in year 2. |  |
| On-going activity until year 6. |
| **ST8/O5/A5** | The global strategy updated every 6 years  | Mid term and final reviews conducted for activities in each ST | IPPC Sec, NPPOs | RPPOs | Donors |  | Updated every 6 year. |  |
|  |
|  |  |  |  |  |  |  | **Total** | **3890** |

## Appendix 3: Work Plans and Outline Budgets (alternative 2)

### **Workplan: Output 1- Enhanced national phytosanitary systems planning, management and leadership.**

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| Output 1: Enhanced national phytosanitary systems planning, management and leadership. |
| **Activity** | **Sub-Activities**  | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Fit-for-purpose tools and processes for phytosanitary systems(PS) planning.  | Identifying and review tools for PS capacity evaluation | 1 month |  |  |  |  |  | 20 |
| Develop new or revise existing fit for purpose tools | 6 months |  |  |  |  | 200 |
| Development of IPPC core training materials  | 36 months |  |  |  | 300 |
| 2. Critical competencies available in the national phytosanitary system to undertake national planning, management and provide leadership to the NPPO. | Regional or national policy discussions including planning management and leadership on the mandate of the NPPO | On-going activity | 900 |
| Training (project management, proposal writing, administrative and management, leadership, staff training programme development ) | On-going activity | 3500 |
| Undertake baseline study on planning and management requirements in the national phytosanitary system including stakeholder engagement | 2 months |  |  |  |  |  | 2500 |
| Develop mentoring opportunities to support national phytosanitary planning and management |  |  |  |  | 4 months | 4 months | 160 |
| 3. Best practice for national phytosanitary action plans developed.  | Develop national phytosanitary action plans and HR plans and succession planning . |  | 3 months | 3 months |  |  |  | 6000 |
| Develop national emergency response plans for regulated pests that incorporate regional Emergency response plans, where they exist. |  |  | 4 months | 4 months |  |  | 1500 |
| **Total** | **15080** |

### **Workplan: Output 2 - Capacity of contracting parties to participate in IPPC standard setting improved.**

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| **Output 2:** Capacity of contracting parties to participate in IPPC standard setting improved. |
| **Activity** | **Sub-activity:** | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1.Enhanced regional coordination of inputs into the standard setting process | RPPO coordinate discussion fora/workshops on draft standards, new topics, specifications and CPM preparation | 1 month | 1 month | 1 month | 1 month | 1 month | 1 month | 5 |
| Training RPPOs staff and regional experts on participation in all stages of the standard setting process (e.g. topics, specifications, representation on the SC and in other technical bodes, draft standards) | 3 months | 3 months | 3 months | 3 months | 3 months | 3 months | 6 |
| Training RPPOs staff and regional experts to facilitate/ coordinate standard setting meetings | 2 days | 2 days | 2 days | 2 days | 2 days | 2 days | 15 |
| 2.Enhanced involvement of stakeholders at national level | Hold multi-stakeholder discussion, fora, training, workshops, web on draft ISPMs, new topics, specifications, CPM, etc | 1 month | 1 month | 1 month | 1 month | 1 month | 5 |
| 3.Quality of contracting parties participation improved | Hold orientation programme for new CPM delegates | 1 day | 1 day | 1 day | 1 day | 1 day | 10 |
| Peer/coaching/mentoring for new members of subsidiary bodies of the IPPC | 1 month | 1 month | 1 month | 1 month | 1 month | 10 |
| Support 4 developing countries participation in EWGs and Technical panels (Max 2 technical standards per year) | 1 month | 1 month | 1 month | 1 month | 1 month | 60 |
| Conduct in depth discussion with all stakeholders, on draft ISPMs (assume the IPPC produces 5 standards per year) | 8 months |  |
| Review of draft standards at national level (assume the IPPC produces 5 standards per year) | 8 months | 700 |
| Review of draft standards at regional level (assume the IPPC produces 5 standards per year) | 8 months | 3400 |
| Engage government to commit the human and financial resources for the NPPO to participate in the standard setting process and formalize its commitments using relevant instruments. | 1 day | 1 day | 1 day | 1 day | 1 day |  |
| **Total** | **4206** |

### **Workplan: Output 3 - Contracting parties (and non-contracting parties) are able to implement ISPMs in line with their needs.**

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| **Output 3:** Contracting parties (and non-contracting parties) are able to implement ISPMs in line with their needs. |
| **Activity** | **Sub-activity** | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000**  |
| 1. Enhanced involvement of stakeholders at national level | Identifying implementation issues associated with adopted and draft standards (Approx 5 per year). | 6 months | 6 months | 6 months | 6 months | 6 months | 6 months | 360 |
| Accompanying draft implementation guidelines with draft standards (Approx 5 per year).A. Prepare guideline; B. Circulate / review guideline; C. Distribute guideline at regional workshops | 12 months | 12 months | 12 months | 12 months | 12 months | 12 months | 3.2 |
| 2. Improved understanding of implementation requirements of specific standards | Develop manuals; guidelines; factsheets; capacity needs assessment tools for implementing specific standards | Ongoing activity | 2250 |
| Implementation support for 6 standards per year | Ongoing activity |  |
| Development of new tools for implementation | Ongoing activity | 1250 |
| 3. Support provided for implementation of priority ISPMs | Training on implementation of ISPMs | Ongoing activity |  |
| National level Implementation of 34 stds @ 2011 (40 countries over 6 years) | Ongoing activity | 16000 |
| Regional level (9 regional workshops/year) | 9 weeks | 9 weeks | 9 weeks | 9 weeks | 9 weeks | 9 weeks |  |
| Workshops on specific ISPMs and training of trainers (minimum 1 per year) | 3 weeks | 3 weeks | 3 weeks | 3 weeks | 3 weeks | 3 weeks |  |
| Higher level education | Ongoing activity | 540 |
| Establishment of centers of phytosanitary excellence  | 36 months | 900 |
| Establishment of mentoring system for countries to help each other | 8 months | Operational and Ongoing | 1200 |
| Mobilize resources for implementation of standards | Ongoing activity |  |
| Develop advocacy materials  | 2 months |  |  |  |  |  | 20 |
| Advocate and Communicate | Ongoing activity | 0 |
| Develop communication materials | 2 months |  |  |  |  |  | 20 |
| Develop planning tools for specific ISPMs identified by the NPPOs | 2 months |  | 2 months |  |  |  | 20 |
| 4. Level of implementation of ISPMs is appropriate for national needs | Develop resource plan | 2 months |  |  |  |  |  | 20 |
| Staffing | 7 months |  |  |  |  |  |  |
| donor matching | Ongoing activity |  |
| mentoring | Ongoing activity |  |
| 5. Level of implementation of ISPMs is appropriate for national needs | Implement IRSS programme | 3 years development and implementation of the programme | 3 years second cycle | 3000 |
| **Total** | 22083.2 |

### **Workplan: Output 4 - Coordinated phytosanitary capacity development are addressing priority needs.**

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| **Output 4:** Coordinated phytosanitary capacity development are addressing priority needs. |
| **Activity** | **Sub-activity**  | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Information and resources of international, regional and national bodies identified, managed and coordinated. | Establish baseline | 30 days |  |  |  |  |  | 255 |
| Build ICT system accessible to donors and recipients with limited general access | 1 month |  |  |  |  |  | 20 |
|  Develop and conduct periodic survey of capacity development programs to populate the system | 1month | 1month | 1month | 1 month | 1month | 1 month | 30 |
| Train users on system operations. | 1month |  |  |  |  |  | 350 |
| 2. Methods and pathways for communication used | Establish “help desk” which is empowered to facilitate and maintain partnering between donors and recipients | 12 months | Operational and Ongoing |  |
| Each successive CPM encourages the use of help desk | 1 week | 1 week | 1 week | 1 week | 1 week | 1 week |  |
| 3. Mechanism and synergies for coordination used | Contracting parties or RPPOs reports information to IPPC on ongoing or planned projects in member nations | 1 month | 1month | 1month | 1month | 1month | 1month |  |
| 4. Competencies for resource mobilization and management identified and supported through the national phytosanitary action plan | National networking mechanism established | 12 months |  |  |  |  |  | 25 |
| National coordination committee ( SPS/biosecurity/trade facilitation , etc) established to engage other ministries/departments in cooperative activities that can benefit plant health efforts | 12 months |  |  |  |  |  | 25 |
| Develop linkages between and among other regional and other multinational organizations | Ongoing activity | 750 |
| TOTAL | **1455** |

### **Workplan: Output 5 - Capability to provide plant pest information enhanced.**

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| **Output 5:** Capability to provide plant pest information enhanced. |
| **Activity** | **Sub- activity**  | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Contracting parties updated regulated pest lists and timely report outbreaks of regulated pests. | Gap analysis to determine requirements for surveillance, diagnostics, reference collections, information systems etc. | 3 months |  |  |  |  |  | 350 |
| 2. Pest data analyzed, especially providing early warning for risk management, market access and risk analysis. | National Planning activities related to surveillance, diagnostics and related activities underpinning food security. | 12 months |  |  |  |  |  |  |
| Enhancement of surveillance skills through training - especially practical application. |  | 12 months | 12 months |  |  |  | 3200 |
| Development of specialized diagnostic support at a regional level. |  | 12 months | 12 months | 12 months | 12 months | 12 months | 2000 |
| Enhancement of diagnostic capability through development of laboratory infrastructure. |  | 12 months | 12 months | 12 months |  |  | 4000 |
| Enhancement of diagnostic capability through the provision of diagnostic tools. |  | 12 months | 12 months | 12 months |  |  | 200 |
| Enhancement of diagnostic capability through development of networking. |  | 12 months | 12 months | 12 months |  |  | 1750 |
| Enhancement of reference collections and related physical facilities and curation protocols. |  | 12 months | 12 months | 12 months |  |  | 2000 |
| Create and deploy information systems at national level. Mechanisms created to provide pest information to NPPOs. | 12 months | Ongoing activity | 480 |
| Training in compilation of pest information and management of information systems provided to national actors, including NPPOs.  |  | 1 month |  |  |  |  | 100 |
| Data entry |  | 12 months | Ongoing activity | 700 |
| 3. Capability to provide plant pest information enhanced. | Training provided in analysis of pest information, preparation of pest reports and issuing of pest alert. |  |  | 2 months |  |  |  |  |
| Pest information analyzed; reports and early warnings issued. |  |  | Ongoing activity |  |
| Embed IPPC related material in national tertiary and vocational curricula |  |  | Ongoing activity |  |
| TOTAL | 15075 |

### **Workplan: Output 6 - Enhanced capacity to mobilize funds.**

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| **Output 6:** Enhanced capacity to mobilize funds. |
| **Activity** | **Sub- activity**  | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Enhance capacity to engage donors at all levels | Donor coordination meetings at all levels |  | Ongoing activity | 500 |
| Coordinate and maximize the effectiveness of the funds available from various sources. |  | Ongoing activity | 100 |
| Develop guidelines to be used by NPPOs for engaging donors |  |  | 6 Months |  |  |  | 60 |
| Develop recommendations to be used by donors for granting funding support |  |  | 6 Months |  |  |  | 60 |
| Hire a full-time fundraiser in the IPPC Secretariat |  | Ongoing activity | 230 |
| IPPC facilitates meeting with donors (e.g. side meetings at the CPM) |  | Ongoing activity | 300 |
| 2. Enhance capacity to raise funds from national sources. | Undertake national baseline analysis and determine level of funds required. | 2 months |  |  |  |  |  |  |
| Develop a mechanism to ensure sustainability of the operations of an NPPO, including cost sharing /cost-recovery/user-pay models | Ongoing activity |  |
| NPPO management develops and pursues appropriate funding level through the national budgetary processes. | Ongoing activity |  |
| **Total** | **1250** |

### **Workplan: Output 7 - Improved capacity to promote national phytosanitary systems**

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| **Output 7:** Improved capacity to promote national phytosanitary systems |
| **Activity** | **Sub- activity**  | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Enhanced involvement of the NPPO in formulating relevant national policies | Develop training materials; deliver training; evaluate training impact on policy | 6 months |  |  |  |  | 1200 |
| National and regional mentoring | Ongoing activity | 80 |
| Conduct study of policy documents for possible impact on the phytosanitary system | 3 months |  |  |  |  |  | 60 |
| 2. Enhanced NPPO capacity to promote their own capacity development needs | Develop guidelines for phytosanitary capacity building based on Paris Principles | 1month |  |  |  |  |  | 60 |
| 3. NPPOs have better capacity to develop and implement communication/advocacy strategies | Develop training materials | 6 months |  |  |  |  | 160 |
| Deliver training | 6 months |  |  |  |  | 420 |
| Evaluate training impact | 6 months |  |  |  |  | 720 |
| Enhance communication skills of NPPO managers to convince senior officials of the government, including policy makers (20 countries conduct 2 workshops per year) | 2 months | 2 months | 2 months | 2 months | 2 months | 2 months | 960 |
| 4. Enhanced capacity to coordinate national stakeholders | Engage industry and other private sector stakeholders and NGOs (20 countries conduct 2 workshops per year) | 3 months | 960 |
| Formalize regular linkages – bridge building with customs, immigration, trade groups and private sector (20 countries conduct 2 workshops per year) | 3 months | 960 |
| Encourage public private partnership with users of the phytosanitary service (20 countries conduct 2 workshops per year) | 3 months | 960 |
| Develop and promote case studies of private sector/public sector collaboration to achieve phytosanitary / bio security / market access objectives (120 countries over 6 years = 12 country study) |  |  |  |  | 24 months | 500 |
| 5. Enhanced capacity of regional bodies to influence, assist, and promote national policy | Create fora for interchange of experiences and skills on phytosanitary advocacy among regional organizations | 2 weeks | 2 weeks | 2 weeks | 2 weeks | 2 weeks | 2 weeks | 720 |
| Utilize other international fora (e.g. APEC) to advocate for national phytosanitary systems (10 delegates from 9 RPPOs + IPPC Sec attending 4 meetings per year) | 4 weeks | 4 weeks | 4 weeks | 4 weeks | 4 weeks | 4 weeks | 1200 |
| Conduct baseline study of RPPO relevance | 3 months |  |  |  |  |  | 60 |
| 6. Enhanced the capacity of contracting parties to generate, access and retrieve data and information on advocacy | Develop tools for evidence based advocacy (economic analysis, cost/benefit, etc)  | 3 months |  |  |  |  |  |  |
| Review of current phytosanitary advocacy and communication documentation | 3 months |  |  |  |  |  | 60 |
| **Total** | **9060** |

### **Workplan: Output 8 - Capacity development actively monitored, evaluated and lessons learned acted upon.**

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| **Output 8:** Capacity development actively monitored, evaluated and lessons learned acted upon. |
| **Activity** | **Sub- activity** | **YR1** | **YR2** | **YR3** | **YR4** | **YR5** | **YR6** | **Estimated cost $US 000** |
| 1. Monitoring and evaluation tools developed and used throughout the implementation of the global phytosanitary strategy at all levels. | Identify existence and use of M&E tools by contracting parties and others. | 1 month |  |  |  |  |  | 60 |
| M&E tools developed or adapted,  | 2 months |  |  |  |  |  | 80 |
| Develop and populate a depository tool. | 1 month |  |  |  |  |  | 100 |
| Training in use of M&E tools (including use of the depository)  |  | 1 month |  |  |  |  | 400 |
| IPPC secretariat (and others) promote use of M&E and depository tools. |  | Ongoing activity |  |
| Adjust M&E tool when necessary |  | Ongoing activity |  |
| 2. Periodic review and assessment being conducted | Partner with leading institutions to conduct reviews and assessments. | 12 months | Ongoing activity | 3000 |
| 3. Continual process of improvement (adaptive management) | Share review results as appropriate and implement corrective measures. |  |  |  | Ongoing activity | 250 |
| 4. Mechanisms for recognition of good practices performed by countries  | Criteria for awarding an IPPC recognition for excellence. | 2 months | Ongoing activity to make annual awards |  |
| 5. The global strategy updated every 6 years  | Midterm and final reviews conducted for activities in each ST |  |  | 3 months |  | 2 months |  |
| **Total** | **3890** |

1. Refers to the Contracting Parties of the International Plant Protection Convention, which, at time of preparation of this document, numbers 179. [↑](#footnote-ref-1)