

Export Certification System

ISPM #7



Elements of an appropriate certification system:

- ☀ **Legal authority**
- ☀ **Management responsibility**
- ☀ **Resources**
- ☀ **Documentation**
- ☀ **Communication**
- ☀ **Review**



Basic Elements of the Phytosanitary Certification Process

Step1. *Ascertain* the relevant phytosanitary requirements of the importing country (including import permits if required)

Step2. *Verifying* that the consignment conforms to those requirements at the time of certification

Step3. *Issuing* a phytosanitary certificate



1. Legal Authority

The NPPO should have the **sole authority** by legislative or administrative means for control and issuance of phytosanitary certificates.

- bear the legal authority for its actions
- implement safeguards against potential problems such as conflict of interest and fraudulent use of certificates



1. Legal Authority (cont'd)

The NPPO has the authority to **prevent the export** of consignments which do not meet an importing country's requirements.



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2. Management Responsibility

- ⊕ Have a management system that ensures that **all requirements** including certification specifications, legislative and administrative requirements are met
- ⊕ Identify **a person or office** responsible for the export certification system
- ⊕ Identify **duties** and lines of communication of all personnel involved in certification



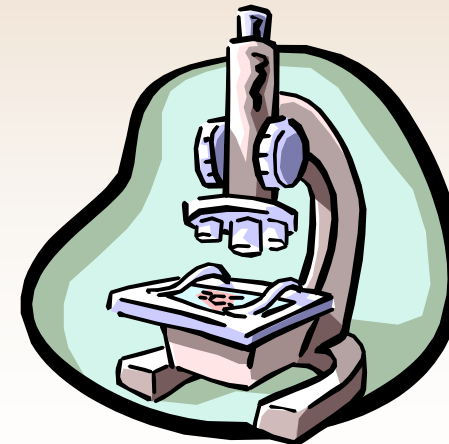
2. Management Responsibility (cont'd)

- ⊕ **Ensure adequate personnel and resources to:**
 - ❖ maintain info as needed on phytosanitary requirements of importing country
 - ❖ produce operational instructions to ensure that importing country phytosanitary requirements are satisfied
 - ❖ inspect and test consignments and associated conveyances



2. Management Responsibility (cont'd)

- ⊕ **Ensure adequate personnel and resources to:**
 - ❖ identify organisms found during inspection
 - ❖ verify the authenticity and integrity of phytosanitary measures
 - ❖ complete and issue of phytosanitary certificates
 - ❖ document storage and retrieval
 - ❖ training



2. Management Responsibility (cont'd)

- ⊕ Ensure adequate personnel and resources to:
 - ❖ disseminate certification-related information
 - ❖ review regularly the effectiveness of its export certification system
 - ❖ develop bilateral protocols if necessary



3. Resources

3.1 Staff of NPPO

should be qualified to carry out the following functions, or have access to personnel with capability to:



- perform inspections of regulated articles
- identify plants and plant products
- detect and identify pests
- perform or supervise phytosanitary treatments required for certification



4. Documentation

4.1 phytosanitary certificate

- ✓ as described in the annex of the IPPC
- ✓ contain sufficient info to clearly identify the consignment
- ✓ validity of limited duration



UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE PHYTOSANITARY CERTIFICATE TO: THE PLANT PROTECTION ORGANIZATION(S) OF SAMPLE	FOR OFFICIAL USE ONLY	
	PLACE OF ISSUE	SAMPLE
	NO:	FPC XXXXXXX
	DATE INSPECTED	



CERTIFICATION

This is to certify that the plants, plant product or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests, specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party including those for regulated non-quarantine pests.

DISINFESTATION AND/OR DISINFECTION TREATMENT

1. DATE	2. TREATMENT
3. CHEMICAL (active ingredient)	4. DURATION AND TEMPERATURE
5. CONCENTRATION	6. ADDITIONAL INFORMATION

DESCRIPTION OF THE CONSIGNMENT

7. NAME AND ADDRESS OF THE EXPORTER	8. DECLARED NAME AND ADDRESS OF THE CONSIGNEE
9. NAME OF PRODUCE AND QUANTITY DECLARED SAMPLE	10. BOTANICAL NAME OF PLANTS SAMPLE
11. NUMBER AND DESCRIPTION OF PACKAGES SAMPLE	12. DISTINGUISHING MARKS SAMPLE
13. PLACE OF ORIGIN SAMPLE	14. DECLARED MEANS OF CONVEYANCE SAMPLE
	15. DECLARED POINT OF ENTRY



WARNING: Any alteration, forgery, or unauthorized use of this phytosanitary certificate is subject to civil penalties of up to \$250,000 (7 U.S.C. Section 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C. Section 1001).

ADDITIONAL DECLARATION

SAMPLE	SAMPLE
16. DATE ISSUED	17. NAME OF AUTHORIZED OFFICER (Type or Print)
	18. SIGNATURE OF AUTHORIZED OFFICER

No liability shall attach to the United States Department of Agriculture or to any officer or representative of the Department with respect to this certificate.

PC of USA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE PHYTOSANITARY CERTIFICATE FOR REEXPORT		FOR OFFICIAL USE ONLY		
		PLACE OF ISSUE	SAMPLE	
TO: THE PLANT PROTECTION ORGANIZATION(S) OF		NO	FPC XXXXXXXX -R	
CERTIFICATION				
This is to certify that the plants or plant products described below were imported into the United States from (Country of origin) _____ covered by Phytosanitary Certificate _____ of which is attached to this certificate. That they are <input type="checkbox"/> packed <input type="checkbox"/> repacked <input type="checkbox"/> in original <input type="checkbox"/> original <input type="checkbox"/> certified true copy based on the <input type="checkbox"/> original Phytosanitary Certificate <input type="checkbox"/> and additional inspection, they are considered to conform with the current phytosanitary regulations of the importing country, and that during storage in the United States, the consignment has not been subjected to the risk of infestation or infection.				
DISINFESTATION AND/OR DISINFECTION TREATMENT				
1. DATE	2. TREATMENT			
3. CHEMICAL (active ingredient)	4. DURATION AND TEMPERATURE			
5. CONCENTRATION	6. ADDITIONAL INFORMATION			
DESCRIPTION OF THE CONSIGNMENT				
7. NAME AND ADDRESS OF THE EXPORTER		8. DECLARED NAME AND ADDRESS OF THE CONSIGNEE		
SAMPLE		SAMPLE		
9. NAME OF PRODUCE AND QUANTITY DECLARED		10. BOTANICAL NAME OF PLANTS		
SAMPLE		SAMPLE		
11. NUMBER AND DESCRIPTION OF PACKAGES		12. DISTINGUISHING MARKS		
SAMPLE		SAMPLE		
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ADDITIONAL DECLARATION				
SAMPLE		SAMPLE		
				
16. DATE ISSUED	17. NAME OF AUTHORIZED OFFICER (Type or Print)		18. SIGNATURE OF AUTHORIZED OFFICER	
	SAMPLE			
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RC of
USA

4. Documentation

4.2 Procedures

Instructions relating to PC:

- ☼ control over issuance
- ☼ identification of issuing officer
- ☼ completion of PC
- ☼ signature and delivery of PC

Instructions related to other components

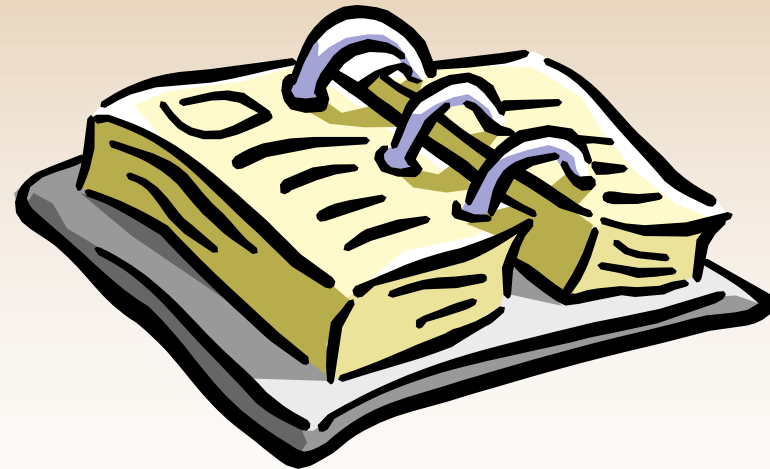
- ☼ traceability, consignment identification, official seals, record keeping



4. Documentation

records

- ✦ records for purposes of traceback and validation



5. Communication

within and outside of importing country
concerning changes in

- importing country requirements
- pest status
- operational procedures
- non compliance

