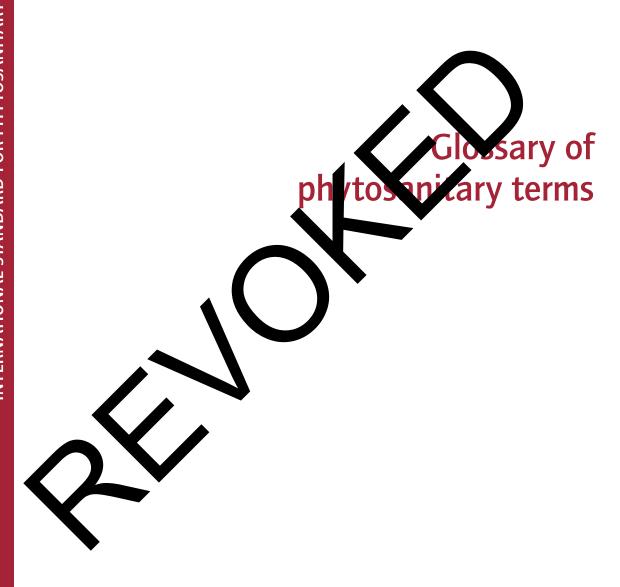
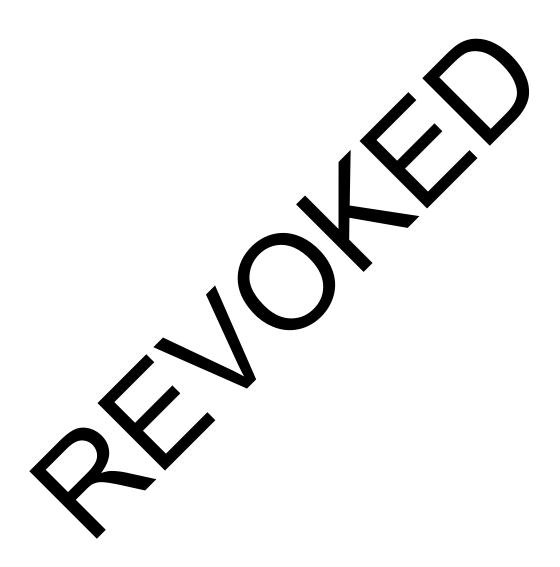
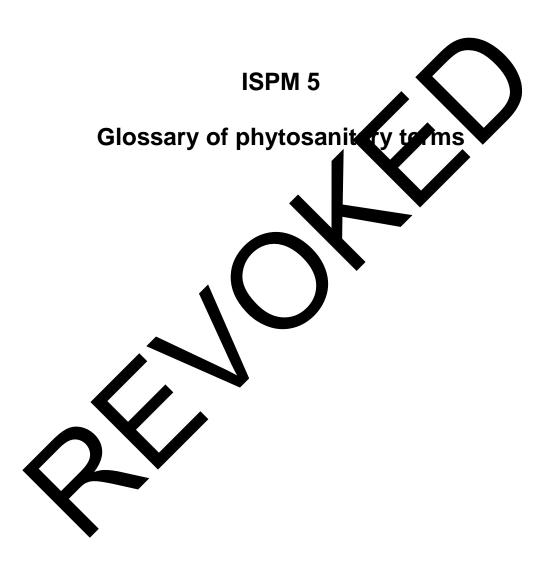
ISPM 5

ENG





INTERNATIONAL STANDARDS FOR PHYTOSANITARY MEASURES



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Publication history

This is not an official part of the standard

1986-05 RPPOs recommended creation of Core vocabulary of phytosanitary terms

1988-02 RPPOs reviewed and approx for NAPF and EPPO consultation.

1989-09 RPPOs prepared raft Core vocas.

1990 FAO published FA slossary shytosanitary terms; FAO Plant Protection sleting (1)

1991-05 TC-RPPOs endorse and Glossar Aytosanitary terms (1991

1993-05 TC-Far Os relied term ar recommended to establish NG for the FAO Gloss (GWG).

1994-02 1 eeting c

1994-03 CEP 1 r sed text and agreed to add new terms. 1995-05 CEPM ecided publication of revised *Glossary of phytosanitary* was as an ISPM.

1996-05 CEPM-3 read text of Glossary of phytosanitary terms.

1997-10 CEPM-4 revised the text and 29th Session of the FAO Conference approved **the Glossary**.

1999-02 GWG revised the Glossary.

1999-05 CEPM-6 revised the Glossary and recommended for adoption.

1999-10 ICPM-2 adopted ISPM 5. 1999.

1999-09 GWG revised standard.

2000-05 ISC-1 revised standard and approved for MC. 2000-06 MC.

2000-11 ISC-2 revised standard for adoption. 2001-04 ICPM-3 adopted revised ISPM 5. 2001.

2000-03 and 2001-03 GWG revised standard. 2001-05 ISC-3 approved Specification 1 Review and updating of the glossary of phytosanitary terms. 2001-05 ISC-3 revised standard and approved for MC.

2001-06 MC.

2001-11 ISC-4 revised standard for adoption. 2002-03 ICPM-4 adopted revised **ISPM 5**. 2002.

2002-02 GWG revised standard.

2002-05 SC revised standard and approved MC.

2002-06 Sent for MC.

2002-11 SC revised standard for adoption.

2003-04 ICPM-5 adopted revised ISPM 5. 2003.

2003-02 GWG revised standard.

2003-05 SC-7 agreed recommendations by TPG.

2003-09 GWG revised standard.

2003-11 SC revised standard and requested to add new terms on ISPMs.

2004-02 GWG revised standard.

2004-04 SC revised standard and approved MC.

2004-06 MC.

2004-11 SC revised standard for adoption.

2005-04 ICPM-7 adopted revised ISPM 5. 2005.

2004-10 & 2005-10 GWG revised standard.

2006-05 SC revised standard and approved for MC.

2006-06 MC.

2006-11 SC revised standard for adoption.

2007-03 CPM-2 adopted revised ISPM 5. 2007.

2006-03 CPM-1 created the Technical Panel for the Glossary (TPG).

2006-10 1st meeting of the TPG. TPG revised standard.

2007-05 SC revised standard and approved for MC.

2007-06 MC.

2007-11 SC approved draft to be submitted for adoption.

2008-04 CPM-3 adopted revised ISPM 5. 2008.

2007-10 TPG revised standard.

2008-05 SC-7 revised standard and approved for MC.

2008-06 MC.

2008-11 SC approved draft to be submitted for adoption.

2009-03 CPM-4 adopted revised **ISPM 5.** 2009.

2008-10 TPG revised standard.

2009-05 SC revised standard and approved for MC.

2009-06 MC.

2009-11 SC approved draft to be submitted for adoption.

2010-03 CPM-5 adopted revised ISPM 5. 2010.

2009-06 TPG started reviewing adopted standards for consistency in the use of terms.

2010-10 TPG drafted amendments.

2011-05 SC revised draft and approved for MC.

2011-06 MC.

2011-11 SC approved draft to be submitted for adoption.

2012-03 CPM-7 adopted revised **ISPM 5.** 2012.

2012-10 TPG drafted amendments.

2013-06 MC.

2014-05 SC-7 approved draft for SCCP.

2014-06 SCCP.

2014-11 SC approved draft to be submitted for adoption.

2015-03 CPM-10 adopted revised ISPM 5. 2015.

2013-02 TPG drafted amendments.

2014-05 SC revised draft and approved for MC.

2014-07 MC.

2015-05 SC-7 approved for SCCP.

2015-06 SCCP.

2015-11 SC approved draft to be submitted for adoption.

2016-04 CPM-11 adopted revised ISPM 5. 2016.

Supplement 1

1999-10 ICPM-2 added topic Official control (1929-00

2000-03 EWG developed draft text.

2000-05 ISC-1 revised draft text and approved for MC.

2000-06 MC.

2000-11 ISC-2 approved draft to be submitted for a ption.

2001-04 ICPM-3 adopted Supplement SPM 5.

application of the concept of afficial control is supplied pests (2001).

2005-03 ICPM-7 added to topic of widely distributed (2005-008) (supplement ISPM 5: lossary of phytosanitary

2006-05 SC ap oved secification 33

2008-05 SC reviewed aft.

2011-05 \$ approved MC

2011-06 M

2011-11 TPG wed member comments.

2011-11 SC apply d draft supplement to ISPM.

2012-03 CPM-7 add and revised supplement 1 to ISPM 5.

ISPM 5. Supplement *Quidelines on the interpretation and application of the concepts of "official control" and "not widely distributed"* (2012).

Supplement 2

2001-04 ICPM-3 added topic *Defining economic importance* (2001-004).

2002-02 GWG developed draft text.

2002-05 SC revised draft text and approved for MC.

2002-06 MC.

2002-11 SC revised draft text for adoption.

2003-04 ICPM-5 adopted Supplement 2 to ISPM 5.

ISPM 5. Supplement 2 Guidelines on the understanding of "potential economic importance" and related terms including reference to environmental considerations (2003).

Appendix 1

2005-03 ICPM-7 IPPC and CBD (Convention on Biological Diversity) secretariats decided cooperation programme. 2006-04 CPM-1 agreed assess progress on the work programme (2006-033).

2006-10 TPG developed draft text.

2007-05 SC requested TPG to develop draft text *CBD* terms.

2007-10 TPG developed draft xt.

2008-05 SC revised draft * ... and approx for MC.

2008-06 MC.

2008-11 SC revised draft for adoption.

2009-03 CPM-4 ag ted Appendix 1 to ISF

ISPM 5. Apper 1.1 Termino. of the Convention on Biological Diversity in relative the Glossary of phytosociary term 2009).

Ink ar ndme

2010- CPM-5 and and PC Secretariat applied ink are indiments to provide the 1 (English version).

2011- CPM-6 notes and IPPC Secretariat applied ink are to: "efficacy (of a treatment)", ablishment, "introduction", "spread", "regulated a", "consignment", "inspection", "quarantine", and Sb. Jement 1, 4 (English version).

3-03 M-8 noted and IPPC Secretariat applied ink amendments to: "release (into the environment)", corrective action plan (in an area)", "endangered area", official control", "pest risk (for quarantine pests)", "pest risk (for regulated non-quarantine pests)", "pest risk assessment (for quarantine pests)", "pest risk assessment (for regulated non-quarantine pests)", "pest risk management (for regulated non-quarantine pests)", "phytosanitary measure", "plant quarantine, "phytosanitary regulation", "regulated area", "regulated non-quarantine pest", and Supplement 2 (English version).

2015-03 CPM-10 noted and IPPC Secretariat applied ink amendments to the terms: "bulbs and tubers", "cut flowers and branches", "fruits and vegetables", "grain", "plants in vitro", "seeds", "wood".

2015-05 IPPC Secretariat corrected a mistake introduced in the definition of "pest free area" and "area of low pest prevalence".

2016-04 IPPC Secretariat adjusted the sources of definitions to not include "revision" for ink amendments following TPG 2015-12 decision.

2017-04 CPM noted and IPPC Secretariat applied ink amendments to the term "practically free" and to replace "protected area" with "regulated area", as appropriate.

Publication history last modified: 2017-05.

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Adoption

This standard was first recommended for publication as an international standard by the FAO Committee of Experts on Phytosanitary Measures in 1996, and published in 1997. The first version of the Glossary as ISPM 5 was adopted by the Second Session of the Interim Commission on Phytosanitary Measures in 1999. It has undergone repeated modifications since then. The current edition of ISPM 5 arises from an amendment adopted by the Eleventh Session of the Commission on Phytosanitary Measures in April 2016.

Supplement 1 was first adopted by the Third Session of the Interim Commission on Phytosanitary Measures in April 2001. The first revision of Supplement 1 was adopted by the Seventh Session of the Commission on Phytosanitary Measures in March 2012. Supplement 2 was adopted by the Fifth Session of the Interim Commission on Phytosanitary Measures in April 2003. Appendix 1 was adopted by the Fourth Session of the Commission on Phytosanitary Measures in March–April 2009.

INTRODUCTION

Scope

This reference standard is a listing of terms and definitions we specie meaning or phytosanitary systems worldwide. It has been developed to provide a harr pnize pernational agreed vocabulary associated with the implementation of the International ant Providing onvention (IPPC) and International Standards for Phytosanitary Measures (ISPMs)

Within the context of the IPPC and its ISPMs, all references of plants a buld be understood to continue to include algae and fungi, consistent with the Linear one of Nomenclature for algae, fungi, and plants.

Purpose

The purpose of this reference standard is o included by and consistency in the use and understanding of terms and definitions which are used y contracting parties for official phytosanitary purposes, in phytosanitary legislation and resolutions, well as for official information exchange.

References

The references below orres and to the approval of terms and definitions, as indicated in the definitions. For ISPMs, they do not indicate the most recent version (which is available on the IPP at https://www.app.int/co.gotic_aes/standards-setting/ispms).

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- —— 1997. Report of the Fourth Meeting of the FAO Committee of Experts on Phytosanitary Measures, Rome, 6-10 October 1997. Rome, IPPC, FAO.
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- **CPM.** 2007. Report of the Second Session of the Commission on Phytosanitary Measures, Rome, 26–30 March 2007. Rome, IPPC, FAO.
- —— 2008. Report of the Third Session of the Commission on Phytosanitary Measures, Rome, 7–11 April 2008. Rome, IPPC, FAO.
- —— 2009. Report of the Fourth Session of the Commission on Phytosanitary Measures, Rome, 30 March–3 April 2009. Rome, IPPC, FAO.

- 2012. Report of the Seventh Session of the Commission on Phytosanitary Measures, Rome, 19–23 March 2012. Rome, IPPC, FAO.
- —— 2013. Report of the Eighth Session of the Commission on Phytosanitary Measures, 8-12 April 2013. Rome, IPPC, FAO.
- —— 2015. Report of the Tenth Session of the Commission on Phytosanitary Measures, Rome, 16-20 March 2015. Rome, IPPC, FAO.
- **FAO.** 1990. FAO Glossary of phytosanitary terms. *FAO Plant Protection Bulletin*, 38(1): 5–23. [current equivalent: ISPM 5]
- **FAO.** 1995. See ISPM 5, 1995.
- **ICPM.** 1998. Report of the Interim Commission on Phytosanitary Measures, Rome, 3–6 November 1998. Rome, IPPC, FAO.
- 2001. Report of the Third Interim Commission on Phytosanitary Measures 2–6 April 2001. Rome, IPPC, FAO.
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- IPPC. 1997. International Plant Protection Convention. Rolle, IPPC, FA
- ISO/IEC. 1991. ISO/IEC Guide 2:1991, General terms of the standardization and related activities. Geneva, International Organization for Standardization, International Electrotechnical Commission.
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- **ISPM 3**. 1995. *Code of conduct for the import and release of exotic biological control agents*. Rome, IPPC, FAO. [published 1996]
- **ISPM 3**. 2005. Guidelines for the export hipment, import and release of biological control agents and other beneficial organics. Rome, PPC, FAO.
- ISPM 5. 1995. Glossary phytosan. vs. ms. Rome, IPPC, FAO. [published 1996]
- ISPM 8. 1998. Determation of pest status in an area. Rome, IPPC, FAO.
- **ISPM 10**. 1999. Regirements for the establishment of pest free places of production and pest free production sites. The, IPPC AO.
- **ISPM 11.** 2 11. Part risk and yar for quarantine pests. Rome, IPPC, FAO.
- **ISPM 1** 2004. Pest risk analysis for quarantine pests including analysis of environmental risks and live and peacets. Asms. Rome, IPPC, FAO.
- **ISPM 14**. 20. The use of integrated measures in a systems approach for pest risk management. Rome, IPPC, FA
- **ISPM 15**. 2002. *Guidelines for regulating wood packaging material in international trade*. Rome, IPPC, FAO.
- **ISPM 16**. 2002. Regulated non-quarantine pests: concept and application. Rome, IPPC, FAO.
- ISPM 17. 2002. Pest reporting. Rome, IPPC, FAO.
- **ISPM 18**. 2003. Guidelines for the use of irradiation as a phytosanitary measure. Rome, IPPC, FAO.
- **ISPM 20**. 2004. Guidelines for a phytosanitary import regulatory system. Rome, IPPC, FAO.
- **ISPM 21**. 2004. Pest risk analysis for regulated non-quarantine pests. Rome, IPPC, FAO.
- **ISPM 22**. 2005. Requirements for the establishment of areas of low pest prevalence. Rome, IPPC, FAO.
- ISPM 23. 2005. Guidelines for inspection. Rome, IPPC, FAO.

ISPM 24. 2005. Guidelines for the determination and recognition of equivalence of phytosanitary measures. Rome, IPPC, FAO.

ISPM 25. 2006. Consignments in transit. Rome, IPPC, FAO.

ISPM 27. 2006. Diagnostic protocols for regulated pests. Rome, IPPC, FAO.

ISPM 28. 2007. Phytosanitary treatments for regulated pests. Rome, IPPC, FAO.

WTO. 1994. Agreement on the Application of Sanitary and Phytosanitary Measures. Geneva, World Trade Organization.

Outline of Reference

The purpose of this standard is to assist national plant protection organizations (NPPOs) and others in information exchange and the harmonization of vocabulary used in official communications and legislation pertaining to phytosanitary measures. The present version incorporate thio is agreed as a result of the approval of the International Plant Protection Convention (1997) and terms indeed through the adoption of additional International Standards for Phytosanitary Measure (ISPMs).

The Glossary contains all terms and definitions approved until the Elevanth Sesson of the commission on Phytosanitary Measures (CPM, 2016). References in square brackets refer to the proval of the term and definition, and not to subsequent adjustments in translation.

As in previous editions of the Glossary, terms in definitions to other Glossary terms and to avoid unnecessary repetition of element described elsewhere in the Glossary. Derived forms of words that appear in the Glossary terms.



PHYTOSANITARY TERMS AND DEFINITIONS

* Indicates that the term, at the time of publishing, is on the work programme of the Technical Panel for the Glossary which means the terms or definitions may be revised or deleted in the future.

absorbed dose Quantity of radiating energy absorbed per unit of mass of a specified

target [ISPM 18, 2003, revised CPM, 2012]

additional declaration A statement that is required by an importing country to be entered on a

phytosanitary certificate and which provides specific additional information on a consignment in relation to regulated pests or regulated articles [FAO, 1990; revised ICPM, 2005; CPM, 2016]

area An **officially** defined country, part of a country or all or parts of several

> countries [FAO, 1990; revised ISPM 2, 1995; 9; based on the World Trade Organization Agreement on Application f Sanitary

and Phytosanitary Measures (WTO, 1994)

area endangered See endangered area

An area, whether all of a country area of low pest country, or all or parts of prevalence several countries, as identified forities, in which a

by the petent s subject to effective specific **pest** is present at low evels ar surveillance or control measure es [IPPC, revised CPM, 2015]

nch or root outside the cambium [CPM, bark The layer of a woody trun

2008]

bark-free wood Wood from nich all **bar**k except ingrown bark around knots and bark

> pockets n rings of a hual growth, has been removed [ISPM 15,

2002; re M. 2008

nemy, antagonist or competitor, or other organism, used for biological control agent A natural

[ISPM 3, 1995; revised ISPM 3, 2005] contro

anding or adjacent to an area officially delimited for ytosanitary purposes in order to minimize the probability of spread of the target pest into or out of the delimited area, and subject to anitary or other **control** measures, if appropriate [ISPM 10, 1999;

sed ISPM 22, 2005; CPM, 2007]

Dormant underground parts of **plants** intended for **planting** (includes bulbs a tub commod

corms and rhizomes) [FAO, 1990; revised ICPM, 2001]

Treatment of wood with a chemical preservative through a process of chemical pres impregnation

pressure in accordance with an **official** technical specification

[ISPM 15, 2002; revised ICPM, 2005]

clearance (of a Verification of compliance with **phytosanitary regulations** [FAO,

consignment) 1995]

buffer zone

The Commission on Phytosanitary Measures established under Article **Commission**

XI [IPPC, 1997]

commodity A type of **plant**, **plant product**, or other article being moved for trade

or other purpose [FAO, 1990; revised ICPM, 2001]

commodity class* A category of similar **commodities** that can be considered together in phytosanitary regulations [FAO, 1990]

A list of **pests** present in an **area** which may be associated with a specific commodity pest list commodity [CEPM, 1996; revised CPM, 2015]

Official procedure used to verify that a consignment complies with compliance procedure (for a **consignment**) phytosanitary import requirements or phytosanitary measures related to **transit** [CEPM, 1999; revised CPM, 2009]

Application of phytosanitary measures to a regulated article to prevent the escape of **pests** [CPM, 2012]

A quantity of **plants**, **plant products** or other articles being moved from one country to another and covered, when phytosanitary certificate (a consignment ma of one or be compo more **commodities** or **lots**) [FAO, 1990; revi JCPM, 200

> A consignment which passes through imported, and that may be subject to phy anitary FAO, 1990; 2002; ISPM 25, 2006; revised CEPM, 1996; CEPM, 19 formerly "country of transit"]

> Application of **phytosanitary** around an infested area neasures it to prevent **spread** of a

A **pest** that is q modity and, in the case of plants and plant produc se plants or plant products [CEPM, does no 1996; revised CEPM, 199

Presenc mmodity storage place, conveyance or container, of pests or articles, not constituting an infestation (see [CEPM, 1997; revised ICPM, 1999] nfestation

Supp containment or eradication of a pest population [FAO, 1995] Documented plan of **phytosanitary actions** to be implemented in an

ricially delimited for phytosanitary purposes if a **pest** is detected colerance level is exceeded or in the case of faulty implementation officially established procedures [CPM, 2009]

Country where the **plants** from which the **plant products** are derived were grown [FAO, 1990; revised CEPM, 1996; CEPM, 1999]

Country where the **plants** were grown [FAO, 1990; revised CEPM, 1996; CEPM, 1999]

Country where the regulated articles were first exposed to contamination by pests [FAO, 1990; revised CEPM, 1996; CEPM, 19991

Fresh parts of plants intended for decorative use and not for planting [FAO, 1990; revised ICPM, 2001]

confinement (of a regulated article)*

consignment

consignment in transit

containment

contaminating pest*

contamination*

control (of a pest)

corrective action

(in an area)

products)

country in (of a consignmen f plant

country of origin (of a consignment of plants)

country of origin (of regulated articles other than plants and plant products)

cut flowers and branches (as a commodity class)* debarked wood Wood that has been subjected to any process that results in the removal

of bark. (Debarked wood is not necessarily bark-free wood.) [CPM,

2008; replacing "debarking"]

Survey conducted to establish the boundaries of an **area** considered to delimiting survey

be infested by or **free from** a **pest** [FAO, 1990]

Survey conducted in an area to determine if pests are present [FAO, detection survey

1990; revised FAO, 1995]

detention Keeping a consignment in official custody or confinement, as a

phytosanitary measure (see quarantine) [FAO, 1990; revised FAO,

1995; CEPM, 1999; ICPM, 2005]

devitalization A procedure rendering plants or plant p

germination, growth or further reproduction

dose mapping Measurement of the absorbed dose dist butio ithin a **p**i cess load

> through the use of **dosimeters** place within the

process load [ISPM 18, 2003]

dunnage Wood packaging material us a **commodity** but d to` or supp

> which does not remain assoc ted with modity [FAO, 1990;

revised ISPM 15, 2002

A dynamic complex of **p** ecosystem* nimar a micro-organism communities

> teracting as a functional unit [ISPM 3, and their abiotic

1995; revised PM, 20

efficacy (of a treatment) A defined, easurable, d reproducible effect by a prescribed

> treatme 4 18, 200

hytosamary action undertaken in a new or unexpected emergency action A prompt

> situation [ICPM, 2001] osanita

emergency measure y measure established as a matter of urgency in a new

unexpected phytosanitary situation. An emergency measure may or may not be a **provisional measure** [ICPM, 2001; revised ICPM, 2005]

rea where ecological factors favour the establishment of a pest endangere

ose presence in the **area** will result in economically important loss

ISPM 2, 1995]

entry (of a signment) Movement through a point of entry into an area [FAO, 1995

entry (of a pest) Movement of a **pest** into an **area** where it is not yet present, or present

but not widely distributed and being officially controlled [ISPM 2,

19951

equivalence (of

The situation where, for a specified pest risk, different **phytosanitary** phytosanitary measures)

measures achieve a contracting party's appropriate level of protection [FAO, 1995; revised CEPM, 1999; based on the World Trade Organization Agreement on the Application of Sanitary and

Phytosanitary Measures (WTO, 1994); ISPM 24, 2005]

eradication Application of phytosanitary measures to eliminate a pest from an

area [FAO, 1990; revised FAO, 1995; formerly "eradicate"]

establishment (of a pest) Perpetuation, for the foreseeable future, of a pest within an area after

entry [FAO, 1990; revised ISPM 2, 1995; IPPC, 1997; formerly

"established"]

field A plot of land with defined boundaries within a place of production on

which a **commodity** is grown [FAO, 1990]

find free To inspect a consignment, field or place of production and consider it

to be **free from** a specific **pest** [FAO, 1990]

free from (of a consignment, field or place of production)

Without **pests** (or a specific **pest**) in numbers or quantities that can be detected by the application of **phytosanitary procedures** [FAO, 1990;

revised FAO, 1995; CEPM, 1999]

fresh Living; not dried, deep-frozen or otherwise conscious [O, 1990]

fruits and vegetables (as a commodity class)*

Fresh parts of **plants** intended for consumption or process g and not for **planting** [FAO, 1990; revised ICPM 2001]

fumigation Treatment with a chemical agent that reaches the developing wholly

or primarily in a gaseous state [FA 1990 evised FA , 1995]

germplasm Plants intended for use in breading or a servation programmes [FAO,

1990]

grain (as a commodity

class)*

Seeds (in the botanical seeds) The gor consumption, but not for

planting [FAO, 1000, revise CPM, 2001; CPM, 2016]

growing medium Any material in which part reas are growing or intended for that

purpose [FA 1990]

growing period (of a

plant species)*

Time pelled of tive growth during a growing season [ICPM, 2003]

growing season*

Perform production of the year when plants actively grow in an area, place or production or production site [FAO, 1990; revised ICPM,

2003]

habitat Part of an ecosystem with conditions in which an organism is naturally

present or can establish [ICPM, 2005; revised CPM, 2015]

harmo Lation he establishment, recognition and application by different countries of phytosanitary measures based on common standards [FAO, 1995; revised CEPM, 1999; based on the World Trade Organization Agreement on the Application of Sanitary and Phytosanitary Measures

(WTO, 1994)]

harmonized phytosanitary measures

Phytosanitary measures established by contracting parties to the **IPPC**, based on **international standards** [IPPC, 1997]

onytosamtary measures 1FFC, based on international standards [IFFC, 1997]

heat treatment The process in which a **commodity** is heated until it reaches a minimum

temperature for a minimum period of time according to an official

technical specification [ISPM 15, 2002; revised ICPM, 2005]

host pest list A list of pests that infest a plant species, globally or in an area [CEPM,

1996; revised CEPM, 1999]

Species capable, under natural conditions, of sustaining a specific **pest** host range

or other organism [FAO, 1990; revised ISPM 3, 2005]

Official document authorizing importation of a commodity in import permit

accordance with specified phytosanitary import requirements [FAO,

1990; revised FAO, 1995; ICPM, 2005]

inactivation Rendering micro-organisms incapable of development [ISPM 18, 2003]

incidence (of a pest) Proportion or number of units in which a **pest** is present in a sample,

consignment, **field** or other defined population [CPM, 2009]

incursion An isolated population of a **pest** recently detected in an **area**, not known

to be established, but expected to survive for the immediate future

[ICPM, 2003]

infestation (of a commodity)

product Presence in a **commodity** of a living **pest** of plant or pla concerned. **Infestation** includes infection 1997: revi d CEPM,

1999]

Official visual examination lants inspection* lant products or other

> regulated articles to determa it or to determine compliance with phytosanitar regula 1990; revised FAO,

1995; formerly "inspect"

Person authorized by a protection organization to inspector nai pra.

> 9901 discharge its fur

of a **consig** ment as described by its phytosanitary integrity (of a Composition

> certificate of ther official acceptable document, maintained without

substitutio loss, add [CPM, 2007] ion

intended use rpose for which plants, plant products or other articles are Declared i

duced or used [ISPM 16, 2002; revised CPM, 2009]

orted, p

controlled entry of an imported consignment due to Hure to comply with phytosanitary regulations [FAO, 1990; revised

AO, 19951

etection of a pest during inspection or testing of an imported interception

Isignment [FAO, 1990; revised CEPM, 1996]

Quarantine in a country other than the **country of origin** or destination interme en en en

[CEPM, 1996]

International P

International Plant Protection Convention, as deposited with FAO in **Protection Convention**

Rome in 1951 and as subsequently amended [FAO, 1990]

International Standard for Phytosanitary

Measures

consignment)*

interception (of a

consignment)

An international standard adopted by the Conference of FAO, the Interim Commission on Phytosanitary Measures or the Commission on

Phytosanitary Measures, established under the IPPC [CEPM, 1996;

revised CEPM, 1999]

International standards established in accordance with Article X international standards

paragraphs 1 and 2 of the **IPPC** [IPPC, 1997]

The entry of a pest resulting in its establishment [FAO, 1990; revised introduction (of a pest)

ISPM 2, 1995; IPPC, 1997]

inundative release The release of large numbers of mass-produced biological control

agents or beneficial organisms with the expectation of achieving a rapid

effect [ISPM 3, 1995; revised ISPM 3, 2005]

IPPC International Plant Protection Convention, as deposited in 1951 with

FAO in Rome and as subsequently amended [FAO, 1990; revised ICPM,

2001]

irradiation **Treatment** with any type of ionizing radiation [ISPM 18, 2003]

ISPM International Standard for Phytosanitary Measures [CEPM, 1996;

revised ICPM, 2001]

kiln-drying* A process in which wood is dried in a closed chamber using heat and/or

> humidity control to achieve a required moist [ISPM 15,

20021

living modified organism

Any living organism that possesses a bination genetic material obtained through the use of m aolog Cartagena Protocol on Biosafety to the Conve ersity (CBD, on on

2000)]

living modified organism [IS **LMO**

A number of units commedity, identifiable by its lot

> homogeneity of composit rming part of a consignment 19111 -

[FAO, 1990]

An official amp or bra internationally recognized, applied to a mark*

> phytosanitary status [ISPM 15, 2002] regulated ar **cle** to attest i

minimum absorbed dose

(Dmin)

The loc absorbed dose within the process load [ISPM 18

0031

modern biotechnology

ro nucleic acid techniques, including recombinant deoxyribonucleic acid (DNA) and direct injection of nucleic

acid into cells or organelles; or

fusion of cells beyond the taxonomic family,

overcome natural physiological reproductive or recombination parriers and that are not techniques used in traditional breeding and selection. [Cartagena Protocol on Biosafety to the Convention on

Biological Diversity (CBD, 2000)]

An official ongoing process to verify phytosanitary situations [CEPM, monitoring

19961

monitoring survey Ongoing **survey** to verify the characteristics of a **pest** population [ISPM

4, 1995]

national plant protection

organization

Official service established by a government to discharge the functions specified by the IPPC [FAO, 1990; formerly "plant protection

organization (national)"]

natural enemy An organism which lives at the expense of another organism in its area

> of origin and which may help to limit the population of that organism. This includes parasitoids, parasites, predators, phytophagous

organisms and pathogens [ISPM 3, 1995; revised ISPM 3, 2005]

Pest that is not a quarantine pest for an area [FAO, 1995] non-quarantine pest

NPPO National plant protection organization [FAO, 1990; ICPM, 2001]

official Established, authorized or performed by a national plant protection

organization [FAO, 1990]

official control The active enforcement of mandatory phytosanitary regulations and

> the application of mandatory phytosanitary procedures with the objective of eradication or containment of quar

management of regulated non-quarantine p s [ICPM]

outbreak A recently detected **pest** population, inclu cursion. a sudden ing à

> significant increase of an established rea [FAO, t populat

1995; revised ICPM, 20031

packaging Material used in supporting ng a commodity or car

[ISPM 20, 2004]

An organism which lix in a larger organism, feeding upon it parasite on

[ISPM 3, 1995]

parasitoid An insect par rtic on amature stages, killing its host in the

> process of its evelopment and free living as an adult [ISPM 3, 1995]

pathogen Micro-d causing di ase [ISPM 3, 1995]

the entry or spread of a pest [FAO, 1990; pathway Any mea that a

> 1995] ised FA

train or biotype of plant, animal or pathogenic agent pest Any sp

furious to plants or plant products. Note: In the IPPC, "plant pest" is ometimes used for the term "pest" [FAO, 1990; revised ISPM 2, 1995;

IPPC 97; CPM, 2012]

pest cate process for determining whether a pest has or has not the haracteristics of a quarantine pest or those of a regulated non-

quarantine pest [ISPM 11, 2001]

pest diagnos The process of detection and identification of a **pest** [ISPM 27, 2006]

pest free area An **area** in which a specific **pest** is absent as demonstrated by scientific

evidence and in which, where appropriate, this condition is being

officially maintained [ISPM 2, 1995; revised CPM, 2015]

pest free place of

Place of production in which a specific pest is absent as demonstrated production by scientific evidence and in which, where appropriate, this condition is

being **officially** maintained for a defined period [ISPM 10, 1999; revised

CPM, 2015]

pest free production site

A **production site** in which a specific **pest** is absent, as demonstrated by scientific evidence, and in which, where appropriate, this condition is being **officially** maintained for a defined period [ISPM 10, 1999; revised CPM, 2015]

pest record

A document providing information concerning the presence or absence of a specific **pest** at a particular location at a certain time, within an **area** (usually a country) under described circumstances [CEPM, 1997]

pest risk (for quarantine
pests)

The probability of **introduction** and **spread** of a **pest** and the magnitude of the associated potential economic consequences [ISPM 2, 2007]

pest risk (for regulated
non-quarantine pests)

The probability that a **pest** in **plants for planting** affects the **intended use** of those **plants** with an economically unacceptable impact [ISPM 2, 2007]

pest risk analysis (agreed interpretation)

The process of evaluating biological or other cientific and economic evidence to determine whether an organism is a set, whethe it should be regulated, and the strength of an phytosanita and sures to be taken against it [ISPM 2, 1995; regard IPPC 1997; IS A 2, 2007]

pest risk assessment (for quarantine pests)

Evaluation of the probability the **in duction** ad **spread** of a **pest** and the magnitude of the associated polytical conomic consequences [ISPM 2, 1995; revised SPM 1, 2001; ISI 2, 2007]

pest risk assessment (for regulated nonquarantine pests) Evaluation of the probability at a **pest** in **plants for planting** affects the **intended** vector as we prove that a pest in plants for planting affects the intended vector as we prove with an economically unacceptable impact [ICPN 2005]

pest risk management (for quarantine pests)

Evaluation are selection or options to reduce the risk of **introduction** and **spread** of **nest** [ISP 72, 1995; revised ISPM 11, 2001]

pest risk management (for regulated nonquarantine pests) Evaluation and selection of options to reduce the risk that a **pest** in **p. 15 for p. nting** causes an economically unacceptable impact on the **intended** set of those **plants** [ICPM, 2005]

pest status (in an z &a)

Resence or absence, at the present time, of a **pest** in an **area**, including where appropriate its distribution, as **officially** determined using expert judgment on the basis of current and historical **pest records** and other irrantion [CEPM, 1997; revised ICPM, 1998]

PFA

Pest free area [ISPM 2, 1995; revised ICPM, 2001]

phytosanita action

An **official** operation, such as **inspection**, **testing**, **surveillance** or **treatment**, undertaken to implement **phytosanitary measures** [ICPM, 2001; revised ICPM, 2005]

phytosanitary certificate

An **official** paper document or its **official** electronic equivalent, consistent with the model certificates of the **IPPC**, attesting that a **consignment** meets **phytosanitary import requirements** [FAO, 1990; revised CPM, 2012]

phytosanitary certification

Use of **phytosanitary procedures** leading to the issue of a **phytosanitary certificate** [FAO, 1990]

phytosanitary import requirements

Specific **phytosanitary measures** established by an importing country concerning **consignments** moving into that country [ICPM, 2005]

phytosanitary legislation Basic laws granting legal authority to a national plant protection

organization from which phytosanitary regulations may be drafted

[FAO, 1990; revised FAO, 1995]

phytosanitary measure

(agreed interpretation)

Any **legislation**, **regulation** or **official** procedure having the purpose to prevent the **introduction** or **spread** of **quarantine pests**, or to limit the economic impact of **regulated non-quarantine pests** [ISPM 4, 1995; revised IPPC, 1997; ICPM, 2002]

The agreed interpretation of the term phytosanitary measure accounts for the relationship of phytosanitary measures to regulated non-quarantine pests. This relationship is not adequately reflected in the definition found in Article II of the IPPC (1997).

phytosanitary procedure Any official method for implementing phytosanitary measures

including the performance of **inspections**, sts, st reillance or **treatments** in connection with **regulated** p is [FAO, 1, 0; revised

FAO, 1995; CEPM, 1999; ICPM, 2001; ICPM, 2005]

phytosanitary regulation Official rule to prevent the introduction or spread a quantine pests,

or to limit the economic impact regulater non-quantine pests, including establishment of projects for phytosavitary certification

[FAO, 1990; revised ISPM 4, 995; C. M, 1999 (CPM, 2001]

phytosanitary security
(of a consignment)*

Maintenance of the **integrity** of a **consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int** and prevention of its **infestation** and **containing** the application of appropriate **p. consign. Int consign. CPM**, 2009]

place of production Any premises or collection of the s operated as a single production or

farming unit. FAO, 1990; vised CEPM, 1999; CPM, 2015]

plant products

Unmanu cture material f plant origin (including grain) and those

manufactured processing, the processing of their processing, the processing of the introduction and spread of pests [FAO, 1990;

rev. 'IPPC 1997; formerly "plant product"]

plant protection organization (nat. pal)

See national plant protection organization

plant quararea All civities designed to prevent the introduction

All divities designed to prevent the **introduction** or **spread** of **containe pests** or to ensure their **official control** [FAO, 1990; revised AO, 1995]

YAO, 1993]

planting (adding replanting)

Any operation for the placing of **plants** in a **growing medium**, or by grafting or similar operations, to ensure their subsequent growth, reproduction or propagation [FAO, 1990; revised CEPM, 1999]

plants Living plants and parts thereof, including seeds and germplasm

[FAO, 1990; revised IPPC, 1997]

plants for planting Plants intended to remain planted, to be planted or replanted

[FAO, 1990]

plants in vitro (as a commodity class)*

Plants growing in an aseptic medium in a closed container [FAO, 1990; revised CEPM, 1999; ICPM, 2002; formerly "plants in tissue culture"]

point of entry Airport, seaport, land border point or any other location officially

designated for the importation of consignments, or the entrance of

persons [FAO, 1995; revised CPM, 2015]

post-entry quarantine Quarantine applied to a consignment after entry [FAO, 1995]

PRA Pest risk analysis [ISPM 2, 1995; revised ICPM, 2001]

PRA area Area in relation to which a pest risk analysis is conducted [ISPM 2,

1995]

practically free (of a consignment, field, or place of production) Without **pests** (or a specific **pest**) in numbers or quantities in excess of those that can be expected to result from, and be consistent with, good cultural and handling practices employed in the production and marketing of the **commodity** [FAO, 1990; revised FAO, 1995]

pre-clearance* Phytosanitary certification and/or clearance in the country of origin,

performed by or under the regular supervision of the **national plant protection organization** of the country of degradue (FAO, 1990;

revised FAO, 1995]

predator A natural enemy that preys and feeds op other simal organ ms, more

than one of which are killed during its Letime [ISL (3, 15)]

process load A volume of material with a specified loading configuration and treated

as a single entity [ISPM 18, 2013]

processed wood material Products that are a composite of wood controlled using glue, heat and

pressure, or any combination tereof [ISPM\5, 2002]

production site A defined part of a place of oduction, that is managed as a separate

unit for phytos attary pages SPM, 2015]

prohibition A **phytosanilary regulation** forbidding the importation or movement

of specified parts or commedities [FAO, 1990; revised FAO, 1995]

provisional measure A phytos nitary quantion or procedure established without full

Schnical j stification owing to current lack of adequate information. A publicion measure is subjected to periodic review and full technical

justification soon as possible [ICPM, 2001]

quarantine*

Official confinement of regulated articles for observation and research

or form their impropriate testing or treatment [FAO, 1000; revised]

or for arther inspection, testing or treatment [FAO, 1990; revised 1800].

ISP 73, 1995; CEPM, 1999]

quara ne area An area within which a quarantine pest is present and is being

officially controlled [FAO, 1990; revised FAO, 1995]

quarantine part A pest of potential economic importance to the area endangered

thereby and not yet present there, or present but not widely distributed and being **officially controlled** [FAO, 1990; revised FAO, 1995; IPPC

1997]

quarantine station Official station for holding plants or plant products or other regulated

articles, including beneficial organisms, in quarantine [FAO, 1990; revised FAO, 1995; formerly "quarantine station or facility"; CPM,

2015]

raw wood Wood which has not undergone processing or treatment [ISPM 15,

2002]

re-exported consignment

Consignment that has been imported into a country from which it is then exported. The **consignment** may be stored, split up, combined with other **consignments** or have its **packaging** changed [FAO, 1990; revised CEPM, 1996; CEPM, 1999; ICPM, 2001; ICPM, 2002; formerly "country of re-export"]

reference specimen

Specimen, from a population of a specific organism, conserved and accessible for the purpose of identification, verification or comparison. [ISPM 3, 2005; revised CPM, 2009]

refusal

Forbidding **entry** of a **consignment** or other **regulated article** when it fails to comply with **phytosanitary regulations** [FAO, 1990; revised FAO, 1995]

regional plant protection organization

An intergovernmental organization with the factions id down by Article IX of the **IPPC** [FAO, 1990; revised 10, 1995; C PM, 1999; formerly "plant protection organization (region 1"]

regional standards

Standards established by a **regional cant protect** organization for the guidance of the members of the organization [IPP 1997]

regulated area

An area into which, within which or he which p'ats, plant products and other regulated articles as subjects to restoraitary measures [CEPM, 1996; revised CEPM, 1999; ICPM, 1901]

regulated article

Any **plant**, **plant product** torage prace, **packaging**, conveyance, container, soil to an other seanism, object or material capable of harbouring of spreading **pests**, deemed to require **phytosanitary measures**, paticularly whose international transportation is involved [FAO, 1990; wised FAO, 1995; IPPC, 1997]

regulated nonquarantine pest A non-querantine whose presence in plants for planting affects the intend use of those plants with an economically unacceptable important which is therefore regulated within the territory of the importing carting party [IPPC, 1997]

regulated pest

Interconal liberation of an organism into the environment [ISPM 3,

quarantine pest or a regulated non-quarantine pest [IPPC, 1997]

release fa

release (into

environm

Authorization for **entry** after **clearance** [FAO, 1995]

consignme

See planting

required response

A specified level of effect for a **treatment** [ISPM 18, 2003]

RNOP

Regulated non-quarantine pest [ISPM 16, 2002]

round wood

Wood not sawn longitudinally, carrying its natural rounded surface,

with or without bark [FAO, 1990]

RPPO

Regional plant protection organization [FAO, 1990; revised ICPM, 2001]

sawn wood

Wood sawn longitudinally, with or without its natural rounded surface

with or without bark [FAO, 1990]

Secretary Secretary of the **Commission** appointed pursuant to Article XII [IPPC,

1997]

seeds (as a commodity

class)*

Seeds (in the botanical sense) for **planting** [FAO, 1990; revised ICPM,

2001; CPM, 2016]

SIT sterile insect technique [ISPM 3, 2005]

spread (of a **pest**) Expansion of the geographical distribution of a **pest** within an **area**

[ISPM 2, 1995]

standard Document established by consensus and approved by a recognized body

that provides for common and repeated use, rules, guidelines or characteristics for activities or their results, aimed at the achievement of the optimum degree of order in a given context. Ac, 295; ISO/IEC

Guide 2:1991 definition]

sterile insect An insect that, as a result of a specific treatment is unable to reproduce

[ISPM 3, 2005]

sterile insect technique Method of pest control using are wide in additive release of sterile

insects to reduce reproduction in a first opulation of the same species

[ISPM 3, 2005]

stored product Unmanufactured plan product intended for consumption or

processing, stored in a driver im tune and a dudes in particular grain and

dried fruits and AO, 1990]

suppression* The application of phytoconitally measures in an infested area to

reduce **pest** populations [FAD, 1995; revised CEPM, 1999]

surveillance An office proc which collects and records data on pest presence or

absence b survey, monitoring or other procedures [CEPM, 1996;

ised CPl 20151

An **official** occdure conducted over a defined period of time to

termine the characteristics of a **pest** population or to determine which species are present in an **area** [FAO, 1990; revised CEPM, 1996; CPM,

20157

systems proac pest risk management option that integrates different measures, at least two of which act independently, with cumulative effect USPM 14

Ieast two of which act independently, with cumulative effect [ISPM 14, 2002; revised ICPM, 2005; CPM, 2015]

2002, Tevised Ter IVI, 2003, Cr IVI, 2013]

technically justified I ustified on the basis of conclusions reached by using an appropriate

pest risk analysis or, where applicable, another comparable examination and evaluation of available scientific information [IPPC,

1997]

test* Official examination, other than visual, to determine if **pests** are present

or to identify pests [FAO, 1990]

tolerance level (of a pest) Incidence of a pest specified as a threshold for action to control that

pest or to prevent its spread or introduction [CPM, 2009]

transience Presence of a pest that is not expected to lead to establishment [ISPM 8,

1998]

class)*

transit See consignment in transit

transparency The principle of making available, at the international level,

phytosanitary measures and their rationale [FAO, 1995; revised CEPM, 1999; based on the World Trade Organization Agreement on the Application of Sanitary and Phytosanitary Measures (WTO, 1994)]

treatment* Official procedure for the killing, **inactivation** or removal of **pests**, or

for rendering **pests** infertile or for **devitalization** [FAO, 1990, revised

FAO, 1995; ISPM 15, 2002; ISPM 18, 2003; ICPM, 2005]

treatment schedule The critical parameters of a **treatment** which need to be met to achieve

the intended outcome (i.e. the killing, **inactivation** or removal of **pests**, or rendering **pests** infertile, or **devitalization**) at a stated **efficacy**

[ISPM 28, 2007]

visual examination* The physical examination of plants, plant products, or other egulated

articles using the unaided eye, lens, sterr scope unicroscope to detect pests or contaminants without testing or processing ISP (23, 2005)

wood (as a commodity Commodities such as round wood, awn, good, wood chips and wood

residue, with or without barl excluse wood ackaging material, processed wood material an bamboo adv a [FAO, 1990; revised

ICPM, 2001; CPM, 2016

wood packaging Wood or wood products (e. ding paper products) used in supporting, protecting or controlling controlling (includes dunnage) [ISPM 15,

2002]

This supplement was first adopted by the Third Session of the Interim Commission on Phytosanitary Measures in April 2001. The first revision of this supplement was adopted by the Seventh Session of the Commission on Phytosanitary Measures in March 2012.

The supplement is a prescriptive part of the standard.

SUPPLEMENT 1: Guidelines on the interpretation and application of the concepts of "official control" and "not widely distributed"

INTRODUCTION

Scope

This supplement provides guidance on:

- the official control of regulated pests, and
- determination of when a pest is considered to be present but not dely districted, for the decision on whether a pest qualifies as a quarantine pest.

References

The present standard refers to ISPMs. ISPMs are available on the pernational Phytosanitary Portal (IPP – www.IPPC.int).

Definition

Official control is defined as:

The active enforcement of mandatory phytocana regulations and the application of mandatory phytosanitary procedures with the objective of eradication or containment of quarantine pests or for the management of regulated non-quarantine pests.

BACKGROUND

The words "present but not cidely distributed and being officially controlled" express an essential concept in the definition of puarant post According to that definition, a quarantine pest must always be of potential economic amportance to an elangered area. In addition, it must either meet the criterion of not being present of that are or it must meet the combined criteria of being present but not widely distributed and subject to of scal control.

The Glossar of a vtosal cary to as defines official as "established, authorized or performed by an NPPO" are control as "supposition, containment or eradication of a pest population". However, for phytosal cary pure the concept of official control is not adequately expressed by the combination of these two localitions.

The purpose of this supplement is to describe more precisely the interpretation of:

- the concept of official control and its application in practice for quarantine pests that are present in an area as well as for regulated non-quarantine pests, and
- the concept of "present but not widely distributed and under official control" for quarantine pests.

REQUIREMENTS

1. General Requirements

Official control is subject to ISPM 1, in particular the principles of non-discrimination, transparency, equivalence of phytosanitary measures and pest risk analysis.

[&]quot;Not widely distributed" is not a term included in the description of pest status listed in ISPM 8.

1.1 Official control

Official control includes:

- eradication and/or containment in the infested area(s)
- surveillance in the endangered area(s)
- restrictions related to the movement into and within the regulated area(s) including phytosanitary measures applied at import.

All official control programmes have elements that are mandatory. At minimum, programme evaluation and pest surveillance are required in official control programmes to determine the need for and effect of control to justify phytosanitary measures applied at import for the same purpose. Phytosanitary measures applied at import should be consistent with the principle of non-discrimination (see section 2.2 below).

For quarantine pests, eradication and containment may have an element of supplies. For regulated non-quarantine pests, suppression may be used to avoid unacceptable economic impact with applies to the intended use of plants for planting.

1.2 Not widely distributed

"Not widely distributed" is a concept referring to a pest's occurrace and distribution, within an area. A pest may be categorized as present and widely distributed in at area or received widely distributed, or absent. In pest risk analysis (PRA), the determination of whether a set is no yidely distributed is carried out in the pest categorization step. Transience means that a pest not expect to establish and therefore is not relevant to the concept of "not widely distributed".

distributed, the importing country should In the case of a quarantine pest that is present by wia define the infested area(s) and the endangere parantine pest is considered not widely area(s): distributed, this means that the pest is limited to parts of i poternal distribution and there are areas free from the pest that are at risk of economic l s from its in duction or spread. These endangered areas do not need to be contiguous but may of several stinct parts. In order to justify the statement delimitation of the endangered areas should be of a pest being not widely distributed, a made available if requested. There is degree of uncertainty attached to any categorization of distribution. The categorization ange over time. also d

The area in which the est is not widely stributed should be the same as the area for which the endangered area) and where the pest is under or being considered for economic impact ar that a post is a quarantine pest, including consideration of its distribution, official control. The and placing th official Introl, is typically made with respect to an entire country. However, appropriate to regulate a pest as a quarantine pest in parts of a country in some ins rather th in the <u>dole</u> country. It is the potential economic importance of the pest for those parts that has to be mining phytosanitary measures. Examples of when this may be appropriate are countrie hose territories include one or more islands or other cases where there are natural or artificially crea barriers to pest establishment and spread, such as large countries in which specified crops are restricted by climate to well-defined areas.

1.3 Decision to apply official control

A national plant protection organization (NPPO) may choose whether or not to officially control a pest of potential economic importance that is present but not widely distributed, taking into account relevant factors from PRA, for example the costs and benefits of regulating the specific pest, and the technical and logistical ability to control the pest within the defined area. If the pest is not subjected to official control, it does not then qualify as a quarantine pest.

2. Specific Requirements

The specific requirements to be met relate to pest risk analysis, technical justification, non-discrimination, transparency, enforcement, mandatory nature of official control, area of application, and NPPO authority and involvement in official control.

2.1 Technical justification

Domestic requirements and phytosanitary import requirements should be technically justified and result in non-discriminatory phytosanitary measures.

Application of the definition of a quarantine pest requires knowledge of potential economic importance, potential distribution and official control programmes (ISPM 2). The categorization of a pest as present and widely distributed or present but not widely distributed is determined in relation to its potential distribution. This potential distribution represents the areas where the pest could established if given the opportunity, i.e. its hosts are present and environmental factors su as clim and soil are sessing the p favourable. ISPM 11 provides guidance on the factors to be considered in bability of est that i establishment and spread when conducting a pest risk analysis. In the bresent but not widely distributed, the assessment of potential economic importa should r areas where the pest is not established.

Surveillance should be used to determine the distribution of basis for the further PM 6 p consideration of whether the pest is not widely distributed. des dance on surveillance, and includes provisions on transparency. Biological factors ich as pest cycle, means of dispersal programmes, the interpretation of and rate of reproduction may influence the design of survey data and the level of confidence in the categoriz of a pest as not widely distributed. The distribution of a pest in an area is not a static ging conditions or new information may necessitate reconsideration of whether a per s not wide dista

2.2 Non-discrimination

The principle of non-discrimination between domestic requirements and phytosanitary import requirements is fundamental. In particula, requirements for imports should not be more stringent than the effect of official control in simport, grountry. There should therefore be consistency between domestic requirements are phytosanic via port requirements for a defined pest:

- Import requirements should not be more stringent than domestic requirements.
- Domestic and aport quirements should be the same or have an equivalent effect.
- Mandater element of dome ac and import requirements should be the same.
- The idensity of inspection of imported consignments should be the same as equivalent processes in smestic ontrol programmes.
- In the coordinate of non-compliance, the same or equivalent phytosanitary actions should be taken on importations as are taken domestically.
- If a tolerant level is applied within a domestic official control programme, the same tolerance level should be applied to equivalent imported material. In particular, if no action is taken in the domestic official control programme because the pest incidence does not exceed the tolerance level concerned, then no action should be taken for an imported consignment if the pest incidence does not exceed that same tolerance level. Compliance with import tolerance levels is generally determined by inspection or testing at entry, whereas compliance with the tolerance level for domestic consignments should be determined at the last point where official control is applied.
- If downgrading or reclassifying is permitted within a domestic official control programme, similar options should be available for imported consignments.

2.3 Transparency

Domestic requirements for official control and the phytosanitary import requirements should be documented and made available, on request.

2.4 Enforcement

The domestic enforcement of official control programmes should be equivalent to the enforcement of phytosanitary import requirements. Enforcement should include:

- a legal basis
- operational implementation
- evaluation and review
- phytosanitary action in the case of non-compliance.

2.5 Mandatory nature of official control

Official control is mandatory in the sense that all persons involved are legally bound to perform the actions required. The scope of official control programmes for quarantine pests is completely mandatory (e.g. procedures for eradication campaigns), whereas the scope for regulated and antique antique pests is mandatory only in certain circumstances (e.g. official certification programmes).

2.6 Area of application

An official control programme can be applied at national, subnatical or local article. The area of application of official control measures should be specified. At phytocalitary import requirements should have the same effect as the domestic requirements for office or arol.

2.7 NPPO authority and involvement in official control

Official control should:

- be established or recognized by the contracting pale or the NPPO under appropriate legislative authority
- be performed, managed, supervised and at minimum audited/reviewed by the NPPO
- have enforcement assured by the conjecting party the NPPO
- be modified, terminated or lose dicial sognitive by the contracting party or the NPPO.

Responsibility and accountability for original control programmes rests with the contracting party. Agencies other than the MPO is the reponsible for aspects of official control programmes, and certain aspects of official control program, and may be the responsibility of subnational authorities or the private sector. The MPO should be fully aware of all aspects of official control programmes in its country.

This supplement was adopted by the Fifth Session of the Interim Commission on Phytosanitary Measures in April 2003.

The supplement is a prescriptive part of the standard.

SUPPLEMENT 2: Guidelines on the understanding of "potential economic importance" and related terms including reference to environmental considerations

1. Purpose and Scope

These guidelines provide the background and other relevant information to clarify *potential economic importance* and related terms, so that such terms are clearly understood and their application is consistent with the International Plant Protection Convention (IPPC) and the International Standards for Phytosanitary Measures (ISPMs). These guidelines also show the application of certain economic principles as they relate to the IPPC's objectives, in particular in protecting uncultivated/unmanaged plants, wild flora, habitats and ecosystems with respect to invasive alien species

These guidelines clarify that the IPPC:

- can account for environmental concerns in economic terms using in etary or nel-monetary values
- asserts that market impacts are not the sole indicator of pest impact.
- maintains the right of contracting parties to adopt phytosal ary leasures with respect to pests for which the economic damage caused to plants, plat product or economic stems within an area cannot be easily quantified.

They also clarify, with respect to pests, that the scope of the plants in agriculture, horticulture and forestry, uncultivate amanaged plants, wild flora, habitats and ecosystems.

2. Background

The IPPC has historically maintained that the olverse correquences of pests, including those concerning uncultivated/unmanaged plants, wild flow, habit and ecosystems, are measured in economic terms. References to the terms economic effects, economic impacts, potential economic importance and economically unacceptable importance and thouse of the word economic in the IPPC and in ISPMs has resulted in some misund attanding of the elication of such terms and of the focus of the IPPC.

The scope of the Co ention plies to the protection of wild flora resulting in an important contribution to the conservation of gical dixesity. However, it has been misinterpreted that the IPPC is only mited scope. It has not been clearly understood that the IPPC can account commercially d ar conomic terms. This has created issues of consistency with other for enviro oncern or the Convention on Biological Diversity and the Montreal Protocol on Substances agreeme , inclu that Deple

3. Economy Terms and Environmental Scope of the IPPC and ISPMs

The economic terms found in the IPPC and ISPMs may be categorized as follows.

Terms requiring judgement to support policy decisions:

- potential economic importance (in the definition for quarantine pest)
- economically unacceptable impact (in the definition for regulated non-quarantine pest)
- economically important loss (in the definition for endangered area).

Terms related to evidence that supports the above judgements:

- limit the economic impact (in the definition for phytosanitary regulation and the agreed interpretation of phytosanitary measure)
- economic evidence (in the definition for pest risk analysis)

- cause economic damage (in Article VII.3 of the IPPC, 1997)
- direct and indirect *economic impacts* (in ISPM 11 and ISPM 16)
- economic consequences and potential economic consequences (in ISPM 11)
- commercial consequences and non-commercial consequences (in ISPM 11).

ISPM 11 notes in section 2.1.1.5 with respect to pest categorization, that there should be a clear indication that the pest is likely to have an unacceptable economic impact, including environmental impact, in the PRA area. Section 2.3 of the standard describes the procedure for assessing potential economic consequences of a pest introduction. Pest effects may be considered to be direct or indirect. Section 2.3.2.2 addresses analysis of commercial consequences. Section 2.3.2.4 provides guidance on the assessment of the non-commercial and environmental consequences of pest introduction. It acknowledges that certain types of effects may not apply to an existing market that can be easily identified, but it goes on to state that the impacts could be approximated with an riate non-market valuation method. This section notes that if a quantitative measurement is no a this part of asible, the assessment should at least include a qualitative analysis and an explana n of how the nformation is used in the PRA. Environmental or other undesirable effects of ca covered in asures are section 2.3.1.2 (Indirect pest effects) as part of the analysis of potenti nsea ices. Where économie a pest risk is found to be unacceptable, section 3.4 provides gu n of pest risk ance of easibility and least trade management options, including measurements of cost-effect restrictiveness.

In April 2001 the ICPM recognized that under the IPPC s existing that, to take account of environmental concerns, further clarification should include consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to potential environmental risks that is the state of the consideration of the following five proposed points relating to the consideration of the

- reduction or elimination of endangered or time aned, ative plant species
- reduction or elimination of a keysto is plant species (a species which plays a major role in the maintenance of an ecosystem)
- reduction or elimination of a plant species which it a major component of a native ecosystem
- causing a change to plant biol rical discord in such a way as to result in ecosystem destabilization
- resulting in control adicate as me agement programmes that would be needed if a quarantine pest was introduced, and impacts of a chaprogrammes (e.g. pesticides, non-indigenous predators or parasites) a solological diversity.

Thus it is clear, with research plants ests, that the scope of the IPPC covers the protection of cultivated plants in agriculture and forestry, uncultivated/unmanaged plants, wild flora, habitats and ecosystem.

4. Ecol. Ac Considerations in PRA

4.1 Types of conomic effect

In PRA, economic effects should not be interpreted to be only market effects. Goods and services not sold in commercial markets can have economic value, and economic analysis encompasses much more than the study of market goods and services. The use of the term *economic effects* provides a framework in which a wide variety of effects (including environmental and social effects) may be analysed. Economic analysis uses a monetary value as a measure to allow policy makers to compare costs and benefits from different types of goods and services. This does not preclude the use of other tools such as qualitative and environmental analyses that may not use monetary terms.

4.2 Costs and benefits

A general economic test for any policy is to pursue the policy if its benefit is at least as large as its cost. Costs and benefits are broadly understood to include both market and non-market aspects. Costs and benefits can be represented by both quantifiable measurements and qualitative measurements. Non-

market goods and services may be difficult to quantify or measure but nevertheless are essential to consider.

Economic analysis for phytosanitary purposes can only provide information with regard to costs and benefits, and does not judge if one distribution is necessarily better than another distribution of costs and benefits of a specific policy. In principle, costs and benefits should be measured regardless to whom they occur. Given that judgements about the preferred distribution of costs and benefits are policy choices, these should have a rational relationship to phytosanitary considerations.

Costs and benefits should be counted whether they occur as a direct or indirect result of a pest introduction or if a chain of causation is required before the costs are incurred or the benefits realized. Costs and benefits associated with indirect consequences of pest introductions may be less certain than costs and benefits associated with direct consequences. Often, there is no monetary information about the cost of any loss that may result from pests introduced into natural environments analysis should identify and explain uncertainties involved in estimating costs and benefits a assumption should be clearly stated.

5. Application

The following criteria¹ should be met before a pest is deemed to ave potential economic importance:

- a potential for introduction in the PRA area
- the potential to spread after establishment
- a potential harmful impact on plants, for example
 - · crops (for example loss of yield or quality)
 - the environment, for example day sor, was, habitats or species
 - some other specified value, for example recognition, ourism, aesthetics.

As stated in section 3, environmental the introduction of a pest, is one of the types arising from of damage recognized by the IPPC. The espect the third criterion above, contracting parties to the IPPC have the right to adopt phyto anitary measures even with respect to a pest that only has the potential for environmental desce. Such ction should be based upon a pest risk analysis that includes the consideration of evid environmental damage. When indicating the direct and ce or indirect impact of peg t, the nature of the harm or losses arising from a pest on the environ in pest risk analysis. introduction should specifi

In the case of regulate an equaration pests, because such pest populations are already established, introduction it are as of a cert and environmental effects are not relevant criteria in the consideration of economically unacceptable apacts (see ISPM 16 and ISPM 21).

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¹ With respect to the first and second criteria, IPPC (1997) Article VII.3 states that for pests that may not be capable of establishment, measures taken against these pests must be technically justified.

This appendix is for reference purposes only and is not a prescriptive part of the standard.

APPENDIX TO SUPPLEMENT 2

This appendix provides additional clarification of some terms used in this supplement.

Economic analysis: It primarily uses monetary values as a measure to allow policy makers to compare costs and benefits from different types of goods and services. It encompasses more than the study of market goods and services. Economic analysis does not prevent the use of other measures that do not use a monetary value; for example, qualitative or environmental analysis.

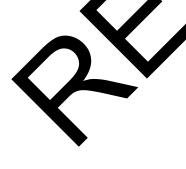
Economic effects: This includes market effects as well as non-market effects, such as environmental and social considerations. Measurement of the economic value of environmental effects or social effects may be difficult to establish. For example, the survival and well-being of another species or the value of the aesthetics of a forest or a jungle. Both qualitative and quantitative worth may considered in measuring economic effects.

Economic impacts of plant pests: This includes both market measures as we as those consequences that may not be easy to measure in direct economic terms, but which represent a loss of damage to cultivated plants, uncultivated plants or plant products.

Economic value: This is the basis for measuring the cost of the effect. Change (e.g. in biodiversity, ecosystems, managed resources or natural resources) on hun in welfax. Good and services not sold in commercial markets can have economic value. Determining conomic values not prevent ethical or altruistic concerns for the survival and well-being of corps.

Qualitative measurement: This is the valuation littles characteristics in other than monetary or numeric terms.

Quantitative measurement: This is the valuation of qualies or characteristics in monetary or other numeric terms.



This appendix was adopted by the Fourth Session of the Commission on Phytosanitary Measures in March–April 2009.

The appendix is for reference purposes only and is not a prescriptive part of the standard.

APPENDIX 1: Terminology of the Convention on Biological Diversity in relation to the Glossary of phytosanitary terms

1. Introduction

Since 2001, it has been made clear that the scope of the IPPC extends to risks arising from pests that primarily affect the environment and biological diversity, including harmful plants. The Technical Panel for the Glossary, which reviews ISPM 5 (*Glossary of phytosanitary terms*, hereinafter referred to as the Glossary), therefore examined the possibility of adding new terms and definitions to the standard to cover this area of concern. In particular, it considered the terms and definitions that are in use by the Convention on Biological Diversity (CBD)*, with a view to adding them to the Glossary, as has previously been done in several cases for the terminology of other intergovernmental canada anizations.

However, study of the terms and definitions available from the CBD has shown that they be based on concepts different from those of the IPPC, so that similar terms are given districtly different meanings. The CBD terms and definitions could not accordingly be used directly in the Globary it was decided instead to present these terms and definitions in the present appendix of the Consary, providing explanations of how they differ from IPPC terminology.

This Appendix is not intended to provide a clarification of the scope of the IPPC.

2. Presentation

In relation to each term considered, the CB definition provided. This is placed alongside an "Explanation in IPPC context", in which, a terms (or derived forms of Glossary terms) isual, Gloss are shown in **bold**. These explanation CBD terms, in which case these are also in also include ma **bold** and followed by "(CBD)". The olan ns cons te the main body of this Appendix. Each is followed by notes, providing further cla ne of the difficulties. icatio.

3. Terminology

3.1 "Alien species

CBD definition	Explanation in IPPC context
introduced outsid its nature past ¹ or present distributor; in acceptant, gametes, seeds,	An alien ² species (CBD) is an individual ³ or population, at any life stage, or a viable part of an organism that is non-indigenous to an area and that has entered ⁴ by human agency ⁵ into the area

Notes:

¹ The qualification concerning "past and present" distribution is not relevant for IPPC purposes, since the IPPC is concerned only with existing situations. It does not matter that the species was present in the past if it is present now. The word "past" in the CBD definition presumably allows for the reintroduction of a species into an area where it has recently become extinct and thus a reintroduced species would presumably not be considered an alien species.

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² "Alien" refers only to the location and distribution of an organism compared with its natural range. It does not imply that the organism is harmful.

^{*} The terms and definitions discussed in this document have resulted from discussion on invasive alien species by the Parties of the Convention on Biological Diversity (Secretariat of the Convention on Biological Diversity).

- ³ The CBD definition emphasizes the physical presence of individuals of a species at a certain time, whereas the IPPC concept of occurrence relates to the geographical distribution of the taxon in general.
- ⁴ For CBD purposes, an alien species is already present in the **area** that is not within its native distribution (see **Introduction** below). The IPPC is more concerned with organisms that are not yet present in the area of concern (i.e. quarantine pests). The term "alien" is not appropriate for them, and terms such as "exotic", "non-indigenous" or "non-native" have been used in ISPMs. To avoid confusion, it would be preferable to use only one of these terms, in which case "non-indigenous" would be suitable, especially as it can accompany its opposite "indigenous". "Exotic" is not suitable because it presents translation problems.
- ⁵ A species that is non-indigenous and has entered an **area** through natural means is not an **alien species** (**CBD**). It is simply extending its natural range. For **IPPC** purposes, such a species could still be considered as a potential **quarantine pest**.

3.2 "Introduction"

CBD definition	Explanation in IPPC Intext
direct, of an alien species ⁶ outside of its natural range (past or present). This movement can be either within a country or	The entry of a socies into an area ordere it is non-indigenous, through revenent by human agency, either directly from an area ordere the species is indigenous, in indirectly of successive movement from a area where the species is indigenous through one or so en areas or re it is not)

Notes:

⁶ The CBD definition suggests that **introduction** (**CBD**) oncerns an **alien species** (**CBD**), and thus a species that has already entered the arc. He ever, it may e supposed, on the basis of other documents made available by CBD, that this is not o, and that a no indigenous species entering for the first time is being **introduced** (**CBD**). For CBD, a species **introduced** (**CBD**) many times, but for IPPC a species, once established, capacity be **introduced** again.

3.3 "Invasive alien species"

CBD definition	Explanation in IPPC context
An alien species whose introduction and/or spread threaten ⁹ biological diversity ^{10, 11}	An invasive ¹² alien species (CBD) is an alien species (CBD) that by its establishment or spread has become injurious to plants ¹³ , or that by risk analysis (CBD) ¹⁴ is shown to be potentially injurious to plants

⁷ The issue of "areas bey and national partial tion" is not relevant for the IPPC.

⁸ In the case of indir at, it is not specifically stated in the definition whether all the movements mover must baintroductions (CBD) (i.e. by human agency, intentional or from one area to a be by natural movement. This question arises, for example, where unintentional a species is to one **area** and then moves naturally to an adjoining **area**. It seems ay be co that this sidered as an indirect introduction (CBD), so that the species concerned is an alien ing area, despite the fact that it **entered** it naturally. In the IPPC context, the species (intermediate untry, from which the natural movement occurs, has no obligation to act to limit the natural moveme though it may have obligations to prevent intentional or unintentional introduction (CBD) if the importing country concerned establishes corresponding phytosanitary measures.

Notes:

- ⁹ The word "threaten" does not have an immediate equivalent in IPPC language. The IPPC definition of a **pest** uses the term "injurious", while the definition of a **quarantine pest** refers to "economic importance". ISPM 11 makes it clear that **quarantine pests** may be "injurious" to **plants** directly, or indirectly (via other components of ecosystems), while Supplement 2 of the Glossary explains that "economic importance" depends on a harmful impact on crops, or on the environment, or on some other specific value (recreation, tourism, aesthetics).
- ¹⁰ **Invasive alien species (CBD)** threaten "biological diversity". This is not an IPPC term, and the question arises whether it has a scope corresponding to that of the IPPC. "Biological diversity" would then have to be given a wide meaning, extending to the integrity of cultivated plants in agro-ecosystems, non-indigenous **plants** that have been imported and **planted** for forestry, amenity or habitat management, and indigenous **plants** in any **habitat**, whether "man-made" or not. The **IPPC** does protect **plants** in any of these situations, but it is not clear whether the scope of the above wide; some definitions of "biological diversity" take a much narrower view.
- ¹¹ On the basis of other documents made available by CBD, **invasive lien stress** may so threaten "ecosystems, habitats or species".
- ¹² The CBD definition and its explanation concern the whole to invalve alien species and do not address the term "invasive" as such.
- 13 The context of the IPPC is the protection of **plants**. It is clear that the are effects on biological diversity that do not concern **plants**, and so there are **housive slien species** (**CBD**) that are not relevant to the **IPPC**. The IPPC is also concerned with **plant products** but it is not clear to what extent the CBD considers **plant products** as a component of bit is not clear to what extent the CBD it.
- ¹⁴ For the IPPC, organisms that have never entered the **ndangered area** can also be considered as potentially injurious to **plants**, as a result of **best risk ana** vsis.

3.4 "Establishment"

· · · · · · · · · · · · · · · · · · ·	
CBD definition	Explanation in IPPC context
The process ¹⁵ of an alice species in a new point successfully produce ag viable offspring ¹⁶ with a likelihood of continued success	The establishment of an alien species (CBD) in a habitat in the area it has entered , by successful reproduction

Notes:

- 15 **Estable ament** (**BD**) is a process, not a result. It seems that a single generation of reproduction can be **estable** medically provided the offspring have a likelihood of continued survival (otherwise there would be a comma after "offspring"). The CBD definition does not express the **IPPC** concept of "perpetuation to the foreseeable future".
- ¹⁶ It is not clear how far "offspring" applies to organisms that propagate themselves vegetatively (many **plants**, most fungi, other micro-organisms). By using "perpetuation", the **IPPC** avoids the question of reproduction or replication of individuals altogether. It is the species as a whole that survives. Even the growth of long-lived individuals to maturity could be considered to be perpetuation for the foreseeable future (e.g. plantations of a non-indigenous **plant**).

3.5 "Intentional introduction"

CBD definition	Explanation in IPPC context
· ·	Deliberate movement of a non-indigenous species into an area , including its release into the environment ¹⁸

Notes:

3.6 "Unintentional introduction"

CBD definition	Explanation in IPPC contex
All other introductions which are not intentional	Entry of a non-itangenous spector and a traded consignment, which it is ests or contaminates, or by some other human gency including pathways such as passengers traggast vehicles, artificial waterways

Notes:

3.7 "Risk analysis"

CBD definition	Explanation in IPPC context
1) the assessment of the consequences of the introduction and of the like so, of establishment of an even species using scrence-based information the, risk assessment), and 2) the identification the casures that can be implemented as reduce or manage these risks (i.e., risk management), thing into account socio-commics of cultural considerations ²¹	Risk analysis (CBD) ²² is: 1) evaluation of the probability of establishment and spread , within an area ²³ , of an alien species (CBD) that has entered that area , 2) evaluation of the associated potential undesirable consequences, and 3) evaluation and selection of measures to reduce the risk of such establishment and spread

Notes:

¹⁷ The "and/or" of the CBD definition is difficult to understand.

¹⁸ Under most phytosanitary import regulatory systems the intentional introduction of regulated pests is prohibited.

¹⁹ The prevention of unintentional introduction a regulatory systems.

²⁰ It is not clear wat kinds of consequences are considered.

²¹ It is not clear at what stages in the process of **risk analysis (CBD)** socio-economic and cultural considerations are taken into account (during assessment, or during management, or both). No explanation can be offered in relation to ISPM 11 or Supplement 2 of ISPM 5.

²² This explanation is based on the IPPC definitions of **pest risk assessment** and **pest risk management**, rather than on that of **pest risk analysis**.

²³ It is unclear whether **risk analysis (CBD)** may be conducted prior to **entry**, in which case the probability of **introduction** may also need to be assessed, and measures evaluated and selected to reduce the risk of **introduction**. It may be supposed (on the basis of other documents made available by CBD) that **risk analysis (CBD)** can identify measures restricting further introductions, in which case it relates more closely to **pest risk analysis**.

4. Other concepts

The CBD does not propose definitions of other terms, but does use a number of concepts that do not seem to be considered in the same light by the IPPC and the CBD, or are not distinguished by the IPPC. These include:

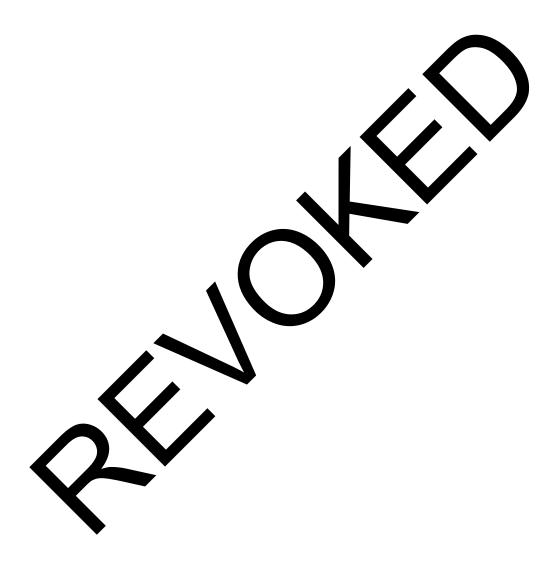
- border controls
- quarantine measures
- burden of proof
- natural range or distribution
- precautionary approach
- provisional measures
- control
- statutory measures
- regulatory measures
- social impact
- economic impact.

5. References

CBD. 1992. Convention on Biological Diversity. Montreal, BD.

CBD. Glossary of terms (available at http://www.calint/wasive/terms.shtml, accessed November 2008).





IPPC

The International Plant Protection Convention (IPPC) is an international plant health agreement that aims to protect cultivated and wild plants by preventing the introduction and spread of pests. International travel and trade are greater bever before. As people and commodities move around the world, organisms that present risks to plants travely and travely are the

Organization

- ◆ There are over 180 contracting parties to the RPC
- Each contracting party has a national party processor organization (NPPO) and ap-Official IPPO ontact point.
- ◆ Nine regional plant protection and sization (RPPOs) work to facilitate the implementation of the second countries.
- IPPC liaises with a evant introductional organizations to help build region. and regional capacities.
- The Secretariatis projected by the good and Agriculture Organization of the Units Nations (FAO).

Food and Agriculture Organization of the United Nations

IPPC Secretariat

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