

ACTION ITEMS ADOPTED BY CPM-3 REGARDING THE RESPONSE BY THE SPTA TO THE INDEPENDENT EVALUATION OF THE WORKING OF THE IPPC AND ITS INSTITUTIONAL ARRANGEMENTS

Recommendation	Agreement by SPTA*	SPTA Comment on the Recommendation	Action items adopted by CPM-3		
			Action	Timing	Unit Responsible
1. Standards and Standard-setting Process					
Quality and usefulness of standards					
1.1. As the existing concept standards cover already many fundamental international plant quarantine and inspection functions, there should be a greater balance in the selection of standards in favour of specific standards;	Agree	Processes are in place to improve the balance in favour of specific standards	Already in place	Continuing	SPTA, SC for additions to work programme and CPM
1.2. Industry stakeholders should be consulted and their knowledge and experience used at an early stage of the standard-setting process, particularly for specific standards on the basis of the Codex model (as explained in paragraph 56), and the necessary safeguards should be set up;	Partially agree	The consultation of industry stakeholders is a good practice of preparation within contracting parties. More industry stakeholders can be reached this way than through international stakeholder involvement.	Contracting parties to consult with stakeholders in their countries	Ongoing	Contracting parties
1.3. Greater efforts should be put into prioritization of standards, using existing criteria and weighting their importance as well as taking into account available resources;	Agree		As per recommendations from the Focus Group on Standard Setting Procedures	CPM-3 and ongoing	SPTA, SC, CPM
1.4. Priorities should also be based on maintaining an average number of three to four standards per year at least in the next three to five years (an increased number of standards may be envisaged where greater efficiency is gained in the process). The process through which priorities are established should be made clear to Contracting Parties;	Disagree	Want to maintain a target of 5 per year as per the CPM Business Plan The number of standards will depend on the nature of the standards Overlap with 1.13	Follow the CPM Business Plan	Ongoing, resource dependent	SC SPTA CPM
1.5. Opportunities should be sought to make greater use of existing standards, particularly those developed by RPPOs;	Agree	Awareness of other standards is important Potential usefulness of an inventory of other existing standards [Note: the response by the 19 th TC-RPPOs (Ottawa 2007) was: <i>Agree. This is already taking place.</i>]	As per the regular call, countries can consider other existing standards in their proposals RPPOs and other international organizations can submit their standards through the Secretariat	Ongoing	Contracting parties, SPTA, SC

* Abbreviations: IWG-TA - Informal Working Group on Technical Assistance; MOU - Memorandum of Understanding; OEWG - Open-ended working group; RPPO - Regional Plant Protection Organizations; SBDS - Subsidiary Body on Dispute Settlement; SC - Standards Committee; SPTA - Informal Working Group on Strategic Planning and Technical Assistance; TC-RPPOs or TC - Technical Consultation among RPPOs

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			(optional) in accordance with established procedures		
Environmental and biodiversity concerns					
1.6. A Technical Panel on Biodiversity should be established to review standards from the point of view of environmental impacts, biodiversity threats, and invasive species pathways that could be given accelerated priority and that could be included in the CPM work programme;	Disagree	<p>1.6 to 1.8: The SPTA summarizes its concerns with respect to Recommendations 1.6 to 1.8 as follows:</p> <p>The SPTA stresses that the IPPC is contributing to the protection of the environment and biodiversity by preventing the introduction and spread of invasive alien species which are regulated or potentially regulated pests.</p> <p>The SPTA clarifies that environmental concerns are systematically considered in the development of international standards. This has been taken into account in ISPMs, e.g. ISPM No. 5 supplement 2, ISPM#11, statement regarding cooperation with the CBD, consideration of environment as criteria in standard setting. The CPM has agreed that the scope of the convention extends beyond just cultivated plants.</p> <p>The SPTA believes that the role of the IPPC in relation to other conventions as well as the scope of the IPPC itself needs to be kept under review in this respect. In addition, the IPPC does not have the resources to establish a specifically designed work programme aimed at protecting the environment and/or biodiversity unless extra budgetary resources become available.</p>	<p>1. Keep under review issues of linkage and consistency with the environment</p> <p>2. Promote among contracting parties the responsibility to implement standards and the objectives of the IPPC, which includes reference to phytosanitary environment matters</p> <p>3. A statement regarding biodiversity considerations in all standards as appropriate (new standards as they are developed and old standards as they are revised)</p> <p>4. When new ISPMs are being specified, or existing ones revised, consideration of environmental and biodiversity concerns should be included in the specification, where appropriate</p>	<p>1. Ongoing</p> <p>2. Ongoing</p> <p>3. CPM-3</p> <p>4. Ongoing</p>	<p>1. CPM</p> <p>2. Contracting parties</p> <p>3. CPM</p> <p>4. SC, contracting parties, RPPOs</p>
1.7 Some standards should have a primary theme directed at biodiversity issues;	Disagree				
1.8 The Expert Working Groups, Technical Panels and Standards Committee should incorporate bio-diversity and environmental considerations into their work so that all standards address these concerns, not just the standards coming from the Technical Panel on Biodiversity. All standards should have a statement regarding their biodiversity impact;	Agree				
1.9. An Environmental Liaison Officer position should be created in the IPPC Secretariat with responsibility for environmental content in standards, information and training, and for leading the Technical Panel; and she/he could also carry out liaison functions with other international organizations for the Secretariat such as the Convention on Biodiversity;	Partially agree	<p>1.9 The SPTA partially agrees. At the current time the SPTA believes that a general liaison officer is needed for cooperation at a technical level with all other relevant international organizations.</p>	Staffing as per the CPM Business Plan	Depending on resources and other staffing actions	Secretariat

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Implementation of standards					
1.10. A procedure for monitoring implementation and impact of standards should be developed by the CPM, and used to inform both revisions of standards and the priorities and processes for developing new standards;	Agree	1.10 and 1.11: The SPTA advises that although the new revised text of the IPPC has only been in force for 2 years, the first investigation into the establishment of a compliance mechanism has been undertaken. Recommendations regarding implementation of standards may follow. Mechanisms for supporting implementation were proposed by OEWG on Possible Compliance Mechanisms	Dependent on the CPM reviewing and deciding how to proceed with the proposal by the OEWG on Possible Compliance Mechanisms	CPM-3 or 4	SPTA, SC, CPM
1.11 Each standard should have an implementation statement indicating the expected timeframe for implementation, an estimate of the potential impacts and costs and benefits of implementation, and a plan on how implementation could be achieved and monitored;	Disagree				
1.12. Regional workshops reviewing draft ISPMs should continue and new regional workshops promoting implementation should be initiated, with the assistance of RPPOs;	Agree with the 1st part Partially agree with the 2nd part	Workshops supporting implementation -within a capacity building strategy [Note: the response by the 19 th TC-RPPOs (Ottawa 2007) was: <i>Agree with the recommendations including assistance from RPPOs . A coordinated strategy will be necessary between IPPC and RPPOs in order to accomplish the new regional WS on implementation The TC-RPPOs notes that Goal 1 of the CPM BP includes RPPO assistance to members for the implementation of standards]</i>	Expand technical assistance and capacity building strategy in relation to the issue of implementation Combination of RWS on ISPMs with training on implementation of ISPMs proposed	2008	Secretariat, SPTA, IWG-TA CPM-3
Maintenance of the current level of standard setting					
1.13 The CPM should ensure that there is both sufficient direct funding either from the FAO Regular Programme or extra-budgetary sources, to recruit expertise in standard setting to facilitate the work of stewards and to be able to recruit the necessary expertise not provided on a voluntary basis and when needed;	Agree	In addition, the SPTA would like to point out that the aim of the CPM is to adopt 5 ISPMs or their equivalent annually, as outlined in the CPM Business Plan. The estimated costs of 5 ISPMs per year is US\$1.5 million, of which currently approximately \$200,000 are contributed in kind by member state experts acting as stewards for individual standards.	Develop, implement and promote a multi-year funding strategy	2007/08	Secretariat, SPTA, Bureau
Participation of Contracting Parties					
1.14 Sufficient financial and technical support should be directed at active participation of experts from developing countries in the SC, and EWGs and TPs (this will mean the active search and financial support of experts from developing countries);	Agree	The SPTA recognizes the aim of that recommendation and fully supports it.	Develop, implement and promote a multi-year funding strategy	2007/08	Secretariat, SPTA, Bureau

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Transparency of the standard-setting process					
1.15 Minutes of standard-setting committees (EWGs, TPs, SC) should provide sufficient detail on the nature and depth of the debates on key issues related to draft standards, and be available prior to member consultations;	Agree	Reports of these groups are intended to show the outcome of these discussions. Reports will be posted to the IPP as per decisions of Focus Group and SPTA. Experts should ensure that sufficient detail is recorded in the reports.	Secretariat: 1. Remind committees of need for detail in their reports 2. Post the reports	Ongoing	Secretariat - responsible for posting the report Meeting participants - responsible for adopting reports with sufficient detail
1.16. Greater time should be allocated between the end of member consultation on draft ISPMs and the SC meeting and the posting of SC approved draft ISPMs and the meeting of the CPM to allow time for feedback on comments and to achieve greater consensus prior to the CPM;	Agree	The SC decides on the appropriate time to present the draft to the CPM	SC decides on the appropriate time to present the draft ISPM to the CPM	2007 and ongoing	SC
1.17. A three-year standard-setting cycle would be more appropriate to ensure adequate time for standards specification, drafting and consultation;	Partially agree	See 1.16 which incorporates flexibility into the timing of the standard setting cycle	SC decides on the appropriate time to present the draft ISPM to the CPM	2007 and ongoing	SC
1.18 The number of permanent professional staff in the Secretariat involved in supporting the standard-setting process should be increased from 1.5 person years to 4 person years plus part of the time from the Senior Environment Liaison Officer (mentioned above); (This did not include temporary staff and contractual arrangements);	Partially agree	The SPTA partially agrees but believes that the number of permanent professional staff in the Secretariat for the standard setting process should be increased from 1.5 person years to 6 person years as rationalized in the CPM Business Plan. This assumes less work done on a voluntary basis, which is contrary to the assumption in the evaluation report. This is necessary because in-kind contributions by member states with experts acting as stewards may not continue and is not necessarily the most efficient way of working. The arrangement with stewards was set up as a short-term option to deal with the shortage of staff in the Secretariat.	Staffing as per the CPM Business Plan	Depending on resources and other staffing actions	Secretariat
1.19 The Secretariat should be able to have a greater role all along the standard-setting process in support of the EWGs, TPs, the SC and the CPM with a view to increasing transparency, quality of the work and facilitating participation of all Contracting Parties;	Agree	The capacity of the Secretariat should be strengthened.	Staffing, as per the CPM Business Plan	Dependent on resources	Secretariat

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2. Information Exchange					
Assistance to Contracting Parties					
2.1. The IPPC Secretariat should continue to assist countries to better understand their information reporting obligations and to provide training on how to use the IPP to meet those obligations;	Agree		As per recommendation	Ongoing	Secretariat
2.2. Once the Secretariat finishes giving the basic workshop to Contracting Parties in all the regions, future training support should involve the development and provision of short refresher courses to reinforce the training and ensure capacity;	Agree	Opportunities should be explored to combine training workshops with refreshers workshops, consider networking amongst editors	As per recommendation	Ongoing, and as resources become available	Secretariat
Evaluation of obligation status					
2.3. The IPPC Secretariat should consider developing a basic form, available on the IPP, for countries to use to auto-evaluate their reporting obligation status, as well as the accuracy of the data provided. Countries could be encouraged to auto-evaluate their status on a regular basis (e.g. yearly);	Agree	2.3 and 2.4 Need to consider mechanisms and tools to be used	CPM to review and decide on how to proceed with the proposal by the OEWG on a Possible Compliance Mechanism	CPM-3 or 4	Secretariat, CPM, contracting parties
2.4. In view of the arrival of new editors and the need for refresher information by existing ones, the IPPC Secretariat should continue the development of appropriate capacity-building tools;	Agree		Develop appropriate capacity-building tools and IPP manual	2008 depending on resources	Secretariat, IPP Support Group
Increased availability of information					
2.5. The IPPC Secretariat should establish formal linkages with other information exchange mechanisms and their databases in particular with RPPOs and the International Portal on Food Safety, Animal and Plant Health, through Memoranda of Understanding or other appropriate mechanisms to improve the availability of information and to increase the usefulness of the IPP;	Agree	<p>The SPTA shares the comments contained in the FAO Management response, i.e.,</p> <p>Consider the need for a formal working group within the Organization, to make best use of resources in the International Portal on Food Safety, Animal and Plant Health (IPFSAPH) and synergies with similar information exchange programmes where possible (e.g. Codex Alimentarius).</p> <p>[Note: the response by the 19th TC-RPPOs (Ottawa 2007) was:</p> <p><i>The TC-RPPOs agrees in principle with this recommendation, based on availability of the information.</i>]</p>	Further develop joint work programmes and associated MOUs where required	2008	IPPC, Secretariat, FAO

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2.6. Information provided through RPPOs should be recognized as a legal reporting route for the IPPC, providing that IPPC can harvest the information. This would imply that a standard format for data exchange be defined in the Memorandum of Understanding to permit periodic harvesting of data from these official sources.;	Agree	Recognize as an official reporting route rather than legal.	1. Consult with FAO legal office regarding legality	1. 2007	1. Secretariat
	Partially agree	Development of a MOU depends on outcome of legal interpretation, but SPTA preference is to do without MOU. Note: the response by the 19 th TC-RPPOs (Ottawa 2007) was: <i>The 19th TC-RPPOs agreed on recognizing the RPPOs as an official reporting route and using a standard format for this purpose. However, the TC believes that the word "legal" in the recommendation is not appropriate and should be replaced with the word "official".</i>	2. Discuss at next CPM and discuss implementation at next TC of RPPOs 3. Continue to develop standard format for Reporting	2. 2008 3. Ongoing	2. TC and Secretariat 3. Secretariat and RPPOs
2.7. Further, the IPPC Secretariat should establish a mechanism for Contracting Parties to officially declare to the IPPC which reporting channel they are using to meet their reporting obligations;	Disagree	Mechanism already exists through the IPP Not all reporting obligations from a CP need to use the same reporting channel Also addressed through recommendations 2.8 and 2.9	Wait for outcome of actions under 2.6 (consultation with FAO legal)		
Compliance with mandatory information exchange obligations					
2.8. Compliance with mandatory information exchange obligations should be given much greater emphasis by the CPM and the Secretariat;	Agree	Refer to recommendations of the OEWG Contracting parties need to commit to meeting their reporting obligations These recommendations should be considered when discussing international recognition of pest free areas	Dependent on the CPM reviewing and deciding how to proceed with the proposal by the OEWG on Possible Compliance Mechanisms	CPM-3 or 4	CPM and Secretariat
2.9. A monitoring and compliance system for meeting mandatory IPPC reporting obligations should be developed and implemented. (A first step in that direction would be to publish country information reporting every year at the CPM.) This system should specifically track Contracting Party compliance with all reporting obligations;	Agree	The OEWG on Possible Compliance Mechanisms used the term implementation monitoring. Monitoring is a responsibility of CPM	Dependent on the CPM reviewing and deciding how to proceed with proposal by OEWG on Possible Compliance Mechanisms	CPM-3 or 4	CPM and Secretariat

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Professional support					
2.10. The Secretariat should hire a Webmaster for information exchange and a Programmer to maintain the IPP and to improve its tools and features;	Agree	2.10 and 2.11: The SPTA generally agrees, but stresses that the staff resources for information exchange of 5 persons projected in the CPM Business Plan need to be realized. Note that webmaster has been hired “external” IT assistant is understood to refer to external to the Secretariat	To discuss further in recommendation 6.9		
2.11. Funding should be made available for hiring external Information Technology professional assistance to assist with the maintenance of the IPP and to support its further development;	Agree		To discuss further in recommendation 6.9		
3. Technical Assistance					
Coordination of Global Support					
3.1 FAO, and not the IPPC Secretariat, is best placed to coordinate global support for strengthening national phytosanitary capacity; and	Disagree	<p>Contrary to the recommendation in the report, the SPTA feels that the IPPC secretariat is best placed to coordinate phytosanitary capacity building. To this end, the SPTA recommends the development of a phytosanitary capacity building strategy which addresses implementation, funding, and linkages to FAO resources. The strategy, as developed, will specify reporting channels.</p> <p>The requirements for phytosanitary capacity strengthening are best understood within the IPPC and not in the larger FAO system. The recommendation in the evaluation report would have the effect of relegating phytosanitary issues to a lower level. The CPM is made up of the world leaders of plant health and the Secretariat is staffed with some of the best expertise that can be found worldwide in phytosanitary matters. It would be better if the capacity of the IPPC Secretariat was improved to address the shortcomings of the technical assistance programme identified in the evaluation report. In this regard, the link between the IPPC Secretariat and FAO Technical Cooperation Programme and donors needs to be strengthened with the lead within the IPPC Secretariat, rather than outside it.</p>	Develop and facilitate implementation of capacity building strategy	starting 2008	Secretariat, SPTA, Bureau, CPM

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<p>3.2 An International Consultative Group on Technical Assistance and Capacity-Building on Phytosanitary Matters should be set up and coordinated by the FAO Plant Production and Protection Division.</p> <p>The group:</p> <p>a) would be open to all donors and recipient countries in the field of phytosanitary capacity;</p> <p>b) objectives would be to define priority needs, facilitate resource mobilization, and ensure coordination;</p> <p>c) it should establish effective linkages with the CPM;</p>	Disagree	Same reason as for 3.1. In addition it is felt that the recommendation in the report would add unnecessary new layers of decision making.			
Organization of Technical Capacity					
3.3 FAO, through the Plant Production and Protection Division, should organize the necessary technical capacity outside the IPPC Secretariat as part of its regular programme with a view to providing technical assistance in support of phytosanitary capacity development. FAO should do so taking into account its resources and in partnership with other main actors;	Disagree	Taking into account its resources and in partnership with other organizations, FAO should provide strong support to the IPPC for phytosanitary capacity building in developing countries.	Develop and facilitate implementation of capacity building strategy	Starting 2008	Secretariat, SPTA, Bureau, CPM
3.4 FAO should report to the CPM on its phytosanitary technical assistance;	Agree		As per recommendation	Ongoing	Secretariat
IPPC Technical Assistance					
3.5 Technical assistance carried out directly under the IPPC should be limited to its core business, i.e. closely linked to a better understanding of standards and monitoring of the impact of these standards, the development and use of the IPP as a tool for information exchange among Contracting Parties, and support to developing country attendance at technical and governance meetings;	Partially agree	<p>The technical capacity building strategy should consider:</p> <ul style="list-style-type: none"> • support in the development and implementation of standards • better understanding of these standards • monitoring of the impact of these standards • development and use of the IPP as tool for information exchange • support for developing countries' preparation for and participation in technical meetings. • support for developing countries' technical inputs into phytosanitary policymaking <p>In addition, the CPM Business Plan specifies the critical areas addressed under the IPPC's technical assistance programme as being:</p> <ul style="list-style-type: none"> • modernization of legal frameworks 	Develop and facilitate implementation of capacity building strategy	Starting 2008	Secretariat, SPTA, Bureau, CPM

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		<ul style="list-style-type: none"> institutional strengthening training in relation to the implementation of ISPMs pest surveillance pest risk analysis skills information systems for decision making documented procedures laboratory facilities strengthening of national capabilities and systems for the eradication/containment of introduced pest species establishment of pest free areas. 			
4. Dispute Settlement					
4.1. Continued effective support should be given to maintain the newly established Subsidiary Body on Dispute Settlement and to promote awareness of the IPPC's dispute settlement procedures;	Agree	The role of the dispute settlement system will continue to be promoted	Report shall be provided Secretariat to liaise with SBDS Promotion continues	CPM 3 Ongoing Ongoing	Secretariat Secretariat Secretariat, SBDS, Bureau, CPM
4.2. The CPM should encourage Contracting Parties, when appropriate, to make use of this process;	Agree	Parts of the system are being used	Report use of the system to CPM	Ongoing	SBDS, Secretariat
5. Governance					
CPM Programme of Work					
5.1 The CPM should review and formally adopt the annual programme of work and related budget;	Agree	See combined response to 5.1, 7.2 and 7.3 under recommendation 7.	As per the recommendation, the CPM will review and adopt the distribution of the funds allocated by FAO, as well funds from other sources	CPM meetings	CPM
CPM's Cost					
5.2 In order to reduce the CPM's cost, it is recommended that translation costs should be reduced by outsourcing these activities;	Agree	<p>The SPTA fully supports the recommendation, provided such translations are consistent with the expectations of the IPPC and within FAO requirements.</p> <p>The programme committee, in response to the intervention of the vice chair of the CPM, supported the ESPTA decision that the translation policy be relaxed.</p>	<p>Request an update on possibility to outsource translation for CPM- 4</p> <p>Request that contracting parties discuss the issue on behalf of the Bureau and raise it at FAO council and conference</p>	CPM-4 (enquire in Oct-Nov 2008) Next FAO council and conference	FAO CPM Chair

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		“It also noted the view of the Vice-Chair of the CPM that standards were highly technical and best translated by plant protection professionals. The Committee agreed that the FAO policy in this regard should be further reviewed with a view to its relaxation.”			
Information					
5.3 Acknowledging that one of the CPM’s key functions should remain the review of phytosanitary issues at the global level, but noting that the Secretariat does not have the capacity to carry out such a review on a regular basis. FAO (and not the IPPC Secretariat) should integrate into its core work programme a review of the phytosanitary status of the world as part of the technical services provided by the Plant Production and Protection Division to the IPPC and to the FAO membership as a whole;	Disagree	It should be noted that Article XI.2a of the IPPC, states that the “review of the state of plant protection in the world” is a function of the CPM and this is correctly stated in paragraph 145 of the evaluation report. The SPTA believes that a review of phytosanitary issues at a global level is best carried out under the IPPC because existing reporting channels like the IPP are already functional. The IPP could be an important medium in gathering information about the state of plant protection in the world and the increased efficiency or use of the IPP coupled with accurate reporting may contribute considerably to such a review.	Dependent on CPM reviewing and deciding how to proceed with proposal by OEWG on Possible Compliance Mechanisms	CPM-3 or 4	CPM
Structures and Transparency					
5.4 To combine the functions of the Bureau and the Informal Working Group on Strategic Planning and Technical Assistance into the newly enlarged Bureau;	Agree	The SPTA will still have one annual open ended meeting with the extended bureau as its core group	Elections for Bureau	2008	CPM
5.5 Greater transparency be ensured through various measures including quick availability of minutes of meetings and audio-recordings on the Internet as well as possibility to co-opt or invite experts;	Agree	Agree that transparency is an ongoing issue being addressed by CPM and its bodies, wherever practical and possible The Standards Setting Focus Group made recommendations regarding transparency in standard setting	Prepare minutes quickly and have docs available	Ongoing	Secretariat, others as appropriate
Effective management of the work to be undertaken by the Standards Committee					
5.6. The total membership of the Committee should be reduced to 14: two from each FAO Region;	Disagree	Should be no change, especially since CPM put considerable effort into reaching consensus on the size of the SC, and the decision should not be reviewed at this time			

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5.7. RPPOs should be involved in the identification of appropriate candidates;	Partially agree	This is a matter for each FAO Regional Group to decide. In several regions this is already the case. [Note: the response by the 19 th TC-RPPOs (Ottawa 2007) was: <i>The TC-RPPOs agrees with this recommendation</i>]	No further action		
Staffing					
5.8 The Secretariat should ensure that proposed members meet the requirements as described in the Standards Committee's rules of procedure (subsequently, candidates should be endorsed by the Bureau against agreed criteria before being submitted to the CPM for confirmation);	Partially agree	Secretariat to make sure that FAO regions are aware of the criteria and use criteria for nomination of SC members, and show how criteria have been met	Summarize requirements for SC members from existing rules of procedure	Annual - prior to the CPM meeting	Secretariat
6. Secretariat					
6.1 The Secretary post should not be associated with other FAO functions and should be a full-time D1 (Manager);	Agree	The SPTA strongly supports the aim of the recommendation of having a full-time D1 Secretary focusing on the leadership and management of the IPPC and its Secretariat (within FAO), and strategic relations with other international bodies. Any other activities of the Secretary should be complementary to that role. The Programme Committee also agrees with the recommendation The SPTA realizes that there is a budget implication	Appoint full time secretary	As soon as possible, but depending on resources	FAO
6.2 There should be open competition for the post of Secretary;	Agree	Bureau should be involved in developing job description	Draft job description	2007	Bureau, Secretariat
6.3 The Coordinator post should then be abolished;	Disagree	The SPTA believes that after appointing the full time Secretary, the Coordinator position must be maintained for at least a certain period in order to maintain and improve an efficient functioning of the Secretariat. Once the full time secretary is appointed, the workload and the CPM's expectations of the Secretariat should be reviewed to determine the appropriate structure, size and scope of the Secretariat.			

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6.4 The seniority of the posts dealing with the IPPC's two core functions (i.e. standard-setting and information exchange) should be upgraded to P5, supervising other professionals;		The SPTA believes that determination of pay grade is to be done by the IPPC Secretary and FAO and strongly recommends that staff is remunerated in accordance with their responsibilities as per 6.3 - review of structure			
Technical Assistance					
In view of the proposed changes regarding the role of the Secretariat on technical assistance: 6.5. Regional Plant Protection Officers should perform specific tasks against reimbursement from the IPPC budget. Activities funded from this source should be concerned with the primary role of the IPPC (e.g. standard-setting, information exchange and dispute settlement);	Agree	The time that FAO regional plant protection officers dedicate to IPPC activities should be broadly correlated with the IPPC contribution to their post. The activities of the regional plant protection officers will be determined by the CPM work programme and the technical capacity building strategy	1. Regional officers liaise with NPPOs in their region on the planning of their phytosanitary activities 2. Regional officers report through the Chief, AGPP to the IPPC Secretariat on phytosanitary activities	1. Immediate 2. Immediate	1. Regional plant protection officers, contracting parties 2. FAO, IPPC Secretariat
6.6. The activities carried out by the Regional Officers should be reported annually in the CPM as part of the activity and financial report of the Secretariat to the CPM;	Agree	The regional plant protection officers should report on their IPPC activities.	Regional plant protection officers report annually	Annually	IPPC Secretariat, Regional plant protection officers
Selection of staff					
6.7 In line with the provisions of Article XIV of the FAO Constitution, the Bureau and the representatives of the Director-General (e.g. from the Plant Production and Protection Division) will recommend a candidate for Secretary to the Director-General following a transparent and competitive selection process.	Agree	The SPTA agrees with the principle of the CPM or Bureau being involved in the selection process for the post of Secretary. Therefore, it recommends that FAO should investigate how CPM representatives may be involved in this process. Programme Committee suggested the bureau be involved Note: the response made by the ESPTA to 6.7 and 6.8: was based on an earlier version of the Evaluation Report, which was later modified by the Evaluation Team	As per recommendation	Dependent on resource availability	Bureau, FAO
6.8 A similar procedure will be followed for the selection of the professional staff of the IPPC Secretariat. Such staff would not be eligible for consideration as internal candidates for posts elsewhere in FAO.	Agree	The SPTA agrees with the principle of the CPM or Bureau being involved in the selection process for posts of professional staff, limited to the P4 and P5 level. Therefore, it recommends that FAO should investigate how CPM representatives may be involved in this process. Note the FAO Management Response, i.e. <i>"Professional appointments will be considered by the</i>	No action required as this extends beyond the authority of the CPM		

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		<i>Professional Staff Selection Committee (PSSC) before a short list is presented. For identification of the most qualified candidates for the short list, the positions will be widely advertised and nominations will be sought from relevant institutions and organizations, including the CPM. Once appointed, under FAO Staff rules, any staff member must be eligible for consideration as an internal candidate for posts elsewhere in FAO."</i>			
Structure and number of Professional Secretariat Staff					
6.9 Based on the analysis in the previous chapters, changes proposed regarding the structure and the number of professional staffing of the Secretariat are as follows: - D-1 IPPC Secretary (Manager) - 1 P-5 Senior Environmental Liaison Officer and Coordination with other international organizations - 1 P-5 IPPC Senior Standards Officer - 3 P-4 Standards Officers - 1 P-5 IPPC Senior Information Exchange Officer - 1 P-4 Information Officer - 1 P-3 Programmer - 1 P-2 Webmaster;	Partially agree	The SPTA believes that the CPM Business Plan (2007-2011) accurately reflects the staffing needs of the Secretariat. The recommendation does not reflect the capacity building staff needs as it recommends that this area be moved outside of the Secretariat (Rec 3.1). Neither does it reflect the general staff, nor contracted assistance (see Recommendations (and comments), 1.8, 1.18, 2.10 and 2.11) Staff requirements are set out in the Business Plan. Following the IPPC Evaluation, the meeting of the Focus Group on the Standards Setting Procedure, the meeting of the Programme Committee and the subsequent CPM 2008, there may be a need to review the Business Plan. It is noted that the both the IPPC Evaluation and the FAO Programme Committee recommended significant Secretariat staff increases.	Review of CPM Business Plan	2008-2009	SPTA, CPM
7. IPPC's Financial Resources					
7.1. FAO should preferably ensure systematic annual core funding of the Secretariat's core activities on a basis agreed upon by the CPM's expanded Bureau and FAO;	Agree	The SPTA agrees with the general aim of the recommendation as contained in the report but recommends that the terms "preferably" and "expanded bureau" be deleted so that the recommendation would read: <i>FAO should ensure systematic annual core funding of the Secretariat's core activities on a basis agreed upon by the CPM and FAO.</i> The basis for the CPM's consideration of core activities are the 7 strategic 5-year goals presented in the CPM Business Plan and aimed at implementing	FAO Council and Conference	2007 and ongoing	FAO

Recommendation	Agreement by SPTA*	SPTA Comment on the Recommendation	Action items adopted by CPM-3		
			Action	Timing	Unit Responsible
		<p>the provisions of the IPPC. The SPTA considers that the successful implementation of these goals will require sufficient resources both from FAO and external sources. This would also be in accordance with the opening speech of the Director-General at CPM-2.</p> <p>In addition, the SPTA would like to draw attention to paragraph 170 of the Evaluation Report. Funding of staff needs to be included in the list of that paragraph</p>			
7.2. The annual budget and programme should be defined by the expanded bureau.	Agree	<p>5.1, 7.2 and 7.3: The procedure for developing and adopting the work programme and associated budget should be carried out as follows:</p> <ul style="list-style-type: none"> Based on the financial resources provided by FAO regular programme and other contributions, the Bureau, in consultation with the Secretariat will develop and propose an annual work programme with an associated budget. Based on the proposals by the Bureau, the CPM may adopt the programme. <p>With respect to the <i>work programme and associated budget</i>, the Secretariat is fully accountable to the Bureau and the CPM and should provide detailed and clear financial reports.</p> <p>Note: The FAO Management Response: <i>According to the Convention, the Secretary is responsible for implementing the policies and activities of the Commission and carrying out such other functions as may be assigned to the Secretary by this Convention and shall report thereon to the Commission. In such a situation the Bureau can only have an advisory function unless the CPM decides otherwise. Furthermore, this can only be seen in the context of the CPM as an Article XIV body of the IPPC, which does not include financial responsibility for FAO's Regular Programme funds. FAO Management accepts that the Secretariat should continue to provide the CPM, the Bureau and the SPTA with detailed financial information and to make them aware of possibilities and limitations.</i></p>	As for 5.1 (... the CPM will review and adopt the distribution of the funds allocated by FAO, as well funds from other sources)		
7.3. The Secretariat should be fully accountable to the expanded Bureau and should provide detailed and clear financial reports;	Agree		Provide detailed and clear financial report	Bureau, SPTA and CPM	Secretariat

Recommendation	Agreement by SPTA*	SPTA Comment on the Recommendation	Action items adopted by CPM-3		
			Action	Timing	Unit Responsible
7.4. The Secretariat should have a more solid resource mobilization strategy, stressing the preference for multi-donor trust funding over bilateral funding;	Agree	The SPTA attributes considerable importance to this recommendation and agrees that the Secretariat should have a more solid resource mobilisation strategy, stressing the preference for multi-donor trust funding over bilateral funding. However, the SPTA emphasises that any form of extra-budgetary contribution at any time would be considered. In addition, it should be easy to contribute extra-budgetary resources to the IPPC. The development of a more solid resource mobilization strategy should be done in cooperation between Secretariat and the Bureau.	Develop strategy	2007-08	Secretariat and Bureau
7.5. Donor Contracting Parties should make an effort to tie their contributions to the IPPC's annual planning cycle;	Partially agree	Same comment as under 7.4			
7.6 More innovative approaches of funding such as cost-recovery schemes will have to be systematically and carefully considered in the future;	Partially agree	The SPTA partially agrees and emphasises that alternative funding mechanisms, including cost recovery schemes had been investigated since 2002 by the ICPM and CPM. It was found that cost-recovery schemes are not practical at present. However, other innovative approaches will be considered as part of the development of a resource mobilization strategy being developed under 7.4.	[Consider for Ministerial meeting]		
Regional Plant Protection Organizations (Suggestions)					
Para 189. The evaluation team identified a number of areas where RPPOs could have a greater role in the implementation of the Convention, which are: a) Information Exchange: The development of MOU for the establishment of systematic links with databases of RPPOs as discussed in the section above on Information Exchange; EPPO, NAPPO and COSAVE have particularly well-developed databases. b) Standards: i) RPPOs could play a greater role regarding the development and implementation of ISPMs, including the organization and conduct of regional workshops to review draft ISPMs; ii) RPPOs could plan the regional	Agree	Note: the following response by the 19 th TC-RPPOs (Ottawa 2007) was: <i>a) The TC-RPPOs agrees (refer 2.6)</i> <i>b) Standards</i> <i>i) The TC agrees and may be extended to cooperation between the RPPOs.</i> <i>ii) The TC agrees with the suggestion that in regions</i>	As per the TC response; TC should consider SPTA response to recommendation 2.6 Refer to response of TC	TC of RPPOs 2008	RPPOs

Recommendation	Agreement by SPTA*	SPTA Comment on the Recommendation	Action items adopted by CPM-3		
			Action	Timing	Unit Responsible
implementation of adopted ISPMs in cooperation with the FAO Plant Protection Officers. This could also involve the coordination of technical assistance requirements for Contracting Parties to meet their obligations as well as the provision of technical assistance support to facilitate the implementation of ISPMs.		<p><i>which have FAO Plant Protection Officers, a workplan should be developed for cooperation in implementation of ISPMs.</i></p> <p><i>However, coordination of technical assistance could be a new role for RPPOs and additional resources will be required and the capacity to varies from region to region. There may also be opportunities for collaboration among RPPOs in this activity</i></p> <p>Development of RPPOs could be aspect of capacity building strategy</p>	Consider RPPOs in capacity building strategy		[CPM]
<p>Para 190. The evaluation team was not in a position to conduct an evaluation of the RPPOs. However, it identified issues that need to be further explored and that should be addressed by FAO in the near future:</p> <ul style="list-style-type: none"> the Asia and Pacific Plant Protection Commission (APPPC) and the Caribbean Plant Protection Commission (CPPC)¹ are FAO subsidiary bodies; FAO should review carefully its support to these bodies. In particular, it should define ways of ensuring greater independence and long-term sustainability; Efforts should be undertaken to finalize the establishment of the Near East Plant Protection Organization; and FAO, in collaboration with relevant regional bodies, should explore opportunities to strengthen the capacity of certain RPPOs, such as the Inter African Phytosanitary Council (IAPSC), in collaboration with the African Union (AU). 	<p>Agree</p> <p>Agree</p>	<p>The SPTA fully supports the suggestions made in paragraph 190 of the evaluation report.</p> <p>Note: the response by the 19th TC-RPPOs (Ottawa 2007) was:</p> <ul style="list-style-type: none"> <i>APPPC - The TC-RPPOs believes that this is an FAO issue</i> <i>Near East – The TC-RPPOs agrees with the comment regarding the Near East</i> <i>Capacity - The TC agrees with the comment and noted that strengthening capacity should not be limited to only some RPPOs.</i> <p>The SPTA considers that all of the RPPOs should be strengthened and a strategy developed to ensure their sustainability</p> <p>Note the positive evolution of the APPPC over the past years</p>	As per the recommendation		[FAO]
			FAO to develop a strategy to strengthen RPPOs and ensure their sustainability	2008 and ongoing	FAO

¹ The CPPC is currently being disestablished and the RPPO activities will be taken over by the Caribbean Agricultural Health and Food Safety Agency (CAHFSA), which will function as the RPPO for the Caribbean subregion in accordance with Article IX of the New Revised Text of the IPPC.