



Food and Agriculture
Organization of the
United Nations



International
Plant Protection
Convention



INTERNATIONAL YEAR OF
PLANT HEALTH

2020

PROTECTING PLANTS,
PROTECTING LIFE

ENHANCING THE UNDERSTANDING OF THE CONCEPT ON AUTHORIZATION OF ENTITIES TO PERFORM PHYTOSANITARY ACTIONS:

AN OVERVIEW OF THE CURRENT USE OF AUTHORIZATION
BY NATIONAL PLANT PROTECTION ORGANIZATIONS

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Main Concerns on draft ISPM

- **Legal framework.** Some countries may not have the necessary legal framework in place.
- **Implementation resources.** Lack of available guidance to NPPOs and contracting parties to support implementation of the standard. The availability of adequate guides and training materials will be critical for development and maintenance of authorization programmes by NPPOs and for maintaining the integrity of such programmes.
- **Capacity development.** There may be a lack of entities with the experience and knowledge or a lack of NPPO capacity to provide training to those entities.
- **Conflict of interest.** It will be important to define and articulate potential conflicts of interest and transparently explain how they are managed when implementing an authorization programme.
- **Other issues.** There may be resistance on the part of users to change and to apply complex processes, meaning they would not accept authorized entities to perform certain actions.

Implementation Review Support System Study: Authorizing entities to perform phytosanitary actions: an overview of the current use of authorization by national plant protection organizations

Results

Acknowledgements

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Scope and objectives of the study

The IRSS desk study* is a preliminary study reviewing the global trends in the authorization of entities by NPPOs to perform phytosanitary actions.

It is not an exhaustive survey of authorization:

- limited internet searches in English
- some regions are therefore under-represented
- data collected about individual authorization programmes have not been validated
- report provides an indication of the extent to which authorization is being used
- does not define an “entity”
- not a legal advice

* <https://www.ippc.int/en/news/posted-irss-report-on-the-authorizing-entities-to-perform-phytosanitary-actions/>



Part I: Legislative framework and global and regional standards

- **The IPPC** (Article IV) is clear that the issuance of phytosanitary certificates is carried out by authorized public officers only, but it also allows for other phytosanitary actions to be carried out by public officers and properly authorized third parties.
- **CPM R-03** *Replacement or reduction of the use of methyl bromide as a phytosanitary measure (R-03)*, use is authorized or performed by the NPPO
- **Several ISPMs** and regional standards include references to the authorization of entities by NPPOs



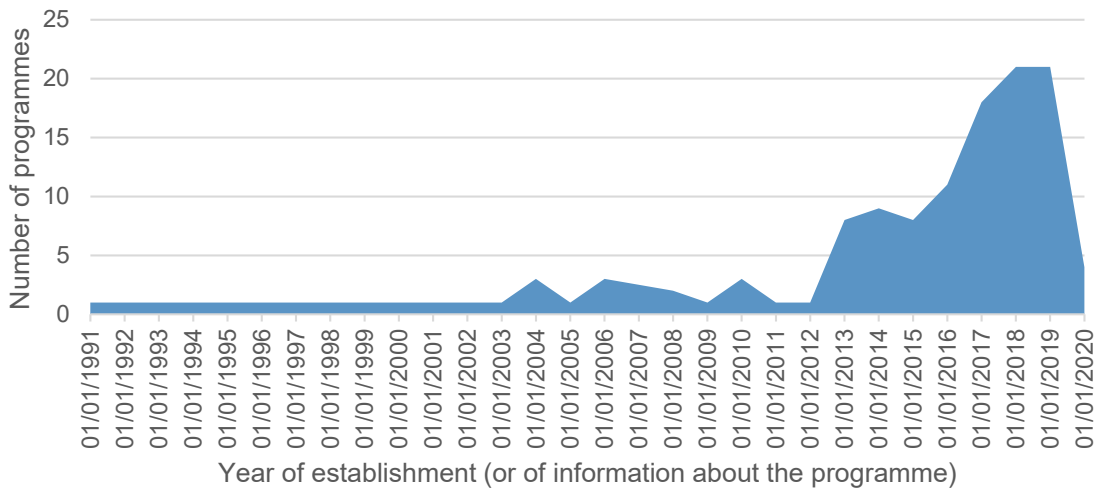


Part II: Implementation and capacity development resources

- Several existing implementation and capacity development resources developed under the auspices of the IPPC Secretariat provide guidance on the authorization of entities to perform phytosanitary actions on behalf of an NPPO



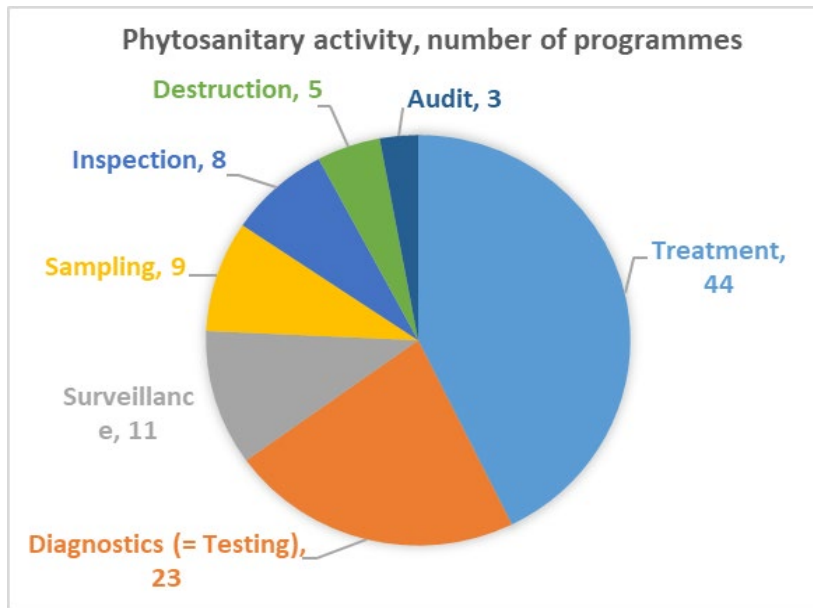
Part III: Review of existing NPPO authorization programmes



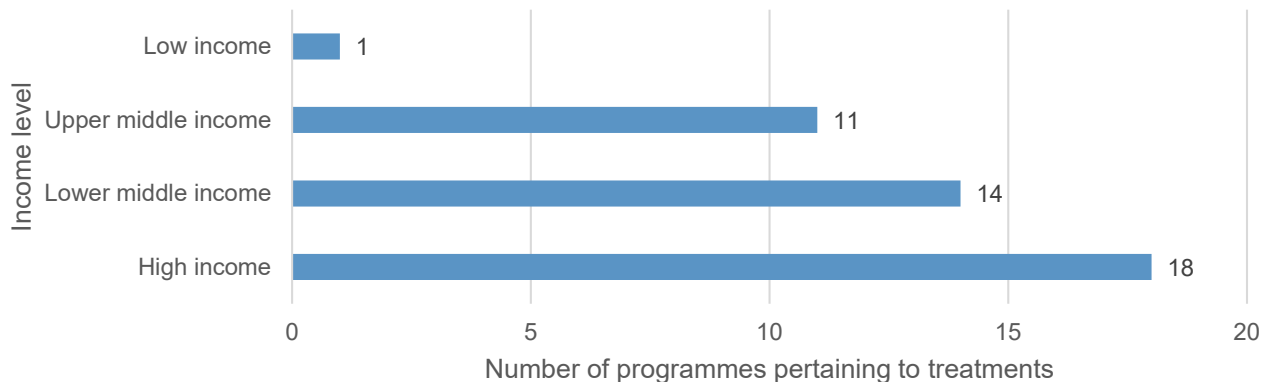
**Study programmes
over the years**

Breakdown of the types of programmes

Number of study
programmes by
activity



Authorization programmes for *treatments*, according to income level of the country





Part IV: Establishing an authorization programme

The authorization programmes identified in this study illustrate some of the implementation issues faced by NPPOs establishing authorization programmes and how these issues have been addressed such as:

Conflict of interest
Managing liabilities
Subcontracting
Accreditation

Nonconformities
Audit
Funding
Capacity development



Discussion and recommendations for further study

This desk study has illustrated:

- global trends in authorization of entities
- how implementation issues are being addressed in practice
- what factors may determine the success of an authorization programme

This study concludes with recommendations for any further study

Thank you for your attention!

Questions?

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