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Report from Implementation and Capacity Development Committee

Agenda item 11.1

Prepared by the IPPC Secretariat

TABLE OF CONTENTS:
Section 1 Introduction
Section 2 Implementation and Capacity Development Committee
Section 3 Global Plant Health Surveillance Programme
Section 4 Sea Containers Programme (note, details will be provided in CPM 2021/27)
Section 5 e-Commerce Programme
Section 6 National Reporting Obligations Programme
Section 7 Dispute Avoidance and Settlement Programme
Section 8 Implementation Review and Support System
Section 9 Adjustments to the List of Implementation and Capacity Development Topics (note, details will be provided in CPM 2021/29)
Section 10 Guides and Training Materials
Section 11 Implementation and Capacity Development Web Resources
Section 12 Projects
Section 13 Monitoring and Evaluation
Section 14 Phytosanitary Capacity Evaluations conducted
Section 15 Summary of requested CPM decisions

Section 1. INTRODUCTION

- [1] This report provides a summary of the activities undertaken by the Implementation and Capacity Development Committee (IC) in 2019 and 2020. These activities are designed to support the implementation of the Convention, standards and CPM Recommendations by Contracting Parties (CPs) by enhancing their phytosanitary capacity. An overview of the work of the IC is provided and specific sections provide further detail on some of the major IC activities.
- [2] For ease of reference, requested CPM decisions throughout this paper are summarized at the end of this paper.

Section 2. IMPLEMENTATION AND CAPACITY DEVELOPMENT COMMITTEE

- [3] In 2019, the IC met in May and in November. At the later meeting, observers were invited to participate. The IC provided guidance for Implementation and Capacity Development (ICD) activities which includes several programmes, two of which are under IC –Sub-group guidance and several others are under the guidance of an IC team. Summaries of activities (programmes) are provided below.
- [4] Many experts also contributed to the work of the IC and the IC would like to invite the CPM to thank those experts who left their roles or were involved in the publication of a guide or training material in 2019 and 2020. These experts are listed below.
- [5] In 2020, due to the global health crisis which has resulted in restrictions on travel and physical meetings, the IC agreed to continue their work virtually.

[6] It is in this particular context that the CPM Bureau requested the IPPC Secretariat to invite the newly selected IC members to join in the IC discussions as observers and extend the term of IC members until November 2020. To help prepare the IC observers, a virtual orientation session was set up to provide them with an overview of the IC activities. Furthermore, two training sessions were organized to provide basic skills on virtual meetings to ensure a better participation of IC members and observers.

[7] Eleven (11) IC virtual meetings (VM) were organized on the following issues:

2.1 General updates to the IC

[8] The first IC virtual meeting (VM01) was held to provide the IC a general updates from the IPPC Secretariat, the Bureau and on how e-learning materials are being developed within the Common Market for Eastern and Southern Africa (COMESA) Trade Facilitation Project¹.

2.2 Implementation Plan for Guides and Training Materials

[9] Two IC virtual meetings (VM02) and (VM04) were organized to discuss on draft Implementation Plan (IP) for Guides and Training Materials. The IC discussed how to increase the uptake of the existing IPPC Guides and training materials through enhanced communication and promotion. The IC decided to focus on three topics for the development of IP (Pest Free Areas, Pest status, and Surveillance).

2.3 Implementation and Capacity Development list of topics

[10] In view of the large number of ICD topics (33), the IC decided to schedule three virtual meetings (VM03 A, B and C) to discuss in-depth the list of ICD topics, explore and recommend the ways to move forward the work on these topics. An additional meeting was needed (VM08) to review the proposed changes and recommendations for priorities of ICD list of topics. It was also during VM08 that the IC proceeded to the election of the IC Chairperson and IC Vice-Chairperson.

2.4 Beyond Compliance project

[11] The IC meeting (VM05) was scheduled to update the IC on the implementation status of the STDF project “Rolling out Systems Approach Globally: Sharing tools for enhanced application of Systems Approach and market negotiation on plant pest risk” (MTF/INT/336/STF)² for which the IC stands as the Steering Committee. The IC agreed to explore ways to make the Beyond Compliance Tools accessible online and suggested that the project status report should be adjusted according to comments provided by the IC members to improve its readability.

2.5 Planning and Communication

[12] The sixth IC virtual meeting (VM06) was scheduled to discuss ways to improve communication between the IPPC Secretariat and the IC and to decide on the agenda items for future IC meetings until June 2021. During this meeting, the IC also discussed the assignment of IC leads for the ICD topics, IC Sub-groups and Teams.

2.6 IC Sub-group on IRSS

[13] The seventh IC meeting (VM07) took place to provide to IC an update on activities of IC Sub-group on Implementation Review and Support System (IRSS). The IC supported the idea that the IRSS should be a system for use by the CPM, Subsidiary bodies and the IPPC Secretariat, and which would be funded by the FAO regular programme (rather than being solely funded through projects) and would be used to provide insights and support when developing standards and guidance for their implementation. The IC also approved the work plan for the IC Sub-group on the IRSS, as modified during this meeting.

1 COMESA Trade Facilitation Project: <https://www.ippc.int/en/core-activities/capacity-development/projects-on-implementation-and-capacity-development/comesa-trade-facilitation-programme/>

2 STDF Rolling out Systems Approach Globally: <https://www.ippc.int/en/core-activities/capacity-development/beyond-compliance-project/>

2.7 IC Sub-groups and Teams & 2021 Work plan

[14] During the last IC meeting of year 2020 (VM09), the IC were updated on the activities of the IC Sub-group on Sea Containers Task Force and Programme. The IC received an update from the IC Team on NROs and agreed to the proposed work plan. They also agreed to a proposal for a new IC Sub-group on National Reporting Obligations (NROs) and approved the associated Terms of Reference. It was agreed that the IC Team on NRO could continue the work until the IC Sub-group's members could be selected. The involvement of the IC in the development of Explanatory documents was also discussed. The Implementation and Facilitation (IFU) also took the opportunity to present to the IC its work plan for 2021.

[15] Reports from the above-mentioned IC meetings are posted on the IPP³.

[16] Cooperation between the Standards Committee (SC) and the IC is of paramount importance and the IC is constantly exploring new ways of enhancing this collaboration and effective use of resources.

[17] The CPM is invited to:

- (1) *note* the work of the Implementation and Capacity Development Committee in 2019 and 2020.
- (2) *thank* the following Implementation and Capacity Development Committee members who ended their term in 2020 for their work and important contributions in the work of this Committee:
 - Ms Sally JENNINGS (New Zealand)
 - Mr Mamoun ALBAKRI (Jordan)
 - Mr Dilli Ram SHARMA (Nepal)
 - Mr Yuji KITAHARA (Japan)
 - Mr Ngatoko NGATOKO (Cook Islands)
 - Mr Philip KARONJO NJOROGE (Kenya)
- (3) *thank* the following experts for their contributions:
 1. For their contributions to the Guide for Establishing and Maintaining Pest Free Areas:
 - Mr Roberto Carlos RAZERA PAPA (Brazil),
 - Mr. Ahmed HUSSEIN (Egypt),
 - Ms Alies Van SAUERS-MULLER (Suriname),
 - Mr Kenneth A. BLOEM (USA) and
 - Mr Walther ENKERLIN HOEFLICH (Joint FAO/IAEA Division)
 2. For their contributions to the Guide on Pest Risk Communication:
 - Mr Michael MANDER (Canada),
 - Ms Andrea SISSONS (Canada),
 - Ms Melanie NEWFIELD (New Zealand),
 - Ms Leanne STEWART (New Zealand) and
 - Mr Alan MACLEOD (United Kingdom)

³ IC meeting reports: <https://www.ippc.int/en/core-activities/capacity-development/capacity-development-committee/>

Section 3 GLOBAL PLANT HEALTH SURVEILLANCE PROGRAMME

[18] This programme is under the guidance of an IC team.

[19] CPM-14 (2019) discussed the results of the evaluation of the past surveillance pilot project (2015-2018) and noted the pilot did not achieve the expected outcome, and identified several deficiencies, including lack of corporate succession planning, lack of clear objectives, and lack of monitoring and evaluation mechanism among other things, including financial and human resources issues to implement the project adequately. The CPM made recommendations on the development and implementation of future programme initiatives. The IC and SC discussed options on how to progress a surveillance implementation initiative and developed a proposal for coordination of an international surveillance implementation project which was presented to the Bureau. The Bureau agreed the below activities should be conducted by the IPPC Secretariat, these were also noted by the SPG and set out a work plan for the Global Plant Health Surveillance Programme:

- *Revision of the Plant Pest Surveillance Guide (2017-049)*: this includes the review and revision of the guide⁴ to help ensure it is in line with the newly adopted revision of ISPM 6 *Surveillance* (2018). The Bureau also agreed to raise the priority of this topic from 3 to 1. The Working Group met virtually in 2020 and agreed that there is no major contradiction between the Guide and the revised ISPM 6 (2018) and it is important to review the references to the previous ISPM 6 in the Guide and update them to align with the revised ISPM 6. *Surveillance webpage on the IPP*: this included the development of a Surveillance component page⁵ on the IPP (designed & structured in accordance with IC webpage design strategy) which was released as part of the Phytosanitary System page. The proposed resources would involve in-kind time contributions from the IC and SC surveillance leads and associated surveillance specialists. This activity is linked to IC/SC framework and the proposed IPP Surveillance Portal and are facilitated by the IPPC Secretariat.
- *Global Surveillance Projects Register*: establishment of a global plant health surveillance projects register to be hosted on the IPP or external surveillance portal, populated & maintained on an annual basis. This work will be delivered through the annual review of ICD projects by the IC.
- *International Pest Free Area (PFA) & Surveillance Symposium (delivered in 2019)*: facilitation and consolidation of surveillance technical materials (presentations, case studies, posters, projects and expertise) for the 2019 International PFA and Surveillance Symposium. These resources were reviewed by the PFA and Surveillance experts and presented during the symposium and they are now available on the IPP⁶. This work was facilitated by the IPPC Secretariat.

[20] In addition, the IC and SC also proposed the two below activities and the Bureau considered these activities should be facilitated by the IC and SC members with the support of the Australian NPPO without the support of the IPPC Secretariat and be embedded into the relevant process for contributed resources:

- *e-Learning Surveillance Training Package*: development and delivery of an online e-learning surveillance training course for use by NPPOs, RPPOs, Industry and external stakeholders.
- *Global Surveillance Experts Register*: establishment of a global plant health surveillance experts registry to be hosted on the IPP or external surveillance portal and populated & maintained on an annual basis.

⁴ Plant Pest Surveillance Guide available at: <https://www.ippc.int/en/publications/86051/>

⁵ Surveillance component page: <https://www.ippc.int/core-activities/capacity-development/phytosanitary-system/surveillance/>

⁶ IPPC International Symposium for PFAs and Surveillance web page: <https://www.ippc.int/en/core-activities/capacity-development/symposia/symposium-on-pfas-and-surveillance/>

Section 4 SEA CONTAINERS PROGRAMME

[21] This programme is under the guidance of an IC Sub-group, the Sea Containers Task Force.

[22] A separate paper (CPM 2021/27) on these activities is presented to CPM.

Section 5. E-COMMERCE PROGRAMME

[23] The IPPC e-Commerce programme is under the guidance of an IC team. *Management of e-Commerce* has been identified as one of eight development agenda items in the IPPC Strategic Framework (SF) 2020–2030⁷. The IPPC Secretariat's 3-year e-Commerce work plan was approved by the IC during their November 2019 meeting⁸. The key activities of the work plan, include:

[24] **Establishing an informal network of e-Commerce experts:** A virtual network of e-Commerce experts was formed and an e-discussion forum was launched in February 2020. This network is intended to enhance collaboration among the participants, facilitate the exchange of information about e-Commerce initiatives and issues, and identify opportunities for addressing the phytosanitary risks associated with cross-border e-Commerce. The Secretariats of the WCO, Convention on Biological Diversity (CBD), Universal Postal Union (UPU), Standards Trade and Development Facility (STDF), Codex and OIE have all nominated representatives to participate in the IPPC e-Commerce network. As well, experts from a number of NPPOs and RPPOs and some industry stakeholders have also joined this network.

[25] **Strengthened collaboration with other key international organizations:** In March 2019, the IPPC and World Customs Organization (WCO) Secretariats signed a joint work plan⁹, which includes improved cooperation on e-Commerce. In September 2019, the IPPC Secretariat met with a WCO Technical Officer responsible for e-Commerce to exchange information and enhance collaboration between the IPPC Secretariat and the WCO. In February 2020 the IPPC Secretariat participated in the WCO Working group on e-Commerce¹⁰.

[26] **Gathering and sharing existing phytosanitary technical resources with the IPPC community:** A webpage on e-Commerce¹¹ was launched on the International Phytosanitary Portal (IPP) in February 2020 to provide the IPPC community with relevant information and resources. A call¹² for the submission of phytosanitary technical resources relevant to e-Commerce was issued in 2020. Submitted resources will be reviewed by the IC and posted to the IPP, as per the established process for contributed resources.

[27] **Developing a new IPPC e-Commerce Guide for plants, plant products and other regulated articles (2017-039):** The purpose of this Guide is to provide guidance to reduce the pest risks associated with cross-border e-Commerce and to increase awareness among IPPC contracting parties, e-Commerce stakeholders (particularly postal operators, express carriers, customs administrations, e-Commerce platforms, etc.) and different international organizations about the potential pest risks associated with e-Commerce of plants, plant products and other regulated articles. The Outline of the Guide was

⁷ Link to the IPPC Strategic Framework 2020-2030, as endorsed by CPM-14 (2019) and presented in CPM-15 document: CPM 2020/08: <https://www.ippc.int/en/publications/88125/>

⁸ Report_2019_Nov_IC (Appendix 6, page 49): <https://www.ippc.int/en/publications/88046/>

⁹ Joint work plan between the WCO and IPPC Secretariats: <https://www.ippc.int/en/partners/wco/publications/2019/03/joint-work-plan-between-the-wco-and-the-ippc-secretariat/>

¹⁰ 2020-02 WCO WG on e-Commerce: <http://www.wcoomd.org/en/media/newsroom/2020/february/the-working-group-on-e-commerce-meets-to-finalise-outstanding-work-items>

¹¹ IPP webpage on e-Commerce: <https://www.ippc.int/en/core-activities/capacity-development/e-commerce/>

¹² Call for submitted resources : <https://www.ippc.int/en/calls/call-for-phytosanitary-technical-resources-related-to-e-commerce-pra-and-pest-outbreak-alert-and-response-systems-that-may-be-shared-with-the-ippc-community/>

distributed for NPPO and RPPO consultation in 2020. A call¹³ for experts to participate in the working group that will develop the guide was issued in October 2020.

[28] CPM is invited to:

(4) *Note* the activities undertaken to advance the e-Commerce Programme

Section 6 NATIONAL REPORTING OBLIGATIONS PROGRAMME

[29] This programme is currently under the guidance of an IC team.

[30] In December 2020, the IPPC Secretariat presented an progress report to the IC outlining the NROs activities, as well as proposes a work plan which is reviewed and approved by the IC.

[31] Achievements of the National Reporting Obligations Programme (NROP) for 2019 and 2020 are:

1. Four “NROs UPDATES” (educational newsletter) were issued and posted on the IPP¹⁴ and automatic reminders were sent out to CPs as frequent updates.
2. A NROs Survey was launched to gather suggestions on how to improve the functionality and effectiveness of the NROP. The result of the survey was analysed and submitted to the IC in 2019 with data on countries pest reports over the last 5 years (2015 to 2019).
3. In 2019, one NROs training workshop was held in the Near East and North Africa region to familiarize participants with the NROP and on how to create and post relevant information on the IPP. In 2020, two NRO training workshops took place, with 50 participants from 15 countries and territories for Caribbean and approximately 50 participants from 47 countries for the African region.
4. With the Uzbekistan’s adherence to the International Plant Protection Convention, the number of IPPC Contracting parties increased to 184. The IPPC Secretariat provided regular assistance to IPPC contact points and their IPP editors to facilitate reporting through the IPP. In 2019 and 2020, there were 101 changes for IPPC Contact Points, 23 changes among IPPC Editors and 87 updated reports about description of the NPPO.
5. NROs e-learning course¹⁵ and Pest bulletin was finalized and made available on the IPP.

[32] CPM is invited to:

(5) *note* the activities undertaken to advance the National Reporting Obligations Programme

Section 7 DISPUTE AVOIDANCE AND SETTLEMENT PROGRAMME

[33] This programme, which was proposed to be under the guidance of an IC Sub-Group, Dispute Avoidance and Settlement but has been put on hold for 2020.

[34] A background paper¹⁶ on the history of how the CPM and IPPC Secretariat have been involved in helping to settle phytosanitary disputes was presented to the SPG meeting¹⁷ in October 2019. The SPG discussed this issue and recommended that the IC put on hold their activities related to dispute avoidance and settlement until the IPPC Secretariat and FAO Legal Office revise the CPM-agreed procedures related to IPPC dispute settlement to ensure their clarity and consistency. The activity will

¹³ Call for experts : <https://www.ippc.int/en/calls/call-for-experts-to-develop-a-ippc-e-commerce-guide-for-plants-plant-products-and-other-regulated-articles-2017-039/>

¹⁴ NROs Update: <https://www.ippc.int/en/core-activities/information-exchange/nro/>

¹⁵ NROs e-learning course: <https://www.ippc.int/en/e-learning/>

¹⁶ SPG paper on Dispute Avoidance and Settlement (14_SPG_2019_Oct): <https://www.ippc.int/en/publications/87640/>

¹⁷ SPG October 2019 meeting report: https://www.ippc.int/static/media/files/publication/en/2020/01/SPG_2019_Oct_Report_2020-01-14.pdf

be subject to funds being made available for this work. It was recommended that this revision not be started until after the International Year of Plant Health (IYPH) 2020.

[35] The IC agreed with the recommendations of the SPG and agreed that this work would be put on hold.

[36] CPM is invited to:

- (6) *note* the Implementation and Capacity Development Committee, based on a SPG recommendation, agreed to put the work on dispute avoidance and settlement on hold until the end of the IYPH.
- (7) *Consider* allocating funds for the revision of CPM approved IPPC dispute settlement procedures to ensure their clarity and consistency

Section 8 IMPLEMENTATION REVIEW AND SUPPORT SYSTEM

[37] This work is under the guidance of an IC Sub-Group.

[38] The IRSS was established to review the implementation of the IPPC, its ISPMs and CPM recommendations and then provide support to strengthen identified areas that were lacking or needed further guidance. The EU provided funding for three project cycles. The current EU project will end in January 2021, however due to the constraints of the pandemic several activities could not be conducted, so extension has been requested..

[39] An IC IRSS Sub-group, with representatives from the IC, SC, Bureau and RPPOs was established in 2018 to provide oversight to some of the planned IRSS activities. A procedure¹⁸ for the submission of Topic Proposals by the Bureau, RPPOs, IC, SC and the IPPC Secretariat was adopted in 2019. A list of IC approved IRSS topics¹⁹ for studies and surveys is provided below:

IRSS Topics (As approved by the IC)

- Finalized:
 1. Evaluation of the Implementation Program Pilot on Pest Surveillance (2018-60, Priority 1)
 2. Review of the implementation proposals from the call for topics and evaluation of the feasibility of their implementation (2018-61, Priority 1)
 3. Analytics support to the Sea Containers Task Force for survey design, implementation and analysis of results (2018-51, Priority 1, covered within SCTF scope)
 4. *Desk study on the Requirements for NPPOs if authorizing entities to perform phytosanitary actions* (2018-55, Priority 2)
- In progress:
 1. Consolidated analysis of two previous IPPC general surveys (2018-53, Priority 1), work on this topic has been initiated and will be completed in early 2021. The analysis should show if there are trends between the two surveys and provide guidance for the development of survey questions for the next General Survey (3rd).
 2. Survey on the success of implementation of PFAs (2018-50, Priority 1 The formulation of the survey was consultative, leveraging the expertise of the technical experts involved in the development of the Pest Free Areas guide and/or the programme for the upcoming symposium for PFAs and Surveillance and the experts engaged in the former Technical Panel on Fruit Flies.). The survey has been conducted and 57 responses were submitted (although not all

¹⁸ Procedure for Submission of IRSS topics: <https://www.ippc.int/en/publications/88059/>

¹⁹ IC approved topics for IRSS Studies and Surveys: <http://www.ippc.int/en/publications/87720/>

respondents completed the entire survey) and the analysis of the results should be completed in early 2021.

- Topics to be worked on, pending funding:
 1. Develop third IPPC General Survey (2018-54, Priority 1, pending the analysis of the two previous general surveys)
 2. Develop baseline measures to monitor the impacts of and record/report benefits of the Strategic Framework 2020-2030 (2018-52, Priority 1, pending adoption of the IPPC SF)
 3. Study on Developing Guidance on Risk-based Border Management (2019-13, Priority 1)
 4. Study on the Utility of IPPC Diagnostic Protocols (2019-14, Priority 1)
 5. Desk study to catalogue phytosanitary treatments and the extent of their use (2018-56, Priority 3)
 6. Study on the Global Participation and resulting involvement in the IPPC Community (2019-15, Priority 1, to be embedded within work area on “Develop baseline measures to monitor the impacts of and record/report benefits of the Strategic Framework 2020-30”)

[40] The CPM is invited to:

- (8) *Note* the report on progress of the IRSS activities.*note* the activities undertaken to advance the work under the Implementation Review and Support System
- (9) *note* the intention of the IPPC Secretariat to move the Implementation, Review and Support System (IRSS) from a project driven activity to a System for the IPPC Community with a long term work plan that will be funded by multiple donors.

Section 9 ADJUSTMENTS TO THE LIST OF IMPLEMENTATION AND CAPACITY DEVELOPMENT TOPICS

[41] The IC completed a comprehensive review of the List of Implementation and Capacity Development Topics in 2020. The outcomes of that review are presented to CPM in a separate paper, *Adjustments to the List of Implementation and Capacity Development Topics* (CPM 2021/29).

Section 10 GUIDES AND TRAINING MATERIALS

[42] This work is facilitated by the IPPC Secretariat, with input from an IC team.

[43] IPPC guides and training materials include: guides, eLearning courses, training kits, fact sheets, infographics and other ICD materials.

[44] 10.1 Development of IPPC Guides and Training Materials.

[45] The following IPPC Guides and training materials were delivered in 2019-2020:

- *Pest Free Areas (PFA), Guide* (2017-045, priority 1)²⁰
- *Pest Risk Communication, Guide* (2017-046, priority 1)²¹
- *Fruit fly standards, infographic video (Fruit fly international standards can help gain market access²²)* (2017-042, priority 3).

²⁰ Guide for Establishing and Maintaining Pest Free Areas: <http://www.fao.org/documents/card/en/c/ca5844en>

²¹ Guide to Pest Risk Communication: <http://www.fao.org/documents/card/en/c/ca3997en>

²² News item on infographic video “Fruit fly international standards can help gain market access: <https://www.ippc.int/en/news/fruit-fly-international-standards-can-help-gain-market-access/>

[46] The IPPC Guide “Plant Pest Surveillance” has been translated into Spanish, through an in-kind contribution from the Inter-American Institute for Cooperation on Agriculture (IICA) and is available on the IPP²³.

[47] The following eight IPPC Guides have been translated into French, through an in-kind contribution from the Comité de Liaison Europe-Afrique-Caribbean-Pacifique (COLEACP):

1. Establishing a National Plant Protection Organization²⁴
2. Operation of a National Plant Protection Organization²⁵
3. Managing Relationships with Stakeholders²⁶
4. Import Verification²⁷
5. Export Certification²⁸
6. Plant Pest Surveillance²⁹
7. Guide to Delivering Phytosanitary Diagnostic Services³⁰
8. Guide to Pest Risk Communication³¹

[48] Individual webpages have been developed for each guide and training material that is under development, to improve transparency. The following guides and training materials are under development:

- A draft of the *Pest Status Guide* (2017-048)³² has been developed and will be published after the revised ISPM 8 *Determination of pest status in an area* has been adopted by CPM.
- The *Plant Pest Surveillance Guide* (2017-049)³³ is currently under revision to align the terminology used in the guide with ISPM 6 *Surveillance* (2018), add case studies and make other minor adjustments.
- The working group tasked with developing a *Guide to support implementation of ISPM 15*³⁴ (2017-043) met for the first time in November 2020 and work on the guide has been initiated.
- The draft Outline for the *e-Commerce Guide* (2017-039)³⁵ was distributed to contracting parties for consultation in May 2020. IC approved the final Outline for the Guide in September 2020 and a call for experts to draft the guide was issued in October 2020.

²³ Plant Pest Surveillance Guide in Spanish - <http://www.fao.org/3/ca3764es/ca3764es.pdf>

²⁴ Establishing a National Plant Protection Organization: <https://www.ippc.int/publications/86038/>

²⁵ Operation of a National Plant Protection Organization: <https://www.ippc.int/publications/86039/>

²⁶ Managing Relationships with Stakeholders: <https://www.ippc.int/publications/86040/>

²⁷ Import Verification: <https://www.ippc.int/publications/86041/>

²⁸ Export Certification: <https://www.ippc.int/publications/86042/>

²⁹ Plant Pest Surveillance: <https://www.ippc.int/publications/86051/>

³⁰ Guide to Delivering Phytosanitary Diagnostic Services: <https://www.ippc.int/publications/86076/>

³¹ Guide to Pest Risk Communication: <https://www.ippc.int/en/publications/88510/>

³² Development of the Pest Status Guide: <https://www.ippc.int/core-activities/capacity-development/guides-and-training-materials/development-guides-and-training-materials/pest-status-guide-2017-048/>

³³ Development of the revision to the Plant Pest Surveillance Guide: <https://www.ippc.int/core-activities/capacity-development/guides-and-training-materials/development-guides-and-training-materials/surveillance-guide-revision/>

³⁴ Development of a Guide to support implementation of ISPM 15: <https://www.ippc.int/core-activities/capacity-development/guides-and-training-materials/development-guides-and-training-materials/guide-to-support-implementation-of-ism-15-2018-012/>

³⁵ Development of the e-Commerce Guide: <https://www.ippc.int/core-activities/capacity-development/guides-and-training-materials/development-guides-and-training-materials/e-commerce-guide-2017-039/>

10.2 Implementation plans for IPPC Guides and Training Materials

[49] In November 2019, the IC approved the *Roadmap towards developing implementation plans for IPPC Guides and Training Materials*³⁶. The objective of preparing implementation plans for individual guides is to raise awareness about the availability of these materials, improve accessibility and increase use of these technical resources. The IC discussed the scope of these implementation plans and how to proceed with developing them in detail during VM-04³⁷ and VM-06³⁸ meetings in 2020. Three guides have been selected as a pilot and implementation plans are being prepared for these: Pest Free Areas, Pest status, and Surveillance.

Section 11 IMPLEMENTATION AND CAPACITY DEVELOPMENT WEB RESOURCES

[50] This work is under the guidance of an IC team.

[51] Contributed resources provided by NPPOs and RPPOs and other organizations can now be found on the IPP³⁹. The IC agreed to revised criteria for reviewing and posting contributed phytosanitary resources on the IPP⁴⁰ to ensure these contributions are useful from a global perspective. New resources were added according to the criteria.

[52] The IC also discussed the structure for a Phytosanitary System page on the IPP which presents relevant ICD technical materials together for each component of a phytosanitary system. The technical materials includes ISPMs, CPM recommendations, Guides and training materials as well as contributed resources in order to help understand and implement each phytosanitary component. In 2020, eight component pages (Eradication, Phytosanitary Export Certification System, Systems Approach, NPPO Establishment and Operation, Phytosanitary Treatments, Surveillance, Pest reporting and ISPM15 Implementation) were published⁴¹ in collaboration with members of the IC. Other components are planned to be released by the middle of 2021.

Section 12 PROJECTS

[53] This work is under the guidance of an IC team.

[54] The IC agreed upon a strategy and process on how the IC reviews and analyses Implementation and Capacity Development projects⁴². The purpose and intent of this strategy is to identify how to collect and share information from ICD projects implemented by various entities (e.g. CPs, RPPOs, the IPPC Secretariat, international organizations and other implementing organizations) in a centralized repository managed by the IC. This initiative will then help promote global ‘best practice’ principles, maximize research and develop investment, help build synergies, minimize duplication and facilitate networking opportunities amongst plant health professionals. The IC reviews and analyses ICD projects that are submitted in response to a call, the IPPC Secretariat and IC extract information from these submission and present it in a template, which helps present this information in a more consistent

³⁶ 2019-11 IC Report: <https://www.ippc.int/en/publications/88046/>

³⁷ 2020-07 IC VM04 Report: <https://www.ippc.int/en/publications/89076/>

³⁸ <https://www.ippc.int/en/publications/89266/>

³⁹ Contributed resources available at: <https://www.ippc.int/en/core-activities/capacity-development/guides-and-training-materials/contributed-resource-list/>

⁴⁰ Criteria for posting of contributed phytosanitary resources on the IPP available at: https://www.ippc.int/static/media/uploads/1.1.2_criteria_for_posting_contributed_resources_2019-05-31.pdf

⁴¹ Phytosanitary System page: <https://www.ippc.int/en/core-activities/capacity-development/phytosanitary-system/>

⁴² Strategy and process on how the IC reviews and analyses Implementation and Capacity Development projects available at: <https://www.ippc.int/en/publications/88055/>

format, these are also posted on the IPP⁴³ after being reviewed and adjusted by the IC. The IC was also given the task to review any new project that the IPPC Secretariat wished to take on in order to help ensure they met the following criteria, they are aligned with the IPPC strategic objectives, have strategic value and a competitive advantage.

[55] In 2019, the following three new projects, managed by the IPPC Secretariat, were reviewed by the IC and they agreed they met the above criteria:

1. The phytosanitary component of a FAO project to support Common Market for Eastern and Southern Africa (COMESA) trade facilitation programme (2019-2023) covering phytosanitary capacity to perform pest risk analysis, to prepare for issuing e-Phytos and to manage pest outbreaks (GCP /INT/387/COM);
2. The EU project to support the IPPC Strategic Framework: Commodity and pathways standards, Pest Outbreak Alert and Response Systems and e-Phyto (2020-2022) (GCP/GLO/040/EC); and
3. The EU project supporting the implementation of the International Plant Protection Convention (IPPC) which includes support to developing countries to participate in meetings as well as support for the development of two draft standards and two implementation resources following CPM agree priorities (2020-2022) (GCP/GLO/040/EC).

[56] The CPM is invited to:

(10) *acknowledge that* the following three projects were reviewed by the Implementation and Capacity Development Committee and note they are aligned with the IPPC strategic objectives, have strategic value and a competitive advantage:

1. The phytosanitary component of a FAO project Common Market for Eastern and Southern Africa (COMESA) trade facilitation programme” (2019-2023) (GCP /INT/387/COM);
2. The EU project “Support the IPPC Strategic Framework: Commodity and pathways standards, Pest Outbreak Alert and Response Systems and e-Phyto” (2020-2022) (GCP/GLO/040/EC);
3. The EU project “Supporting the implementation of the International Plant Protection Convention (IPPC)” (2020-2022) (GCP/GLO/040/EC).

Section 13 Monitoring and Evaluation

[57] In 2019, the IPPC Secretariat established a Task Force on Monitoring and Evaluation (TFME) with representation from the different units in the IPPC Secretariat. Part of this work has been funded by the EU project supporting IRSS and a draft Monitoring and Evaluation Framework for the IPPC Community has been developed to help monitor the implementation of the IPPC Strategic Framework (SF) (2020-2030) but is pending the adoption of the SF and the finalization of the implementation plans for the development agenda items.

[58] A Theory of Change (ToC) was developed to monitor the contributions of the IPPC Community towards the implementation of the International Plant Protection Convention, the ISPMs, the CPM Recommendations and progress towards the objectives and development agendas laid out in the IPPC Strategic Framework (SF) 2020-2030. The ToC illustrates the contribution of the effective functioning of phytosanitary systems in curtailing the spread and impact of plant pests towards the fulfilment of the three strategic objectives from the SF: (1) Facilitate safe trade, development & economic growth; (2) Enhance global food security & increase sustainable agricultural productivity; and (3) Protect the

⁴³ IPP webpage on Projects on Implementation and Capacity Development: <https://www.ippc.int/en/core-activities/capacity-development/projects-on-implementation-and-capacity-development/>

environment from the impact of plant pests. An update on Monitoring and Evaluation of the IPPC Community and IPPC Secretariat was presented to the SPG in October 2019⁴⁴ which annexed the ToC.

- [59] An M&E matrix has been developed alongside the Theory of Change to trace the contribution of the Secretariat's activities to the objectives and development agenda items in the SF. Completion of the M&E Matrix, and reflection on the indicators will also inform the IRSS work area on baseline measures.
- [60] An analytical study of national M&E Frameworks was conducted, the response to the call to CPs to participate in this study was low however Belize, Kenya and New Zealand came through and agree to present their information to be used as case studies. A publication which will provide case studies on good monitoring and evaluation (M&E) practices for national phytosanitary systems has been submitted to the IPPC Secretariat and is being revised and finalized, to be published in early 2021.

Section 14 Phytosanitary Capacity Evaluations conducted

- [61] The IC reviewed and approved a Phytosanitary Capacity Evaluation (PCE) Strategy for 2020-2030. The IPPC Secretariat set up a dedicated IPP webpage⁴⁵ to better describe the PCE process, provide assistance, and ensure more transparency.
- [62] In 2019, under the oversight of the IPPC Secretariat, a PCE was completed in Nicaragua with an additional PCE Facilitator accredited. To note, in 2020, as a result of this PCE, Nicaragua passed a revised phytosanitary law.
- [63] In 2019, PCEs were initiated in Sri Lanka and Sierra Leone with two PCE facilitators being trained. In 2020, these PCEs were slowed down due to the pandemic, attempts are being made to proceed with their completion virtually.
- [64] In 2020, PCEs are being conducted virtually in Bahamas, Dominica, St Lucia and Trinidad and Tobago with the financial support of the FAO sub-regional office for the Caribbean and an additional PCE facilitator is being trained..
- [65] The project that provided funding for a PCE in Uzbekistan ended in 2019, however this PCE is not considered completed as work on a national phytosanitary capacity development strategy was not initialized..
- [66] Preparations have commenced to conduct PCEs in Belarus, Cambodia and Nepal.
- [67] Administrative steps have also been taken for a PCE financed by the World Bank to be conducted virtually.
- [68] The CPM is invited to:
- (11) *agree* that the PCE is a useful tool to help evaluate and develop national phytosanitary capacities;
 - (12) *note* the PCEs conducted in several contracting parties;
 - (13) *note* the achievements in contacting parties where the PCE was conducted.
- [69] The CPM is invited to:
- (14) *note* the Implementation and Capacity Development activities presented in this report

⁴⁴ SPG paper: Update on Monitoring and Evaluation of the IPPC Community and IPPC Secretariat: <https://www.ippc.int/en/publications/87645/>

⁴⁵ Phytosanitary Capacity Evaluation (PCE) webpage: <https://www.ippc.int/en/core-activities/capacity-development/phytosanitary-capacity-evaluation/>

Section 15. SUMMARY OF REQUESTED CPM DECISIONS

[70] Only presented for sections where CPM decisions were requested, the last decision is to note the entire report.

[71] Section 2 Implementation and Capacity Development Committee

[72] The CPM is invited to:

- (1) *note* the work of the Implementation and Capacity Development Committee in 2019 and 2020.
- (2) *thank* the following Implementation and Capacity Development Committee members who ended their term in 2020 for their work and important contributions in the work of this Committee:
 1. Ms Sally JENNINGS (New Zealand)
 2. Mr Mamoun ALBAKRI (Jordan)
 3. Mr Dilli Ram SHARMA (Nepal)
 4. Mr Yuji KITAHARA (Japan)
 5. Mr Ngatoko NGATOKO (Cook Islands)
 6. Mr Philip KARONJO NJOROGE (Kenya)
- (3) *thank* the following experts: for their contributions:
 - For their contributions to the Guide for Establishing and Maintaining Pest Free Areas:
 1. Mr Roberto Carlos RAZERA PAPA (Brazil),
 2. Mr. Ahmed HUSSIEN (Egypt),
 3. Ms Alies Van SAUERS-MULLER (Suriname),
 4. Mr Kenneth A. BLOEM (USA) and
 5. Mr Walther ENKERLIN HOEFLICH (Joint FAO/IAEA Division)
 - For their contributions to the Guide on Pest Risk Communications:
 1. Mr Michael MANDER (Canada),
 2. Ms Andrea SISSONS (Canada),
 3. Ms Melanie NEWFIELD (New Zealand),
 4. Ms Leanne STEWART (New Zealand) and
 5. Mr Alan MACLEOD (United Kingdom)

Section 5 E-Commerce Programme

[73] CPM is invited to:

- (4) *note* the activities undertaken to advance the e-Commerce Programme

Section 6 E- National Reporting Obligations Programme

[74] CPM is invited to:

- (5) *note* the activities undertaken to advance the National Reporting Obligations

Section 7 Dispute Avoidance and Settlement Programme

[75] CPM is invited to:

- (6) *note* the Implementation and Capacity Development Committee, based on a SPG recommendation, agreed to put the work on dispute avoidance and settlement on hold until the end of the IYPH.
- (7) *Consider* allocating funds to the revision of CPM approved IPPC dispute settlement procedures to ensure their clarity and consistency

Section 8 Implementation Review and Support System

[76] The CPM is invited to:

- (8) *note* the activities undertaken to advance the work under the Implementation Review and Support System
- (9) *note* the intention of the IPPC Secretariat to move the Implementation, Review and Support System (IRSS) from a project driven activity to a System for the IPPC Community with a long term work plan that will be funded by multiple donors.;

Section 12 Projects

[77] The CPM is invited to:

- (10) *acknowledge* that the following three projects were reviewed by the Implementation and Capacity Development Committee and note they are aligned with the IPPC strategic objectives, have strategic value and a competitive advantage:
 1. The phytosanitary component of a FAO project Common Market for Eastern and Southern Africa (COMESA) trade facilitation programme” (2019-2023) (GCP/INT/387/COM);
 2. The EU project ”Support the IPPC Strategic Framework: Commodity and pathways standards, Pest Outbreak Alert and Response Systems and e-Phyto” (2020-2022) (GCP/GLO/040/EC);
 3. The EU project “Supporting the implementation of the International Plant Protection Convention (IPPC)” (2020-2022) (GCP/GLO/040/EC).

Section 14 Phytosanitary Capacity Evaluations conducted

[78] The CPM is invited to:

- (11) *agree* that the PCE is a useful tool to help evaluate and develop national phytosanitary capacities;
- (12) *note* the PCEs conducted in several contracting parties;
- (13) *note* the achievements in contacting parties where the PCE was conducted.

[79] The CPM is invited to:

- (14) *note* the Implementation and Capacity Development activities presented in this report