### **2021 FIRST CONSULTATION**

# 1 July - 30 September 2021

# Compiled comments for "2021 First Consultation: Draft Annex to ISPM 20: Use of Specific Import Authorizations (2008-006)

# Summary

Name	Summary
ΕΡΡΟ Σ	Comments from the EPPO countries
European Union	The comments on this draft standard have been entered into the OCS by the European Commission on behalf of the EU and its member States.
Nepal	No comments
Singapore	Singapore is supportive of these changes.
South Africa	The NPPOZA is in agreement with this draft and has no further comments
Venezuela	La parte técnica del Organismo Fitosanitario de Venezuela, al analizar el proyecto de NIMF:concluyo estar de acuerdo con lo planteado por el Grupo de debate sobre normas

**T** (Type) - B = Bullet, C = Comment, P = Proposed Change, R = Rating

FAO sequential number	Para	Text	т	Comment	
1	G	(General Comment)	С	Argentina We support the comments submitted by COSAVE Category: SUBSTANTIVE	
2	G	(General Comment)	С	Nepal Nepal has no comments on Draft ANNEX TO ISPM 20: Use of specific import authorizations Category: EDITORIAL	
3	G	(General Comment)	C	It is necessary to clarify the range of application of specific import authorizations (SIAs) for phytosanitary purposes. Specific import authorizations (SIAs) are used in a wide range of purposes under phytosanitary activities, and moreover there are facts that each country is used in various forms. If the range of application of SIAs is not clarified, the ISPM results in guaranteeing "import restrictions".  It is necessary to further research and organize the cases of each country and carefully consider them, including revision of the contents of Section 4.2.2 "Import authorization" of ISPM20.  SIAs are "official consent for the import of specific regulated articles", and their forms include "license" and "permit". The examples of SIAs for phytosanitary purposes are as below:  (a) When plants for which phytosanitary requirements have not been determined or the imports are prohibited are imported for the purpose of "cases" (*1) described in Section 4.2.2 of ISPM20.  (b) If phytosanitary requirements have been established, regulated articles are usually imported under "4.2.1 Phytosanitary measures for consignments to be imported". However, for the purpose of "cases" (*1) described in Section 4.2.2 of ISPM20, when the regulated articles are imported as SIAs without going through the general process.  (c) When a SIA is used for the purpose of "specifying phytosanitary import requirements" for the regulated articles for which phytosanitary requirements have been determined.  However, especially in the case of (c), the purpose of SIAs is ambiguous, and it may become an "import restriction"	

				depending on the situation.
				depending on the situation.
				Excerpt from Section 4.2.2 Import authorization of ISPM20
				*1 Cases where this type of authorization may be required include:
				- emergency or exceptional imports
				- imports with specific, individual phytosanitary import requirements such as those with post-entry quarantine
				requirements or designated end use or research purposes
				imports where the NPPO requires the ability to trace the material over a period of time after entry.  Category: SUBSTANTIVE
4	G	(General Comment)	С	Japan
7		(General Comment)	Ç	Regarding "5. General import authorizations", it should specify what kind of cases are applicable to "General import authorization (GIA)". In particular, it is not clear about the differences between GIA and "4.2.1 Phytosanitary measures for consignments to be imported" of ISPM20.
				Category : SUBSTANTIVE
5	G	(General Comment)	С	Mexico
		(		Mexico supports OIRSA's comments
				Category: SUBSTANTIVE
6	G	(General Comment)	С	Canada
				Canada supports the draft Annex to ISPM 20. Few comments are submitted for consideration.
7		(0 10 1)	-	Category : SUBSTANTIVE
/	G	(General Comment)	С	South Africa The NPPOZA is in agreement with this draft and has no further comments
				Category : EDITORIAL
8	G	(General Comment)	С	Korea, Republic of
		(		It should be clear SIAs are issued for a specific consignment or a specific commodity. Rep. of Korea think specific
				consignment is more proper.
				Category : EDITORIAL
9	G	(General Comment)	С	Colombia Antocodentes NIME 20.
				Antecedentes NIMF 20: Guidelines for a phytosanitary import regulation system
				The proposed annex seeks to be part of the ISPM 20 standard, which has the following scope:
				The proposed differ seems to be part of the 15111 25 standard, which has the following scoper
				Scope
				This standard describes the structure and operation of a phytosanitary import regulatory system, as well as the rights,
				obligations, and responsibilities to be considered when establishing, operating, and reviewing the system.  In another part of ISPM 20, when addressing the regulatory framework, the following is stated:
				In another part of 15PM 20, when addressing the regulatory framework, the following is stated:
				4. Regulatory framework
				The government (contracting party) is responsible for promulgating regulations (Article IV.3c of the IPPC). Contracting
				parties, consistent with this responsibility, may grant authority to the NPPO to formulate phytosanitary import regulations
				and the implementation of the import regulatory system. Contracting parties should have in place a regulatory
				framework Contracting parties are required to make their regulations available upon request in accordance with Article VII.2(b) of
				the IPPC; these procedures may require a regulatory basis.
				Regarding phytosanitary measures, it defines the following:
				4.2 Phytosanitary measures for regulated articles
				Contracting parties should not apply phytosanitary measures to the entry of regulated articles, such as prohibitions,

restrictions, or other phytosanitary import requirements, unless phytosanitary considerations warrant them as necessary and technically justified. Contracting parties should consider, as appropriate, international standards, and other relevant IPPC requirements and considerations when applying phytosanitary measures.

Regarding the power of each country to issue import authorization, they note:

#### 4.2.2 Import Authorization

Import authority may be granted as a general authorization or by specific authorization on a case-by-case basis. General import authorization

General import authorizations may be used

- when there are no phytosanitary import requirements.

when specific phytosanitary import requirements have been established allowing entry, as provided for in the regulations for several commodities.

General import authorizations should not require a license or permit; however, they may be subject to review at import. Specific import authorization

Where official consent to import is required, specific import authorizations may be required, e.g., by means of a license or permit, which may be required for individual shipments or for a series of shipments from a particular origin. Among the cases in which this type of authorization is required are:

- emergency or exceptional imports
- imports with specific, individual phytosanitary import requirements such as those with post-entry quarantine or designated end-use requirements or for research purposes
- imports for which the NPPO requires traceability of the material for a certain period after entry.

It has been observed that some countries use permits to specify general conditions for imports; nevertheless, when specific authorizations that are similar become a regular process, the development of general type authorizations will be encouraged.

Regarding this item, the proposed annex includes:

#### Considerations:

- The annex describes the situations in which NPPOs may issue these authorizations, the information they should contain and the respective responsibilities of ISPMs.
  - Its application would be applied on a case-by-case basis and adapted to each import situation.
- It addresses permissions for individual shipments or for a series of shipments.

Nevertheless, the proposed annex does not include:

- How to provide technical justification for specific authorizations.
- The process for evaluating and establishing specific import authorizations.
- The process for requesting a specific import authorization.
- The way requests or applications for specific authorizations will be considered.

#### Implementation issues

The annex outlines a scheme that addresses exceptional conditions where NPPOs define specific authorization under certain conditions that warrant it, based on the principle of country sovereignty. But in the proposal, these specific authorizations could be made routine, and in that case, it would become a general import authorization. It is not clear whether this condition would revert to a risk assessment process or legalize those conditions required in a phytosanitary requirement.

Summarizing, the annex seems to propose a second way for the establishment of phytosanitary requirements in the countries, without a proposal to make their operation viable with technical justification and leaving the exceptional conditions within the reach of any user. This would cause importers to submit their requests as an exceptional situation in order to have an expeditious process for their request and the NPPOs would be faced with a growing increase in requests without having a defined process to evaluate and establish specific authorizations.

				Consequently, we found no technical support to harmonize specific authorization and general authorization for the establishment of requirements with the framework for pest risk analysis ISPM No. 2 (2007), ISPM No. 11 Pest risk analysis for quarantine pests, including environmental risk analysis and living modified organisms, as well as ISPM No. 3 (2005). Guidelines for the export, shipment, import and release of biological agents and other beneficial organisms, nor ISPM No. 21 (2004), Pest risk analysis for regulated non-quarantine pests. In our view, the proposed annex would run counter to the operating principles of the IPPC related to the establishment, implementation and monitoring of phytosanitary measures and the management of official phytosanitary systems (ISPM No. 1). Therefore, we consider that this annex should delimit how these import authorizations would operate within NPPOs and make them operational within the import authorization system, without affecting the risk analysis framework established by the IPPC. Otherwise, refrain from moving forward with this annex to ISPM 20.  Category: SUBSTANTIVE
10	G	(General Comment)	С	Switzerland Switzerland would like to formally endorse the EPPO comments submitted via the IPPC Online Comment System Category: TECHNICAL
11	G	(General Comment)	С	Jamaica Jamaica supports the comments from the IPPC Caribbean Regional Workshop.  Category: SUBSTANTIVE
12	G	(General Comment)	С	IPPC Regional Workshop Africa Malawi supports the draft Category: SUBSTANTIVE
13	G	(General Comment)	С	Barbados This is a good guide for NPPOs and allows for improvement in any current documents (SIAs) Category: SUBSTANTIVE
14	G	(General Comment)	С	COSAVE  We highlight the importance of having better traslation into Spanish in order to be consistent with the English version.  Se destaca la importancia de contar con traducciones al español que reflejen mejor el contenido de la versión en inglés  Category: TRANSLATION
15	G	(General Comment)	С	Uruguay We highlight the importance of having better translation into Spanish in order to be consistent with the English version Category: TRANSLATION
16	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency T&T endorses the draft Annex to ISPM 20 . In T&T several scenarios for Research and scientific purposes and Exhibition purposes Category: SUBSTANTIVE
17	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency We support the document and we have no issues with the adoption of the standard.  Category: SUBSTANTIVE
18	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency No objections at this time. Category: SUBSTANTIVE
19	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency The draft annex is okay as presented. Category: TECHNICAL
20	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency This is a good guide for NPPOs and allow for improvement in any current documents (SIAs) Category: EDITORIAL
21	G	(General Comment)	С	Caribbean Agricultural Health and Food Safety Agency The Bahamas supports the draft Annex to ISPM 20 for the use of specific import authorizations.

				Category : SUBSTANTIVE
22	G	(General Comment)	С	Malawi
22	G	(General Comment)		No substantive on this draft annex
				Category: SUBSTANTIVE
23	G	(General Comment)	С	United States of America
25	J	(General comment)		The major concern here is that instead of harmonizing the understanding of SIA, CPs might start imposing issuance of PCs
				on the organisms that are not regulated by some NPPOs.
				Category : SUBSTANTIVE
24	G	(General Comment)	С	Saint Vincent and The Grenadines
		(		This is a useful annex . It would be useful in our context.
				Category: TECHNICAL
25	G	(General Comment)	С	Bahrain
		, i		Bahrain has no comments
				Category: SUBSTANTIVE
26	G	(General Comment)	С	Mexico
				México apoya los comentarios de OIRSA
				Category: SUBSTANTIVE
27	G	(General Comment)	С	Panama
				Se considera necesario incluir un párrafo en el que se señale el requisito a derivar de una evaluación de riesgo, como parte
				de las obligaciones de las ONPF para las autorizaciones específicas
		(2	_	Category : SUBSTANTIVE
28	G	(General Comment)	С	Nicaragua
				Se considera necesario incluir un párrafo en el que se señale el requisito a derivar de una evaluación de riesgo, como parte
				de las obligaciones de las ONPF.  Category: TECHNICAL
29	G	(General Comment)	С	OIRSA
29	G	(General Comment)	C	Se considera necesario incluir un párrafo en el que se señale el requisito a derivar de una evaluación de riesgo, como parte
				de las obligaciones de las ONPF.
				Category : SUBSTANTIVE
Draft ANNEX	TO IS	PM 20: Use of specific i	import authorizat	
30	1	DRAFT ANNEX TO	С	Bhutan
		ISPM 20: USE OF		Well drafted, and necessary document as part of annexure. No specific comments from NPPO Bhutan on this document.
				Category: SUBSTANTIVE
		SPECIFIC IMPORT		
		<b>AUTHORIZATIONS</b>		
		(2008-006)		
31	12	11/2016 Le Comité	Р	Congo
31		des normes (CN) a		faire usage du titre authentique
		approuvé la		Category : EDITORIAL
		spécification 64		
		(Utilisation		
		d'autorisations		
		d'importer		
		<del>spécifiques)</del>		
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		d'importation) par		
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		décision électronique (2016_eSC_May_05 ).						
ANNEX 2: U	ANNEX 2: Use of specific import authorizations							
32	24	ANNEX 2: Use of specific import authorizations	С	COSAVE  1) For consistency with Spanish versión of ISPM 20 "specific import authorization" should be translated into Spanish as "autorizaciones específicas de importación".  2) "specify" in the first sentence should be translated as "especifican" or other synonym, but not as establish because SIAs do not establish phytosanitary import requirements  Category: TRANSLATION				
33	24	ANNEX 2: Use of specific import authorizations	C	<b>Uruguay</b> For consistency with Spanish version of ISPM 20 "specific import authorization" should be translated into Spanish as "autorizaciones específicas de importación  Category: TRANSLATION				
34	24	ANNEXE 2: Utilisation d'autorisations d'importer spécifiquesspéci fiques d'importation	P	Congo faire usage du titre authentique Category: EDITORIAL				
35	25	Specific For some specific regulated articles, phytosanitary import requirements have not been established or their imports are prohibited. In those cases, specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) may provide official consent for	P	As pointed out in the general comment from Japan, proposed revision of the texts should clarify the range of application of Specific import authorizations (SIAs) for phytosanitary purposes.  In particular, since the content of the second sentence is unclear, a part of 2nd sentence is moved to a new 1st sentence to clarify that the purpose of SIAs is to import the specific articles for which "phytosanitary import requirements have not been established" and "the imports are prohibited".  In new 2nd sentence, it is to clarify that SIAs "provide official consent for the import" and SIAs are used for "the purpose of the cases described in Section 4.2.2 of this standard."  In the last sentence, add information because it is not clear why SIAs do not replace the obligation of the NPPO of the importing country.  Category: SUBSTANTIVE				

specific regulated articles for the purpose of the cases of SIAs in Section 4,2.2 of this standard and specify phytosanitary import requirements for those articles.  Specific import authorizations may be used when official consent for import is necessary, or when to specify phytosanitary import requirements have not been established require ments have not been established require ments or when import would otherwise be prohibited. Specific import but the authorizations do not replace the obligation of the national plant protection organization (NPPO) of the	
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		to communicate		
		the phytosanitary		
		import		
		requirements to		
		the NPPO of the		
		exporting country.		
36	25	Specific For some	Р	Japan
		specific regulated		As pointed out in the general comment from Japan, proposed revision of the texts should clarify the range of application of
		articles,		Specific import authorizations (SIAs) for phytosanitary purposes. In particular, since the content of the second sentence is unclear, a part of 2nd sentence is moved to a new 1st sentence to
		phytosanitary		clarify that the purpose of SIAs is to import the specific articles for which "phytosanitary import requirements have not
		import		been established" and "the imports are prohibited".
		requirements have		In new 2nd sentence, it is to clarify that SIAs "provide official consent for the import" and SIAs are used for "the purpose of
				the cases described in Section 4.2.2 of this standard."
		not been		In the last sentence, add information because it is not clear why SIAs do not replace the obligation of the NPPO of the importing country.
		established or their		Category : SUBSTANTIVE
		imports are		
		prohibited. In		
		those cases,		
		specific import		
		authorizations		
		(SIAs) <del>as referred</del>		
		to in this standard		
		(section 4.2.2)		
		may provide		
		official consent for		
		the import of these		
		specific regulated		
		articles for the		
		purpose of the		
		cases of SIAs in		
		Section 4.2.2 of		
		this standard and		
		specify		
		phytosanitary		
		import		
		requirements for		
		those articles.		
		Specific import		
		authorizations		

		may be used when		
		official consent for		
		<del>import is</del>		
		necessary, or when		
		to specify		
		phytosanitary		
		import		
		requirements have		
		not been		
		established <u>require</u>		
		ments, or when		
		import would		
		<del>otherwise be</del>		
		<del>prohibited.</del>		
		Specific import		
		but the		
		authorizations do		
		not replace the		
		obligation of the		
		national plant		
		protection		
		organization		
		(NPPO) of the		
		importing country		
		to communicate		
		the phytosanitary		
		import		
		requirements to		
		the NPPO of the		
		exporting country.		
37	25	Specific import	Р	European Union
		authorizations		Improvement to make clear that this prohibition is not for non-phytosanitary reasons.  Category: TECHNICAL
		(SIAs) as referred		Category . TECHNICAL
		to in this standard		
		(section 4.2.2)		
		provide official		
		consent for the		
		to in this standard (section 4.2.2) provide official		

	regulated articles
	and specify
	phytosanitary
	import
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	those articles.
	Specific import
	authorizations
	may be used when
	official consent for
	import is
	necessary, or wher
	phytosanitary
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	requirements have
	not been
	established, or
	when import
	would otherwise
	be
	<del>prohibited</del> <u>prohibit</u>
	ed for
	<u>phytosanitary</u>
	<u>reasons</u> . Specific
	import
	authorizations do
	not replace the
	obligation of the
	national plant
	protection
	organization
	(NPPO) of the
	importing country
	to communicate
	the phytosanitary
	import
	requirements to

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		the NPPO of the		
		exporting country.		
38	25	Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when phytosanitary import requirements have not yet been established established or cannot be fulfilled, or when import would otherwise be prohibited. Specific import authorizations do not replace the obligation of the national plant protection	P	European Union  1) Proposal to improve the text through the addition of "yet" because a specific import authorisation can only be given when phytosanitary import requirements are available (see paragraph 40).  2) An official consent for import can also be provided (e.g. for scientific purposes) if phytosanitary import requirements have already been established but cannot be fulfilled for the consignment. In our opinion, this is not equivalent to "when import would otherwise be prohibited".  Category: TECHNICAL

		organization (NPPO) of the importing country to communicate the phytosanitary import requirements to the NPPO of the exporting country.		
39	25	Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when phytosanitary import requirements have not been established, or when import would otherwise be prohibited.  Specific import	P	European Union Proposal to improve the text with a more precise wording to be consistent with the rest of the Standard (section 5.1.9.2) and with the Convention.  Category: TECHNICAL

	out	horizations do	
		replace the	
		ligation Even	
		en using SIAs	
		part of the	
		ional plant	
		otection	
	org	ganization	
		PPO) of the	
	im	porting country	
		<u>ir phytosanitary</u>	
		<u>gulatory import</u>	
		gime, NPPOs	
		still required to	
		mmunicate the	
		ke their	
	phy	ytosanitary	
		port	
	req	uirements <del>to</del>	
	the	NPPO	
		ailable as	
	des	scribed in	
	sec	etion 5.1.9.2 of	
	this	s standard and	
	in a	article VII.2.b	
	of t	the <del>exporting</del>	
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	<u>n</u> .	<u> </u>	
40	25 Spe	ecific import P	PPPO
		horizations	The exporting country NPPO should also duly meet and satisfy the importing country phytosanitary requirements where a
		As) as referred	formal pathway has not yet been established.  Category: EDITORIAL
		in this standard	Category . EDITORIAL
		ction 4.2.2)	
		ovide official	
		nsent for the to	
		port <del>of</del> specific	
		gulated articles	
		d specify	
	and	i specify	

		phytosanitary import		
		requirements for		
		those articles.		
		Specific import		
		authorizations		
		may be used when		
		official consent for		
		import is		
		necessary, or when		
		phytosanitary		
		import		
		requirements have		
		not been		
		established, or		
		when import		
		would otherwise		
		be prohibited.		
		Specific import		
		authorizations do		
		not replace the		
		obligation of the		
		importing		
		<u>country's</u> national		
		plant protection		
		organization		
		(NPPO) of the		
		importing country		
		to communicate		
		the phytosanitary		
		import		
		requirements to		
		the NPPO of the		
		exporting		
		country	_	
41	25	Specific import	Р	Korea, Republic of we suggest adding the phrase to explain that SIA is not mean the import permit.
		authorizations		Category: SUBSTANTIVE
		(SIAs) as referred		

to in this standard
(section 4.2.2)
provide official provide official
consent for the
import of specific
regulated articles
and specify
phytosanitary
import
requirements for
those articles.
SIAs should be
distinguished from
general import
permit which
allows import of a
consignment that's
phytosanitary phytosanitary
import
requirements have
been set. Specific
import
authorizations
may be used when
official consent for
import is
necessary, or when
phytosanitary
import
requirements have
not been
established, or
when import
would otherwise
be prohibited.
Specific import
authorizations do
not replace the
not replace the

40.	obligation of the national plant protection organization (NPPO) of the importing country to communicate the phytosanitary import requirements to the NPPO of the exporting country.	
42 25	Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) standard provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when phytosanitary import requirements have not been established, or	Korea, Republic of 4.2.2 was not stipulated within this draft. Category: SUBSTANTIVE

43	25	when import would otherwise be prohibited. Specific import authorizations do not replace the obligation of the national plant protection organization (NPPO) of the importing country to communicate the phytosanitary import requirements to the NPPO of the exporting country. Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official	P	COSAVE Core text provides the cases where this type of authorization may be required, therefore should not be included again in the annex Category: TECHNICAL
		provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when		

	no ob na pro or; (N im to the im rec	aytosanitary aport quirements have ot been tablished, or hen import ould otherwise e-prohibited. becific import athorizations do ot replace the obligation of the ational plant rotection ganization JPPO) of the aporting country communicate e phytosanitary aport quirements to e NPPO of the aporting country.		
44	25 Sp au (S to (se pr co im reg an ph im	pecific import athorizations at As) as referred in this standard ection 4.2.2) rovide official consent for the aport of specific gulated articles and specify anytosanitary aport quirements for ose articles.	P	1) Proposal to improve the text through the addition of "yet" because a specific import authorisation can only be given when phytosanitary import requirements are available (see paragraph 40).  2) An official consent for import can also be provided (e.g. for scientific purposes) if phytosanitary import requirements have already been established but cannot be fulfilled for the consignment. In our opinion, this is not equivalent to "when import would otherwise be prohibited".  3) Improvement to make clear that this prohibition is not for non-phytosanitary reasons.  3) Proposal to improve the text with a more precise wording to be consistent with the rest of the Standard (section 5.1.9.2) and with the Convention.  Category: TECHNICAL

authorizations
may be used when
official consent for
import is
necessary, or when
phytosanitary
import
requirements have
not yet been
<u>established</u> establis
hed or cannot be
<u>fulfilled</u> , or when
import would
otherwise be
<del>prohibited</del> prohibit
ed for
phytosanitary
reasons. Specific
import
authorizations do
not replace the
obligation-Even
when using SIAs
as part of the
national plant
protection
<del>organization</del>
(NPPO) of the
importing country
their phytosanitary
regulatory import
regime, NPPOs
are still required to
communicate the
make their
phytosanitary
import
requirements to

		the NPPO available as described in section 5.1.9.2 of this standard and in article VII.2.b of the exporting countryConventio n.		
45	25	Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when phytosanitary import requirements have not been established, or when import would otherwise be prohibited. Specific import	C	While many countries use SIA for import of biocontrol organisms, phytosanitary certificates may or may not be required, depending on the Agency that regulates those organisms.  Category: SUBSTANTIVE

	authorizations do not replace the obligation of the national plant protection		
	organization (NPPO) of the importing country to communicate the phytosanitary import requirements to		
	the NPPO of the		
46	exporting country.  25 Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles. Specific import authorizations may be used when official consent for import is necessary, or when phytosanitary import requirements have not been	P	China The first English letter of the National Plant Protection Organization is capitalized.  Category: EDITORIAL

		established, or when import would otherwise be prohibited. Specific import authorizations do not replace the obligation of the national plant protection organization National Plant Protection Organization (NPPO) of the importing country to communicate the phytosanitary import requirements to the NPPO of the		
47	25	exporting country.  Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary import requirements for those articles.  Specific import authorizations	P	Uruguay Core text provides the cases where this type of authorization may be required, therefore should not be included again in the annex Category: TECHNICAL

	may be used when official consent for import is necessary, or when phytosanitary import requirements have not been established, or when import would otherwise be prohibited. Specific import authorizations do not replace the obligation of the national plant protection organization (NPPO) of the importing country to communicate the phytosanitary import requirements to	
	the NPPO of the exporting country.	
48 25	Specific import authorizations (SIAs) as referred to in this standard (section 4.2.2) provide official consent for the import of specific regulated articles and specify phytosanitary	Uruguay "specify" in the first sentence should be translated as "especifican" or other synonym, but not as establish because SIAs do not establish phytosanitary import requirements  Category: TRANSLATION

		import		
		requirements for		
		those articles.		
		Specific import		
		authorizations		
		may be used when		
		official consent for		
		import is		
		necessary, or when		
		phytosanitary		
		import		
		requirements have		
		not been		
		established, or		
		when import		
		would otherwise		
		be prohibited.		
		Specific import		
		authorizations do		
		not replace the		
		obligation of the		
		national plant		
		protection		
		organization		
		(NPPO) of the		
		importing country		
		to communicate		
		the phytosanitary		
		import		
		requirements to		
		the NPPO of the		
		exporting country.		
49	26	SIAs should be	Р	Korea, Republic of
		issued only for a		We suggest adding the phrase to clarify when SIA should be issued.
		individual case of		Category : EDITORIAL
		specific regulated		
		articles with		
		specific		

		requirements. This		
		annex describes		
		situations where		
		the use of SIAs		
		may be required		
		by an NPPO, the		
		information that		
		should be included		
		on SIAs, and the		
		respective		
		responsibilities of		
		the various parties		
		involved. Specific		
		import		
		authorizations are		
		generally applied		
		on a case-by-case		
		basis and tailored		
		to each specific		
		import situation.		
		They may be		
		issued for		
		individual		
		consignments or a		
		series of		
		consignments.		
50	26	This annex	Р	COSAVE
		describes		Not necessary  Category: EDITORIAL
		situations where		Category . EDITORIAL
		the use of SIAs		
		may be required		
		by an NPPO, the		
		information that		
		should be included		
		on SIAs, and the		
		respective		
		responsibilities of		
		the various parties		

	involved. Specific import authorizations are generally applied on a case-by-case basis and tailored to each specific import situation. They may be issued for individual consignments or a series of consignments.		
51 2	This annex describes situations where the use of SIAs may be required by an NPPO, the information that should be included on SIAs, and the respective responsibilities of the various parties involved. Specific import authorizations are generally applied on a case-by-case basis and tailored to each specific import situation. They may be issued for individual consignments or a	P	Not necessary Category: EDITORIAL

I		somios of		
		series of		
F2	0.7	consignments.		
52	27	Although it is noted that some countries include non-phytosanitary requirements in their SIAs, this annex addresses only import requirements that lie within the scope of the IPPCphytosanitary requirements. This annex does not cover transit authorization.	P	Import requirements that lie within the scope of the IPPC are phytosanitary requirements. Wording more appropriate to make the contrast with non-phytosanitary requirements  **Category: TECHNICAL**  **TECHNICAL**  **T
53	27	Although it is noted that some countries include non-phytosanitary requirements in their SIAs, this annex addresses only import requirements that lie within the scope of the IPPCphytosanitary requirements. This annex does not cover transit authorization.	P	Uruguay Import requirements that lie within the scope of the IPPC are phytosanitary requirements. Wording more appropriate to make the contrast with non-phytosanitary requirements  Category: TECHNICAL
	of speci	fic import authorizations	S	
54	28	1. <u>T</u> The	Р	Costa Rica
		types vpes of		Category : EDITORIAL

		specific import		
		authorizations		
55	28	1. Types The types of specific import authorizations	Р	COSAVE Editorial Category : EDITORIAL
56	28	1. The types of specific import authorizations	Р	Uruguay Editorial Category : EDITORIAL
57	28	1. Los tipos Tipos de autorizaciones específicas para la importación	P	Costa Rica  Category : EDITORIAL
58	29	Specific import authorizations may be provided in the form of import permits, licences or other types of written authorization as determined by the importing country NPPO, and may be in either paper or electronic format.	P	PPPO to clarify which NPPO writes the SIA Category: EDITORIAL
59	29	Specific import authorizations may be provided in the form of import permits, licences or other types of written	P	Korea, Republic of To clarify which NPPO writes the SIA. Category: EDITORIAL

authorization as determined by the importing country NPPO, and may be in either paper or electronic format.	
importing country NPPO, and may be in either paper or electronic	
NPPO, and may be in either paper or electronic	
be in either paper or electronic	
be in either paper or electronic	
or electronic or electronic	
format	
101Hat.	
60 29 Specific import P United States of America	
outborizations   Spelling	
may be provided  Category : EDITORIAL	
in the form of	
import permits,	
licences-licenses	
or other types of	
written	
authorization as	
determined by the	
NPPO, and may	
be in either paper	
or electronic	
format.	
2. The elements of specific import authorizations	
61 30 2. P COSAVE	
Editorial	
Elements   Category : EDITORIAL	
The elements_of	
specific import	
authorizations	
62 30 <b>2.</b> The P Uruguay	
elements Editorial Category: EDITORIAL	
Elements of	
specific import	
authorizations	
2. 1203	
clementos Category : EDITORIAL	
Elementos de	
las	

		T					
		autorizaciones					
		específicas para					
		la importación					
2.1 Addre							
64	31	<del>2.1</del>	Р	COSAVE			
		Addresse		Merged with paragraph 35 for simplification  Category: TECHNICAL			
		e or consignee		Category: TECHNICAL			
65	31	2.1	Р	Uruguay			
03			·	Merged with paragraph 35 for simplification			
		Addresse		Category: TECHNICAL			
		e or consignee					
66	32	When SIAs are	Р	Costa Rica			
		used, they should		For consistency Category: EDITORIAL			
		be issued by the					
		NPPOs of the					
		importing					
		countries country					
		to importers.					
67	32	When SIAs are	Р	COSAVE			
		used, they SIAs		For consistency Category: TECHNICAL			
		should be issued		Category : TECHNICAL			
		by the NPPOs					
		NPPO of the					
		importing					
		countries country					
		to importers.					
68	32	When SIAs are	Р	United States of America			
		<del>used, they</del> should		SIAs are "issued", not "used". Need consistency throughout this document where both terms are being used.  Category: EDITORIAL			
		be issued by the		Calegory . LDTTONIAL			
		NPPOs of					
		importing					
		countries to					
		importers.					
69	32	When SIAs are	Р	Uruguay			
		used, they should		For consistency			
		be issued by the		Category: TECHNICAL			
		NPPOs NPPO of					
		the importing					

		countries country				
		to importers.	_			
70	32	Cuando se utilizan, las autorizaciones específicas para la importación deberían expedirlas las expedirla la ONPF de del país importador a los países importadores para los	P	Costa Rica Las Autorizaciones las emite el ONPF del país importador para que los importadores y no a los exportadores. Consistencia con la versión en inglés Category: SUBSTANTIVE		
		exportadores impor				
		tadores.				
2.2 Minimur	2.2 Minimum information requirements					
71	33	2.2	С	Barbados		
		Minimu m information		The name of the exporter (company or individual) should be included in the list of minimum information requirement.  Category: SUBSTANTIVE		
		requirements				
72	33	2.2 <u>Informat</u> <u>ionMinimum</u> <u>information</u> requirements	Р	COSAVE  1) Consequential change as per comment in paragraph 31,  2) Title wording simplified as a consequence of comment in paragraph 34.  Category: TECHNICAL		
73	33	Minimu m information requirements	С	Caribbean Agricultural Health and Food Safety Agency The name of the exporter (company or individual) should be included in the list Category: SUBSTANTIVE		
74	33	2.21 <u>IMinimu</u> minformation  nformation  requirements	P	Uruguay  1) Consequential change as per comment in paragraph 31,  2) Title wording simplified as a consequence of comment in paragraph 34.  Category: TECHNICAL		

75	34	The following information is the minimum information that should be included in SIAs:	Р	COSAVE Inserted in the chapeau sentence to simplify the title of the section Category: TECHNICAL
76	34	The following information is the minimum information that should be included in SIAs:	Р	Uruguay Inserted in the chapeau sentence to simplify the title of the section Category: TECHNICAL
77	35	name of the importing country issuing the SIA along with the name of the NPPO;-importer's information;	P	European Union This information is necessary to give to the NPPO of the exporting country the possibility to contact the NPPO of the importing country (see paragraph 32) to verify the authenticity of the SIA if needed (see paragraph 77). For the suggested wording, please see section 4 of ISPM 12 (i.e. non-modified paragraph 152 of the draft revised ISPM 12).  Category: SUBSTANTIVE
78	35	identifier or authorization number;- importer's information;	P	move the following bullet from list 2.3 to list 2.2: [44]identifier or authorization number;- move to minimum info section  We consider that maintaining traceability of imported goods is important. To maintain traceability of what goods were cleared under a SIA it is necessary to include an identifying number, and exporter's information.  Category: TECHNICAL
79	35	-name of the importing country issuing the SIA along with the name of the NPPO importer's information;	P	This information is necessary to give to the NPPO of the exporting country the possibility to contact the NPPO of the importing country (see paragraph 32) to verify the authenticity of the SIA if needed (see paragraph 77). For the suggested wording, please see section 4 of ISPM 12 (i.e. non-modified paragraph 152 of the draft revised ISPM 12).  Category: SUBSTANTIVE
80	35	importer's information;	С	United States of America  Does it need to specify contact information or be more specific? Importer's information can mean many things.  Category: TECHNICAL
81	37	description of commodity or commodities the	Р	PPPO many SIAs are for not commonly traded items and would not all be commodities Category: TECHNICAL

		goods covered by the SIA;		
82	37	description of commodity or commodities consignment covered by the SIA;	P	Korea, Republic of "consignment" is more suitable rather than "commodity" because many SIAs are not for all the commodities  Category: EDITORIAL
83	38	country of origin and country of export or reexport;	Р	European Union Precision given to improve clarity. Category: TECHNICAL
84	38	country of origin and country of exportexport or reexport;	Р	Precision given to improve clarity.  Category: TECHNICAL
85	39	intended use of the commodity or commodities;	Р	Information about intended use of the commodity would be better placed in section 2.3 as additional information. phytosanitary requirements in this section should have included intended use of commodity. NZ does not use SIA to control the end use of products primarily because it is difficult to enforce the end use. For this reason, NZ (and possibly other countries) are not in a position to comply if this were to become a minimum requirement.  Category: SUBSTANTIVE
86	40	phytosanitary import requirements requirements specified for the SIA;	Р	European Union Improvement to make clear that the phytosanitary import requirements are those specified for the SIA.  Category: TECHNICAL
87	40	phytosanitary import requirements requirements specified for the SIA;	Р	Improvement to make clear that the phytosanitary import requirements are those specified for the SIA.  Category: TECHNICAL
88	41	period of validity_ issuing official (i.e. contact details for verification purposes).	Р	European Union This information helps to verify the authenticity of the SIA and should always be included. It was therefore moved from paragraph 49 and the wording was clarified.  Category: SUBSTANTIVE

89	41	period of validity issuing official (i.e. contact details for verification purposes).	Р	EPPO This information helps to verify the authenticity of the SIA and should always be included. It was therefore moved from paragraph 49 and the wording was clarified.  Category: SUBSTANTIVE
90	41	period of validity name of exporter	Р	Caribbean Agricultural Health and Food Safety Agency  Category: SUBSTANTIVE
91	41	period of validity.disposal of the commodities after intended use.quantity of the commodity or commodities (numeber or weight)	Р	China it should be listed in the SIAs about the disposal of the commodities after intended use. For specific import authorizations, quantity of the commodity or commodities (number or weight) are very important information. Different quantity and weight, carrying risk and later supervision are different.  Category: SUBSTANTIVE
		nation that may be inclu		
92	42	2.3 Addition al information that may be included	Р	COSAVE Deleted as a consequence of comment in paragraph 33 Category: EDITORIAL
93	42	2.3 Addition al information that may be included	С	United States of America Check the language used in ISPM 12 text and model certificates for consistency with paras 44-50. Category: TECHNICAL
94	42	2.3 Addition al information that may be included	P	Uruguay Deleted as a consequence of comment in paragraph 33 Category: TECHNICAL
95	43	In addition, the following information may	Р	<b>PPPO</b> Specifying the facility that the goods need to be directed/consigned to after entry into the country on SIAs could minimize the risk of misdirected goods at the border. This could be important for managing risk on commodities where all import requirements are not met on arrival.

	1			TO THE TOTAL OF TH
		also be included in		Category: TECHNICAL
		SIAs:- Facility		
		that goods are to		
		be directed to after		
		arrival at the port		
		of entry (e.g. post-		
		entry quarantine		
		facility)		
96	44	identifier or	P	PPPO
		authorization		We suggest moving this bullet point to the minimum information section. We consider that maintaining traceability of
		number;		imported goods is important. To maintain traceability of what goods were cleared under a SIA it is necessary to include an
		<del>number,</del>		identifying number, and exporter's information.
97	44	identifier	P	Category: TECHNICAL United States of America
97	74		ľ	"Identifier" can mean different things. We propose to use language as per ISPM 12.
		<u>identification code</u>		Category: TECHNICAL
		or <del>authorization</del>		
		SIA number;		
98	45	quantity of the	Р	PPPO CTA CONTROL OF THE CONTROL OF T
		commodity or		many SIAs are for not commonly traded items and would not all be commodities  Category: TECHNICAL
		commodities		Category . Technical
		goods (number or		
		weight);		
99	45	quantity of the	Р	Korea, Republic of
		commodity or		For consistency with section 2.2
		commodities		Category : EDITORIAL
		<u>consignment</u>		
		(number or		
100	4 -	weight);		Pauladae
100	45	<del>quantity of the</del>	Р	Barbados This sentence should be moved to 2.2 since this is a minimum requirement
		<del>commodity or</del>		Category: SUBSTANTIVE
		commodities		
		<del>(number or</del>		
		weight);		
101	45	<del>quantity of the</del>	Р	Caribbean Agricultural Health and Food Safety Agency
		<del>commodity or</del>		C. I. CURCTANITUE
		commodities		Category : SUBSTANTIVE
		(number or		
		weight);		
		weight),		

102	45	quantity of the commodity or commodities (number or weight);	С	Caribbean Agricultural Health and Food Safety Agency Jamaica is proposing the movement of [45] from 2.3(Additional information that may be included) to 2.2 (Minimum information required)  Category: SUBSTANTIVE
103	45	quantity of the commodity or commodities (number or weight);	Р	China It is recommended that this article be adjusted from the "2.3 additional information that may be included" to the "2.2 minim um information requirements"For specific import authorizations, quantity of the commodity or commodities (number or weight) are very important information. Different quantity and weight, carrying risk and later supervision are different.  Category: SUBSTANTIVE
104	46	whether the authorization is for a single individual or multiple series of consignments;	Р	Japan Propose change to be aligned with para 26 "They may be issued for individual consignments or a series of consignments." in order to avoid confusion.  Category: EDITORIAL
105	46	whether the authorization is for a single or multiple consignments;- intended use of the commodity or commodities	P	Information about intended use of the commodity would be better placed in section 2.3 as additional information if it is not already covered under phytosanitary import requirements. SIA specify import requirements and these requirements account for the end use. NZ does not use SIA to control the end use of products primarily because it is difficult to enforce the end use. For this reason, NZ (and possibly other countries) are not in a position to comply if this were to become a minimum requirement.  Category: TECHNICAL
106	49	authorizing officer;	Р	European Union See comment on paragraph 41. Category: SUBSTANTIVE
107	49	authorizing officer;	Р	EPPO See comment on paragraph 41. Category: SUBSTANTIVE
108	50	exporter's information Treatment Provider registration number	Р	to add a new bullet point to track NPPO approved treatment providers between countries.  To track the treatment of a consignment requires a treatment certificate to be part of the documentation supplied with the consignment. Treatment Certificates do not accompany consignments under a phytosanitary certificate. Treatment providers within the Australian Accreditation Scheme provide registration numbers of treatment providers. It would be beneficial to know that a treatment provider of the exporting country is NPPO approved or approved through another scheme (i.e Australian Accreditation Scheme or the NZ/Australia Brown Marmorated Stink Bug scheme). Approval can be checked through an NPPO website/another scheme site. This would allow for improved transparency that treatments have been applied.  Category: TECHNICAL

109	50	exporter's information restriction of use.	Р	Korea, Republic of To add a new example. Category: EDITORIAL
110	50	exporter's information.	Р	Caribbean Agricultural Health and Food Safety Agency This is too important to be included under 'additional information' and should be moved under 2.2  Category: SUBSTANTIVE
2.4 Language				
111	51	2.4 Languag e	Р	COSAVE Consequential change as per previous comments Category : EDITORIAL
112	51	2.4 <u>2</u> Languag e	Р	Uruguay Consequential change as per previous comments Category : EDITORIAL
113	52	The NPPOs of importing countries may choose the language or languages in which their SIAs are issued but are encouraged to also use one of the languages of the Food and Agriculture Organization of the United Nations Nations, preferably in English.	P	Japan 2.2 Minimum information requirements in this Annex includes "phytosanitry import requirements" as an information which should be described in SIAs. On the other hand, ISPM 7 section 5.2 says "NPPOs are encouraged to provide their official phytosanitary import requirements to RPPOs or on the IPP in one of the official languages of FAO, preferably in English". In light of the above, It is also appropriate to encourage countries to use English for SIAs if possible, in order to communicate accurate and clear infomartion to exporting countries.  Category: SUBSTANTIVE
114	52	The NPPOs of importing countries may choose the language or languages in which their SIAs	Р	European Union  We suggest to use the same wording as in ISPM 12 (section 3.2).  Category: TECHNICAL

		are issued but are encouraged to also use one of the official languages of the Food and Agriculture Organization of the United Nations, preferably English.		
115	52	The NPPOs of importing countries may choose the language or languages in which their SIAs are issued but are encouraged to also use one of the official languages of the Food and Agriculture Organization of the United Nations, preferably English.	P	We suggest to use the same wording as in ISPM 12 (section 3.2).  Category: TECHNICAL
116	52	The NPPOs of importing countries may choose the language or languages in which their SIAs are issued but are encouraged to also	P	United States of America For clarity. Category: TECHNICAL

		22.2 24.2 2£.1.		
		use one of the		
		languages of the		
		Food and		
		Agriculture		
		Organization of		
		the United		
		Nations Nations per the IPPC.		
117	52	Las ONPF de los	P	Costa Rica
117	32	países	·	concordancia en la redacción
		importadores		Category: EDITORIAL
		podrán elegir el idioma o idiomas		
		en que se expedirán sus		
		autorizaciones		
		específicas para la		
		importación, pero		
		se <u>las les</u> alienta a		
		utilizar también		
		uno de los idiomas		
		de la Organización		
		de las Naciones		
		Unidas para la		
		Alimentación y la		
		Agricultura.		
		pecific import authorizat		
118	53	3. Possible	Р	COSAVE According to paragraph 54 this section includes just examples, therefore it is not necessary to add the word "possible" to
		Usesuses of		the title
		specific import		Category: TECHNICAL
		authorizations		
119	53	3.	Р	Uruguay
		<u>U</u> Possibl		According to paragraph 54 this section includes just examples, therefore it is not necessary to add the word "possible" to the title.
		e uses ses of		Category: TECHNICAL
		specific import		
		authorizations		
1				

120	54	The following examples of purposes, articles and situations related to import indicate where use of SIAs may be appropriate:	С	Japan There are many items listed, but main situations where SIAs may be used should be only 3 cases i.e. para 59, 62 and 63. Other items can be included as one of the examples in the 3 items. While the 3 cases are conceptual ones, other items are concrete purposes or articles, so it can be listed in a different way.  Add evidence for criminal investigation as one of the concrete examples.  Category: SUBSTANTIVE
121	54	The following examples of purposes, articles and situations related to import indicate where the use of SIAs may be appropriate:	Р	COSAVE Suggested simplification to avoid confusion, the section provides examples where using SIAs may be appropriate Category: TECHNICAL
122	54	The following examples of purposes, articles and situations related to import indicate where use of SIAs may be appropriate:	Р	Thailand  We would like to add one of the common specific import authorization case that is requested by the embassy.  Category: SUBSTANTIVE
123	54	The following examples of purposes, articles and situations related to import indicate where the use of SIAs may be appropriate:	Р	Uruguay Suggested simplification to avoid confusion, the section provides examples where using SIAs may be appropriate Category: TECHNICAL
124	55	research and scientific purposes;	Р	Japan See the comment to paragraph54 from Japan. Category: SUBSTANTIVE

125				
125	55	para investigación y-investigación, fines científicoscientífic	Р	Ecuador para mayor precisión Category: EDITORIAL
		os y análisis de calidad incluido		
		suelo;		
126	56	exhibition purposes;	Р	Japan See the comment to paragraph54 from Japan. Category: SUBSTANTIVE
127	57	educational purposes;	Р	Japan See the comment to paragraph54 from Japan. Category: SUBSTANTIVE
128	58	religious or cultural purposes (e.g. religious festivals, ancestral customs);	Р	Japan See the comment to paragraph54 from Japan. Category: SUBSTANTIVE
129	59	articles for which the NPPO of the importing country requires the ability to trace and manage over a period of time after entry (e.g. articles subject to post- entry quarantine or processing)process ing, research and scientific purposes, exhibition purposes, educational purposes, religious festivals, ancestral customs,	P	See the comment to paragraph54 from Japan.  Category: SUBSTANTIVE

	1		1	
		biological control		
		agents and other		
		<u>beneficial</u>		
		organisms);		
130	59	articles for which	Р	Barbados
		the NPPO of the		This is not an example but a responsibility. Suggest including at 4.1  Category: SUBSTANTIVE
		importing country		Category . SUBSTAINTIVE
		requires the ability		
		to trace and		
		manage over a		
		<del>period of time</del>		
		after entry		
		<del>(e.g. articles</del>		
		subject to post-		
		entry quarantine or		
		<del>processing);</del>		
131	59	articles for which	С	Caribbean Agricultural Health and Food Safety Agency
		the NPPO of the		This is not an example but a responsibility. Suggest including at 4.1  Category: SUBSTANTIVE
		importing country		Category . SOBSTAINTIVE
		requires the ability		
		to trace and		
		manage over a		
		period of time		
		after entry		
		(e.g. articles		
		subject to post-		
		entry quarantine or		
		processing);		
132	59	articles pour	С	Congo
		lesquels l'ONPV		exigence de traçabilité et de gestion des articles par l'ONPV du pays importateur pendant une certaine période après l'entrée(articles soumis à une quarantaine ou à un traitement après l'entrée par exemple)
		du pays		Congo
		importateur exige		pour le besoin d'harmonie, car il s'agit des utilisations.
		la traçabilité et la		Category : EDITORIAL
		gestion pendant		
		une certaine		
		période après		
		l'entrée (articles		
		i entice (ai ticles		

		1		
		soumis à une		
		quarantaine ou à		
		un traitement		
		après l'entrée, par		
		exemple);		
133	60	emergency	Р	Japan
		situations;		See the comment to paragraph54 from Japan.
		·		Category: SUBSTANTIVE
134	60	emergency	Р	PPPO
		situations <u>, e,g,</u>		Category: EDITORIAL
		<u>food and aids</u>		Category : EBTOTATE
		during emergency		
		situations;		
135	60	emergency or	Р	COSAVE
		exceptional		For consistency with ISPM 20 (Section 4.2.2)  Category: TECHNICAL
		situations;		Category . TECHNICAL
136	60	emergency or	Р	Uruguay
		<u>exceptional</u>		For consistency with ISPM 20 (Section 4.2.2)  Category: TECHNICAL
		situations;		Category . Technical
137	61	biological control	Р	Japan
		agents and other		See the comment to paragraph54 from Japan.  Category: SUBSTANTIVE
		<del>beneficial</del>		Category . SUBSTAINTIVE
		<del>organisms;</del>		
138	61	biological control	Р	PPPO
		agents and other		adding another example
		beneficial		Category : SUBSTANTIVE
		organisms; <u>-</u>		
		vehicle and		
		machinery for		
		military or		
		emergency use		
139	61	agentes de control	Р	Costa Rica
		biológico y otros		Termino correcto en español
		organismos		Category : SUBSTANTIVE
		<del>beneficiosos</del> benéfi		
		cos;		
140	61	agentes de control	Р	Panama
		biológico y otros		Uso correcto de términos

141	61	organismos beneficiososbenéfi cos; agentes de control	P	Category : EDITORIAL  Nicaragua
		biológico y otros organismos beneficiososbenéfi cos;	·	Uso correcto del término Category: TECHNICAL
142	61	agentes de control biológico y otros organismos beneficiosos beneficos;	Р	OIRSA uso correcto de terminos Category : EDITORIAL
143	61	agents de lutte biologique et autres organismes utiles;	С	Congo importation d'agents de lutte biologique et autres organismes utiles Congo pour le besoin d'harmonie, car il s'agit d' utilisation. Category: EDITORIAL
144	62	situations where general import authorizations have not been developed; (e.g. emergency situations, evidence for criminal investigation);	Р	Japan See the comment to paragraph54 from Japan. Category: SUBSTANTIVE
145	63	situations where it is not possible to develop general phytosanitary import requirements that can manage the relevant pest risk. Articles which are	Р	PPPO To add a new example. To be consistent with the Report from the Expert Working Group on the use of specific import authorizations (2008-006).  Category: SUBSTANTIVE

Г				
		not routinely		
		<u>imported.</u>		
146	63	situations where it is not possible to develop general phytosanitary import requirements that can manage the relevant pest riskrequirements.	Р	COSAVE Text not relevant Category: TECHNICAL
147	63	situations where it is not possible to develop general phytosanitary import requirements that can manage the relevant pest risk.	С	Caribbean Agricultural Health and Food Safety Agency Revisit terminology. This can be misconstrued to be requiring the NPPO to accept a risk without having developed phytosanitary measures to manage the risk  Suggest using the term general import authorization instead of general phytosanitary import requirements  Category: SUBSTANTIVE
148	63	situations where it is not possible to develop general phytosanitary import requirements that can manage the relevant pest risk.	С	<b>United States of America</b> Regarding import permits: for many seed consignments NPPOs of the importing countries include phytosanitary import requirements for pests in which there has been no PRA performed, resulting in phytosanitary requirements that are not technically justified (e.g., seed not a pathway, seed/plant not a host, pest occurs in the country and is not under official control, etc.). Possible uses listed in paragraphs 62 and 63 appear to be routinely abused. There needs to be a review process to ensure that NPPOs technically justify their phytosanitary requirements included in import permits.  Category: SUBSTANTIVE
149 4.1 The NPP	63 O of the	situations where it is not possible to develop general phytosanitary import requirements that ean manage the relevant pest riskrequirements.	P	Uruguay Text not relevant Category: TECHNICAL

150	66	4.1 The NPPO of the importing country	Р	COSAVE Editorial Category : EDITORIAL
151	66	4.1 The NPPO of the importing country	Р	Uruguay Editorial Category : EDITORIAL
152	67	When using SIAs, the NPPO of the importing country should comply with the principles described in the IPPC and ISPM 1 (Phytosanitary principles for the protection of plants and the application of phytosanitary measures in international trade), such as those relating to minimal impact, transparency, non-discrimination, technical justification, cooperation justification and use of languages cooperat ion.	P	The use of languages is not a principle Category: TECHNICAL
153	67	When using SIAs, the NPPO of the	Р	Uruguay The use of languages is not a principle Category: TECHNICAL

		importing country		
		should comply		
		with the principles		
		described in the		
		IPPC and ISPM 1		
		(Phytosanitary		
		principles for the		
		protection of		
		plants and the		
		application of		
		phytosanitary		
		measures in		
		international		
		trade), such as		
		those relating to		
		minimal impact,		
		transparency, non-		
		discrimination,		
		technical		
		<del>justification,</del>		
		cooperation		
		justification and		
		<del>use of</del>		
		languages cooperat		
		ion.		
154	67	Al utilizar	Р	Costa Rica
		autorizaciones		La utilización de los idiomas no forma parte de los principios  Category: TRANSLATION
		específicas para la		Catagory Fritaino Entroli
		importación, la		
		ONPF del país		
		importador debería		
		cumplir los		
		principios		
		descritos en la		
		CIPF y la NIMF 1		
		(Principios		
		fitosanitarios para		
		la protección de		

155	60	las plantas y la aplicación de medidas fitosanitarias en el comercio internacional), como los relativos a los efectos mínimos, la transparencia, la no discriminación, la justificación técnica, la cooperación y la utilización de los idiomas.	D	United States of America
155	69	publishing (including NPPO website) information on the regulated articles and intended uses for which an SIA is required;	Р	United States of America This option is now available for most NPPOs.  Category: TECHNICAL
156	70	having a process in place for assessing and setting up SIAs (identifying the information that will be needed, etc.);- having a process in place for amending, suspending or revoking SIAs, including a process to	Р	Australia Suggest the addition of a responsibility for the NPPO of the importing country to have a process in place for amending, suspending and revoking SIAs.  Justification: There are circumstances where SIAs will need to be amended, suspended or revoked. For example, due to the phytosanitary requirements no longer being sufficient or no longer being necessary to manage the phytosanitary risk of the commodities covered by an SIA and to manage non-compliance.  Category: SUBSTANTIVE

		communicate with		
		relevant parties		
157	70	when this occurs;  stablishinghaving a process in place for NPPOs assessing and setting up SIAs (identifying the need for SIAs, identifying the necessary information that will be neededfor SIA, etc.)and setting up a	P	United States of America More specific, step by step requirements. Category: TECHNICAL
		process for issuing		
150	71	SIA;	P	Nicovogue
158	71	publicar el proceso mediante el cual los importadores pueden solicitar una autorización específica para la importación y el formulario de solicitud que el importador debe rellenar completar;	P	Nicaragua Término más adecuado Category: TECHNICAL
159	72	communicating <u>all</u> requirements to the importer;	Р	European Union Precision given to improve clarity. Category: TECHNICAL
160	72	communicating all requirements to the importer;	Р	Precision given to improve clarity.  Category: TECHNICAL
161	73	considering requests or applications for	Р	COSAVE Simplified text to clarify the responsibility of the NPPO, that is to issue the SIA if requirements are met Category: TECHNICAL

		SIAs, providing responses and, _ Issuing an SIA without undue delay if the requirements for the SIA are met, issuing an SIA without undue delay; _		
162	73	considering requests or applications for SIAs, providing responses and, issuing an SIA without undue delay if the requirements for the SIA are met, issuing an SIA without undue delay;	Р	Simplified text to clarify the responsibility of the NPPO, that is to issue the SIA if requirements are met Category: TECHNICAL
163	74	defining the language used in SIAs; - [New] providing importers with a translation of phytosanitary import requirements on the SIA, when necessary to supply exporting countries with clear and accurate information	P	Japan In this Annex, the responsibility of translation rests with importers or exporters, but it is sometimes difficult for them to translate precisely phytosanitary import requirements of SIAs especially for technical phytosanitary words. On the other hands, ISPM 7 section 5.2 states "In order to supply the NPPO of the exporting country with phytosanitary import requirements, clear and accurate information should be provided by the importing country".  It is therefore appropriate to add, as a responsibility of the NPPO of the importing country, a translation of phytosanitary import requirements on the SIA in order to supply exporting countries with clear and accurate information.  ISPM7 Phytosanitary certification system "5.2 Communication between NPPOs" In order to supply the NPPO of the exporting country with phytosanitary import requirements, clear and accurate information should be provided by the importing country, preferably by its IPPC contact point in accordance with IPPC Article VII.2(b) and also in response to a request by the NPPO of the exporting country.  Category: SUBSTANTIVE

164	74	defining the language languages used in SIAs;	Р	China There may be more than one language used in SIAs. Category: EDITORIAL
165	75	publishing the official format of SIAs;	Р	Canada Requiring an official format is unclear and may not be necessary. SIAs can be issued in the form of a permit (with boxes for each category of information required), while in other cases it is issued in the form of a letter. The minimum information required as specified in section 2.2 is very important. Official format is unclear. Suggest deletion.  Category: SUBSTANTIVE
166	76	especificar correctamenteesta blecer de forma inequívoca_los requisitos fitosanitarios de importación en las autorizaciones específicas para la importación;	Р	Costa Rica  Los requisitos fitosanitarios se establecen con la debida justificación técnica y científica y de previo a la emisión de la autorización de importación por lo que, no se podría establecer de forma inequvoca porque deben tener su sustento científico, Aqui lo que se prestende es que al emitir la Autorización no se indique los requisitos fitosanitanitarios establecidos con el fundamento técnico de forma incorrecta y que ocasiones problemas en el intercambio comercial Category: SUBSTANTIVE
167	76	emitir establecer de forma inequívoca los requisitos fitosanitarios de importación en las autorizaciones específicas para la importación;	P	Panama Los requisitos fitosanitarios son determinados bajo respaldo técnico-científico Category: TECHNICAL
168	76	emitir establecer de forma inequívoca los requisitos fitosanitarios de importación en las autorizaciones específicas para la importación;	Р	Nicaragua Los requisitos fitosanitarios son determinados bajo respaldo técnico-científico Category: TECHNICAL
169	76	emitir los establecer de	Р	OIRSA Los requisitos fitosanitarios son determinados bajo respaldo técnico-científico

		forma inequívoca		Category : SUBSTANTIVE
		los requisitos		
		fitosanitarios de		
		importación en las		
		autorizaciones		
		específicas para la		
		importación;		
4.2 Importer				
170	81	applying for an SIA before import as soon as possible	Р	<b>PPPO</b> We suggest adding the phrase "and as soon as possible", because it emphasises that an importer should be prioritising applying for an SIA where required so that NPPOs have enough time to assess. This suggested wording is also consistent with other ISPMs.
		in situations where		Category : EDITORIAL
		an SIA is required;		
171	81	demander une	Р	IPPC Regional Workshop Africa
		autorisation		cette partie de phrase n'a pas d'importance, puisque ces responsabilités sont définies dans le cadre d'autorisations
		spécifique		spécifiques d'importation.
		d'importation		Category : SUBSTANTIVE
		avant		
		l'importation,		
		lorsque celle-ci est		
. ==		requise;	_	
172	81	demander une	Р	<b>Congo</b> ce morceau de phrase n'a pas d'importance, ces responsabilités sont définies dans le cadre d'autorisations spécifiques
		autorisation		d'importation.
		spécifique		Category : SUBSTANTIVE
		d'importation		
		avant		
		l'importation,		
		lorsque celle ci est		
		requise;		
4.3 Exporter	rs	1 /		
173	87	The	Р	COSAVE
		responsibilities of		For clarification
		exporters,- may		Category : TECHNICAL
		include:as		
		determined by the		
		NPPO of the		
		importing country,		
		may include:		

174	87	The responsibilities of exporters, may include: as determined by the NPPO of the importing country, may include:	Р	Uruguay For clarification Category: TECHNICAL
175	88	obtaining an SIA from the importer before export if an SIA is required; complying with the requirements of the SIA; safeguarding transport according to the requirements of the importing country;	P	These are important responsibilies for the exporters that need to be considered when applicable.  Category: TECHNICAL
176	88	obtaining an SIA from the importer before export if an SIA is required; complying with the requirements of the SIA; safeguarding transport according to the requirements of the importing country;	Р	These are important responsibilies for the exporters that need to be considered when applicable.  Category: TECHNICAL
177	89	submitting a legible SIA and communicating the phytosanitary	Р	Costa Rica In accordance with what is indicated in the minimum requirements that an AIS must have, it is already indicated that phytosanitary requirements must be indicated correctly, so it is not necessary to include this phrase, with only the presentation of the AIS to the NPPO of the exporting country, already by itself the SIA communicates the phytosanitary requirements.

	1	immort		Category: EDITORIAL
		<del>import</del>		Category . EDITORIAL
		requirements <u>SIA</u> to		
		the NPPO of the		
		exporting country;		
178	89	submitting a legible	Р	COSAVE
		SIA <del>and</del>		Phytosanitary import requirements are included in the SIA (minimum information according section 2.2)  Category: TECHNICAL
		communicating the		Category . TECHNICAL
		<del>phytosanitary</del>		
		import		
		requirements to the		
		NPPO of the		
		exporting country;		
179	89	submitting a legible	Р	Uruguay
		SIA <del>and</del>		Phytosanitary import requirements are included in the SIA (minimum information according section 2.2)
		communicating the		Category: TECHNICAL
		phytosanitary		
		import		
		requirements to the		
		NPPO of the		
		exporting country;		
180	90	applying for a	Р	COSAVE
		phytosanitary		This is a regular procedure, independant of SIAs. Should not be part of this annex.
		certificate from		Category : SUBSTANTIVE
		the NPPO of the		
181	90	exporting country;	P	United States of America
181	90	applying for a	Р	for clarity: the requirements can differ.
		phytosanitary		Category: TECHNICAL
		certificate from		
		the NPPO of the		
		exporting <u>country</u>		
		when required by		
		<u>importing</u> country;		
182	90	applying for a	Р	Uruguay
		<del>phytosanitary</del>		This is a regular procedure, independant of SIAs. Should not be part of this annex.  Category: TECHNICAL
		certificate from		Calegory . TECHIVICAL
		the NPPO of the		
		exporting country;		
		The stand sound j,		

183	90	demander un certificat phytosanitaire à l'ONPV du pays exportateur; demander un certificat phytosanitaire à l'ONPV du pays exportateur;	P P	IPPC Regional Workshop Africa nous parlons ici des responsabilités des exportateurs en ce qui concerne les autorisations spécifiques d'importation qui est une activité en amont de la délivrance du certificat phytosanitaire.  Category: SUBSTANTIVE  Congo nous parlons ici des responsabilités des exportateurs en ce qui concerne les autorisations spécifiques d'importation. c'est une activité en amont de la délivrance du certificat phytosanitaire. cette phrase ferait foi à la certification et à la délivrance du certificat phytosanitaire( NIMPs: 7, 12).  Category: SUBSTANTIVE
4.4 NPPOs o	of expor			
185	92	4.4 NPPOs	Р	Costa Rica
		NPPO of the		C. L. EDITORIAL
		exporting		Category : EDITORIAL
		<del>countries</del> countr		
		y		
186	92	4.4 NPPOs	Р	COSAVE
		NPPO of the		For consistency
		exporting		Category : EDITORIAL
		<del>countries</del> countr		
		v		
187	92	4.4 NPPOs	Р	Uruguay
		NPPO of the		For consistency Category: EDITORIAL
		exporting		Category . EDITORIAL
		<del>countries</del> countr		
		<u></u>		
188	93	The	Р	Costa Rica
		responsibilities of		Category: EDITORIAL
		the NPPOs NPPO		Category . EDITORIAL
		of the exporting		
		countries country		
189	93	may include:	P	COSAVE
189	93	The responsibilities of	P	For consistency
		responsibilities of the NPPOs-NPPO		Category: TECHNICAL
		of exporting		
		or exporting		

		countries country		
190	93	may include:  The responsibilities of the NPPOs NPPO of the exporting countries country	P	Uruguay For consistency Category: TECHNICAL
191	94	may include:  obtaining the phytosanitary import requirements of the importing country for in addition to those specified in the SIA;	P	European Union  More appropiate and clearer (an SIA on its own does not necessarily include all the phytosanitary import requirements).  Category: TECHNICAL
192	94	obtaining the phytosanitary import requirements of the importing country for the SIA;	P	In cases when the importing country requires an SIA, phytosanitary import requirements are included in such authorization, therefore the NPPO of the exporting country should obtain the SIA Category: TECHNICAL
193	94	obtaining the phytosanitary import requirements of the importing country for in addition to those specified in the SIA;	P	EPPO More appropriate and clearer (an SIA on its own does not necessarily include all the phytosanitary import requirements).  Category: TECHNICAL
194	94	obtaining the phytosanitary import requirements of the importing	Р	Uruguay In cases when the importing country requires an SIA, phytosanitary import requirements are included in such authorization, therefore the NPPO of the exporting country should obtain the SIA  Category: TECHNICAL

		country for the		
		SIA;		
195	95	certifying the	Р	Canada
193	93	compliance of	r	The proposed reorganization of the indent flows and reads better with the overarching statement in para 93.
		plants, plant		Category : EDITORIAL
		products or other		
		regulated articles		
		with these		
		<u>phytosanitary</u>		
		<u>import</u>		
		requirements,		
		when a request for		
		export		
		phytosanitary		
		certification is		
		accompanied by		
		an SIA with		
		<del>phytosanitary</del>		
		import		
		requirements,		
		certifying		
		specifying the		
		<del>compliance of</del>		
		<del>plants, plant</del>		
		<del>products or other</del>		
		regulated articles		
		with these		
		phytosanitary		
		import		
196	95	requirements.	P	Australia
190	90	when a request for	P	Improves readability of sentence
		export phytosanitary		Category : EDITORIAL
		certification is		
		accompanied by		
		an SIA with		
		phytosanitary		
		import		
		шрогі		

		requirements, certifying the compliance of plants, plant products or other regulated articles with these the phytosanitary import requirements.		
197	95	when a request for export phytosanitary certification is accompanied by an SIA with phytosanitary import requirements SIA, certifying the compliance of plants, plant products or other regulated articles with these the phytosanitary import requirements requirements requirements set up in the SIA.	P	All SIAs should include phytosanitary import requirements according section 2.2 (minimum information). Therefore the NPPO of the exporting country should certify compliance with the phytosanitary import requirements established in the SIA Category: TECHNICAL
198	95	when a request for export phytosanitary certification is accompanied by an SIA with phytosanitary import	Р	Uruguay All SIAs should include phytosanitary import requirements according section 2.2 (minimum information). Therefore the NPPO of the exporting country should certify compliance with the phytosanitary import requirements established in the SIA Category: TECHNICAL

		requirements SIA, certifying the compliance of plants, plant products or other regulated articles with these the phytosanitary import requirements requirements set up in the SIA.		
5. General in		thorizations		
199	96	5.  General i  mport authorizations	С	PPPO Further information may be required for implementation to transition from SIA to GIA.  Category: TECHNICAL
200	96	5. General import authorizations ermination of SIA	Р	Korea, Republic of General import authorizations is not needed because SIA would be terminated after finished the responsibilities instead of transferring to GIA.  Category: EDITORIAL
201	97	Guidance on general import authorizations is provided in section 4.2.2 of this standard. The following examples describe circumstances under which SIAs may be transferred to general import authorizations term inated:	P	Korea, Republic of General import authorizations is a new terminology and not necessary here Category: EDITORIAL
202	97	Guidance on general import	Р	Korea, Republic of 4.2.2 was not stipulated within this draft.  Category: EDITORIAL

202	00	authorizations is provided in section 4.2.2 section? of this standard. The following examples describe circumstances under which SIAs may be transferred to general import authorizations:	D	Canada
203	98	when import requirements specified in the SIAs become routineare established as import requirements for normalized trade (e.g. transitioning trial period import requirements for a new commodity or new origin to general import requirements, when monitoring of trade confirms the effectiveness of phytosanitary import requirements set in an SIA);	P	The use of "become routine" is vague and confusing. For example, research labs importing soil under a SIA on a monthly basis may be routine but it does not qualify to become a general import authorization.  Paragraph 98 and 100 are linked. The scenario in paragraph 100 is an example of the proposed changed in paragraph 98. Suggest deleting paragraph 100.  Category: SUBSTANTIVE
204	98	when SIAs become routine;routine	Р	<b>Barbados</b> Once an SIA is routine, the only way for it to be added to the general import requirement system is for the NPPO to have appropriate phytosanitary measures in place Category: SUBSTANTIVE

205	98	and appropriate phytosanitary measures have been established. when SIAs become routineroutine and appropriate phytosanitary measures have been established;	P	Caribbean Agricultural Health and Food Safety Agency  Category: SUBSTANTIVE
206	98	when SIAs become routine;	С	Caribbean Agricultural Health and Food Safety Agency  Add "and appropriate phytosanitary measures have been established." Once an SIA is routine, the only way for it to be added to the general import requirement system is for the NPPO to have appropriate phytosanitary measures in place.  Category: SUBSTANTIVE
207	98	when SIAs become routine;	С	United States of America The meaning of "routine" requires more clarity because of different interpretations relevant to various situations. Needs more examples describing the "routine". Otherwise this is very broad. No examples of routine is given in ISPM 20 or other ISPMs.  Category: TECHNICAL
208	99	when an SIA has been issued as a result of an emergency situation but appropriate phytosanitary measures-import requirements have been established;	P	European Union Please see ISPM 5 and second indent of section 4.2.2 of ISPM 20.  Category: TECHNICAL
209	99	when an SIA has been issued as a result of an emergency situation but appropriate phytosanitary measures_import requirements have been established;	Р	Please see ISPM 5 and second indent of section 4.2.2 of ISPM 20.  Category: TECHNICAL

210	100	when monitoring of trade confirms the effectiveness of phytosanitary import requirements set in an SIA.	Р	Canada See previous comment in para 98. Category: SUBSTANTIVE
211	100	when-where monitoring of trade confirms the effectiveness of phytosanitary import requirements set in an SIA.	Р	IPPC Regional Workshop Africa  Category: SUBSTANTIVE
212	100	when monitoring of trade confirms the effectiveness of phytosanitary import requirements set in an SIA.	P	COSAVE  Deleted text is considered included in first bullet. Furthermore the understanding of monitoring of trade could be confusing in relation to confirm the effectiveness of phytosanitary import requirements.  Category: TECHNICAL
213	100	when monitoring of trade confirms the effectiveness of phytosanitary import requirements set in an SIA.	Р	Uruguay Deleted text is considered included in first bullet. Furthermore the understanding of monitoring of trade could be confusing in relation to confirm the effectiveness of phytosanitary import requirements.  Category: TECHNICAL
214	101	Potential implementation issues	С	Guyana SOPs and requisite legal framework needed. Category: SUBSTANTIVE