



<b>SUBMITTED BY COUNTRY or ORGANIZATION:</b>	<b>The Implementation and Capacity Development Committee (IC)</b>
<b>SUBMISSION NUMBER</b>	2021-026

## Submission form for topics for Standards and Implementation

### 1. General information

<b>Title of Proposal</b>	<b>Guide to National Reporting Obligations</b>
<b>Proposed Material</b>	<input type="checkbox"/> Standard / <input checked="" type="checkbox"/> Implementation resource
<b>Submission supported by:</b> (Country or Organization)	Implementation and Capacity Development Committee (IC), recommended by the IC Team on National Reporting Obligations (NRO)
<b>Contact Person:</b> (Contact information of an individual able to answer questions relating to this submission):	Name: Qingpo Yang Position and organization: Implementation and Facilitation Unit Postal address: IPPC Secretariat E-mail: qingpo.yang@fao.org Alternate E-mail: ippc@fao.org

### 2. Summary of proposal

<p><b>Summary of justification for the proposal</b> (provide an outline of the problem needing resolution in sufficient detail, <b>250 words max</b>)</p> <p>The Guide to National Reporting Obligations (2016) has been used for 5 years and the content should be updated to comply with the implementation of new and/or revised ISPMs and decisions of the CPM, IC and IC Team on National Reporting Obligations (NROs). For example, with the revision of ISPM 8 (Determination of pest status in an area), the categories of pest status changed. The IPPC Secretariat updated the drop down menu on IPP which was populated with the values of the pest status categories from ISPM 8 to facilitate the submission of pest reports by the Official Contact Point.</p>
<p><b>Expected outcome of standard/implementation resource</b> (value of development of proposed material, 2 lines max)</p> <p>New NROs guide will provide up-to-date and useful guidance for IPPC Contact points to fulfill reporting obligations.</p>
<p><b>Identify the gaps in the Framework for Standards and Implementation<sup>1</sup> that are expected to be filled:</b> (2 lines max) (Please specify Key result area)</p> <p>Key result area A1: All NPPOs have effective pest surveillance systems in place for timely detection of new pest arrivals and monitoring spread</p>

<sup>1</sup> Framework for Standards and Implementation available at: <https://www.ippc.int/en/publications/82439/>

## 3.

For **Standards**, go to [section 3.1](#)

For **Implementation resources**, go to [section 3.2](#)

**Type of proposed material:**

<b>3.1 Standard</b> (check only one option)	
<p><b><u>New ISPM or component to an existing ISPM:</u></b></p> <p><input type="checkbox"/> ISPM</p> <p><input type="checkbox"/> Supplement to ISPM: <b>Choose an item.</b></p> <p><input type="checkbox"/> Annex to ISPM: <b>Choose an item.</b></p> <p><input type="checkbox"/> Appendix to ISPM: <b>Choose an item.</b></p> <p><input type="checkbox"/> Glossary term (subject)</p>	<p><b><u>Revision/Amendment of standard:</u></b></p> <p><input type="checkbox"/> ISPM <b>Choose an item.</b></p> <p><input type="checkbox"/> Supplement to ISPM <b>Choose an item.</b></p> <p><input type="checkbox"/> Annex to ISPM <b>Choose an item.</b></p> <p><input type="checkbox"/> Appendix to ISPM <b>Choose an item.</b></p> <p><input type="checkbox"/> Glossary term (subject)</p>
<p><b><u>NOTICE:</u></b></p> <p><b><u>Draft specification:</u></b></p> <p><b>Any proposal for a Standard must include a draft specification.</b></p> <p>An annotated template for the draft specification for Standards is available on the IPP in English, French and Spanish: <a href="https://www.ippc.int/en/publications/81324/">https://www.ippc.int/en/publications/81324/</a></p>	

<b>3.2 Implementation resource</b> (check only one option)	
<p><b><u>New implementation resource:</u></b></p> <p><input checked="" type="checkbox"/> Guide</p> <p><input type="checkbox"/> e-Learning course</p> <p><input type="checkbox"/> Training kit</p> <p><input type="checkbox"/> Video</p> <p><input type="checkbox"/> Other (Please specify: <b>Click or tap here to enter text.</b>)</p>	<p><b><u>Revision of existing implementation resource:</u></b></p> <p><input checked="" type="checkbox"/> Please specify: Guide to National Reporting Obligations (2016)</p>
<p><b><u>Convention articles, ISPMs or CPM recommendations to be addressed by the proposed implementation resource</u></b></p> <p><input checked="" type="checkbox"/> Convention articles (Please specify: Article IV.2(b) ; Article IV.4 ; Article VIII.2 ; Article VII.2(b) ; Article VII.2(c) ; Art. VII.2(d) ; Article VII.2(f) ; Article VII.2(j) ; Article VII.2(i) ; Article VII.6 ; Article VIII 1(c))</p> <p><input checked="" type="checkbox"/> ISPM (Please specify: ISPMs 5, 6, 8, 13, and 17 )</p> <p><input type="checkbox"/> CPM Recommendation (Please specify: )</p>	

**NOTICE****Draft specification:**

**Any proposal for an Implementation Resource must include a draft specification.**

A template for the draft specification for implementation resources and instructions for completing it are available on the IPP (<https://www.ippc.int/en/publications/87499/>)

## 4 Literature review<sup>2</sup>

(In this section submitters are recommended to provide a **summary of the topic** based on scientific and technical publications, including a referenced **list of literature reviewed**. This will help provide the scientific basis for the content of the standard/implementation resource to be used by the selected experts during the development of the standard/implementation resource). **(max 500 words)**

CPM-6 (2011) agreed to the IPPC Secretariat's recommendations to improve IPPC reporting, particularly through the IPP, as outlined in Appendix 6 to the Report of CPM-6 (2011)<sup>3</sup>, paragraph 90. In April 2016, CPM-11 adopted General and Specific IPPC National Reporting Obligations Procedures<sup>4</sup> that Contracting Parties should follow while reporting NROs. The General procedures consolidate decisions related to NROs made by the CPM in previous years and new guidance based on advice received from the NROs Advisory Group (NROAG). The Specific procedures details NROs provisions laid down in the text of the Convention and provides additional procedures agreed by the CPM where experience has shown a need to improve clarity and fill gaps when the Convention does not provide adequate guidance. The NROs Guide<sup>5</sup> was created based on the General and Specific IPPC NROs procedures and designed to provide guidance on how to use the IPP. As of 2021, this NROs guide has been used for 5 years. The content should be revised to comply with the implementation of new and revised ISPMs (eg. Revision of ISPM 8 on pest status<sup>6</sup>) and decision of IC Team on NROs.

## 5 Criteria for justification and prioritization of proposed topics<sup>7</sup>:

### 5.1 Core criteria (information must be provided by submitter. It is expected that all submissions meet the following core criteria)

	Core Criteria	Information provided by Submitter
1	Contribution to the purpose of the IPPC <sup>8</sup> as described in article I.1.	NROs ensure that a minimum amount of official phytosanitary information is available that can be used as the basis for ensuring safe trade, safeguarding food security and protecting the environment from plant pests. In order to be most useful the phytosanitary information should be accurate, up-to-date, clearly presented, consistent with the IPPC guidance, ISPMs

<sup>2</sup> As agreed by CPM-7 (2012) and CPM-11 (2016).

<sup>3</sup> [https://assets.ippc.int/static/media/files/publications/en/1311340613\\_FINAL\\_Report\\_CPM-6\\_2011\\_WITH\\_IS.pdf](https://assets.ippc.int/static/media/files/publications/en/1311340613_FINAL_Report_CPM-6_2011_WITH_IS.pdf)

<sup>4</sup> <https://www.ippc.int/en/publications/82133/>

<sup>5</sup> <https://www.ippc.int/en/publications/80405/>

<sup>6</sup> <https://www.ippc.int/en/publications/612/>

<sup>7</sup> As agreed by CPM-13 (2018)

<sup>8</sup> Convention text: <https://www.ippc.int/en/publications/131/>

	Core Criteria	Information provided by Submitter
		and guides and in a format that is easily accessible and understandable.
2	Linkage to IPPC Strategic Objectives <sup>9</sup> (SOs)	The NROs guide will provide new guidance for NPPOs to fulfill their reporting obligations, which will contribute to the IPPC Strategic Objective B – Protect the environment from the impacts of plant pests and Strategic Objective C – Facilitate safe trade, development and economic growth.
3	Feasibility of implementation at the global level (consider ease of implementation, technical complexity, capacity of NPPO(s) to implement, relevance for more than one region).	All the IPPC Contact points have been using the current NROs guide to submit NROs reports. After revision of the guide, contact points will get the latest guidance on submission of obligations report.
4	Clear identification of the problems that need to be resolved through the development of the standard or implementation resource.	The current NROs guide was created to comply with the IPPC ISPMs and guides. With the implementation of ISPM such as ISPM 8 on pest status, the NROs guide needs to be revised. In addition, the IC Team on NROs also approved the revision of the types of contact points and the deletion of reports by contact points. These new changes need to be reflected into the new NROs guide.
5	Availability of, or possibility to collect, information in support of the proposed standard or implementation resource (e.g. scientific, historical, technical information, experience).	Contact points will use this guide to submit obligation reports. All the reports and data will be collected and saved on the IPP.

## 5.2 Supporting criteria (information may be provided by submitter, as appropriate):

Supporting criteria:	Information provided by submitter
<p><b>Supporting criteria (Practical)</b></p> <ol style="list-style-type: none"> <li>1) Is there a regional standard and/or implementation resource on the same topic already available and used by NPPOs, RPPOs or international organizations.</li> <li>2) Availability of expertise needed to develop the proposed standard and/or implementation resource.</li> </ol>	All the IPPC Contact points would be using the NROs guide.
<p><b>Supporting criteria (Economic)</b></p> <ol style="list-style-type: none"> <li>1) Estimated value of the plants protected.</li> <li>2) Estimated value of trade including new trade opportunities affected by the proposed standard and/or implementation resource (e.g. volume of trade, value of trade, the percentage of Gross Domestic Product of this trade) if appropriate.</li> </ol>	NROs ensure that a minimum amount of official phytosanitary information is available that can be used as the basis for ensuring safe trade, safeguarding food security and protecting the environment from plant pests
<p><b>Supporting criteria (Environmental)</b></p> <ol style="list-style-type: none"> <li>1) Utility to reduce the potential negative environmental consequences of certain phytosanitary measures, for example reduction in global emissions for the protection of the ozone layer.</li> <li>2) Utility in the management of non-indigenous species which are pests of plants (such as some invasive alien species).</li> </ol>	The NROs guide provides guidance for Contact points for submitting pest reports. Pest reporting allows countries to adjust as necessary their phytosanitary import requirements and actions to be taken into account if any change occurs in pest risk.

<sup>9</sup> IPPC Strategic Framework 2020-2030: <https://www.ippc.int/en/publications/89170/>

Supporting criteria:	Information provided by submitter
3) Contribution to the protection of the environment, through the protection of wild flora, and their habitats and ecosystems, and of agricultural biodiversity.	
<p><b>Supporting criteria (Strategic)</b></p> <ol style="list-style-type: none"> <li>1) Extent of support for the proposed standard and/or implementation resource (e.g. one or more NPPOs or RPPOs have requested it, or one or more RPPOs have adopted a standard on the same topic).</li> <li>2) Frequency with which the issue to be addressed, as identified in the submission emerges as a source of trade disruption (e.g. disputes or need for repeated bilateral discussions, number of times per year trade is disrupted).</li> <li>3) Relevance and utility to developing countries.</li> <li>4) Coverage (application to a wide range of countries/pests/commodities).</li> <li>5) Complements other standards and/or implementation resources (e.g. potential for the standard to be used as part of a systems approach for one pest, complement treatments for other pests).</li> <li>6) Conceptual standard and/or implementation resource to address fundamental concepts (e.g. treatment efficacy, inspection methodology).</li> <li>7) Urgent need for the standard and/or implementation resource.</li> </ol>	<p>There are seven public and six bilateral National Reporting Obligations. All the contracting parties have the obligation to submit reports through the Contact points. These obligation reports are very useful to facilitate trade and reduce disputes.</p>

## 6 Financial/in-kind resources

As of now, no financial or in-kind contributions have been identified to undertake this activity