

International Plant Protection Convention
Approved specification for IPPC Guides and training materials

Specification: Guide for developing phytosanitary procedures to maintain the phytosanitary security of consignments for export (2018-028)

Status box

This status box is not an official part of the guide and will be modified by the IPPC Secretariat	
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Document category	IPPC guide
Title	Guide for developing phytosanitary procedures to maintain the phytosanitary security of consignments for export
Current document stage	Approved specification
Major stages	2019-03: CPM-14 (2019) added this topic to <i>List of implementation and capacity development topics</i> , priority 1
	2020-11: Implementation and Capacity Development Committee (IC) lead identified (Kyu-Ock YIM (KR))
	2021-04: Draft specification reviewed by the IC
	2021-05: Comments reviewed by IC lead
	2021-05: IC approved draft specification for consultation
	2021-07: Consultation
	2021-11: IC revised and approved the specification
Implementation Committee lead	2020-11: Kyu-Ock YIM
IPPC Secretariat lead	2021-02: Descartes KOUMBA
Working Group experts	
Notes	2021-11: IC agreed to change the title from <i>Guide for developing phytosanitary</i> security procedures to maintain the phytosanitary integrity of consignments for export to <i>Guide for developing phytosanitary procedures to maintain the</i> phytosanitary security of consignments for export 2022-02: Edited

1. Title

Guide for developing phytosanitary procedures to maintain the phytosanitary security of consignments for export (2018-028)

2. Type of implementation resource

IPPC guide

3. Convention articles, ISPMs and CPM recommendations to be addressed by the proposed implementation resource

International Plant Protection Convention (IPPC) (Articles IV.2(g) and VII.2(e), in particular)

ISPM 7: Phytosanitary certification system

ISPM 12: Phytosanitary certificates

ISPM 23: Guidelines for inspection

ISPM 31: Methodologies for sampling of consignments

ISPM 32: Categorization of commodities according to their pest risk

4. Scope

This IPPC guide is designed to provide guidance on the development of phytosanitary procedures that can be applied to all consignments subject to phytosanitary export certification to maintain their phytosanitary security.

5. Purpose

This guide will provide guidance for national plant protection organization (NPPO) decision-makers on phytosanitary procedures, including facilities and equipment if appropriate, to maintain the phytosanitary security of consignments for export of plants, plant products and other regulated articles. Maintaining the phytosanitary security of consignments after phytosanitary certification but before export is the operational responsibility of the NPPO of the exporting country (ISPM 7).

ISPM 5 (*Glossary of phytosanitary terms*) defines "phytosanitary security" (of a consignment) as: Maintenance of the integrity of a consignment and prevention of its infestation and contamination by regulated pests, through the application of appropriate phytosanitary measures.

The guide will contribute to the prevention of the introduction and spread of pests by providing guidance for decision-making about the effective application of phytosanitary measures to maintain the phytosanitary security of consignments and will strengthen the phytosanitary assurance attested to in phytosanitary certificates.

6. Content for the proposed implementation resource

The working group should include content based on the outcomes of the following tasks:

- 1) List the responsibilities and obligations of the NPPOs of exporting countries, as outlined in the IPPC and relevant ISPMs, regarding the maintenance of the phytosanitary security of consignments certified for export.
- 2) Describe the importance of implementing phytosanitary procedures to maintain the phytosanitary security of consignments.
- 3) Describe critical control points in the export chain where a phytosanitary procedure or procedures should, if applicable, be applied to maintain the phytosanitary security of a consignment throughout the export process, such as:
 - during transportation from the production site to, or between, receiving facilities;

- at packing houses or processing facilities;
- · at treatment facilities:
- · at storage facilities;
- · at freight-forwarding facilities; and
- · during loading and transportation for export.
- 4) Provide examples of phytosanitary measures that may be applied to maintain the phytosanitary security of consignments or lots (e.g. prevention or exclusion measures, reduction or control measures, monitoring and verification procedures).
- 5) Describe actions that may be applied when phytosanitary security has been compromised.
- 6) Suggest case studies that show how phytosanitary procedures have been applied to maintain the phytosanitary security of consignments for export after phytosanitary certification.

7. Financial and in-kind contributions

Contracting parties are encouraged to identify any financial or in-kind contributions that will be provided to support the development of this guide or to facilitate the translation of the final product.

8. Selection criteria for working group experts

The IPPC Secretariat and the Implementation and Capacity Development Committee support equity, diversity, and inclusiveness and encourage all interested experts to submit their candidature to participate in the working group that will be tasked with developing this IPPC guide or training material.

The members of the working group will be selected based on their technical and practical expertise in the subject matter. Geographical representation from both developing and developed countries will also be considered to ensure that the material developed is globally applicable and reflects best practices from all over the world.

The following criteria should be used for selecting working group members:

- (1) practical expertise and knowledge in one or more of the following areas:
 - · establishment of phytosanitary procedures,
 - · inspection of plants and plant products,
 - · export certification,
 - · development or management of phytosanitary export systems,
 - development of training materials or manuals for NPPO inspection staff; and
- (2) a strong working knowledge of English and ability to formulate ideas and write clearly in English.

9. References and supporting materials

Australian Government Department of Agriculture. 2013. Exporting plants and plant products: A step-by-step guide for Australian exporters. Canberra, Department of Agriculture Biosecurity. 10 pp. www.ippc.int/en/core-activities/capacity-development/guides-and-training-materials/contributed-resource-detail/exporting-australia-includes-quarantine-information-export-plants-and-plant-products

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- plant resources from pests. Rome, IPPC Secretariat, FAO. 35 pp. www.ippc.int/en/publications/86039
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- **ISPM 12**. 2017. *Phytosanitary certificates*. Rome, IPPC Secretariat, FAO. www.ippc.int/en/publications/609
- **ISPM 23**. 2019. *Guidelines for inspection*. Rome, IPPC Secretariat, FAO. www.ippc.int/en/publications/598
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- United States Department of Agriculture. 2010. Export program manual, 2nd edn. Riverdale, USA, United States Department of Agriculture, Animal and Plant Health Inspection Service, Plant Protection and Quarantine. 288 pp. www.ippc.int/en/core-activities/capacity-development/guides-and-training-materials/contributed-resource-detail/export-manual-program