[PleaseReview document review. Review title: 2021 ICD Draft Specification: Guide Security Procedures (2018-028). Document title: 2021\_ICD\_DraftSpecification\_GuideSecurityProcedures(2018-028)\_EN.docx]

***[1]*Guide for developing phytosanitary security procedures to maintain the phytosanitary integrity of consignments for export"(2018- 028)**

***[2]*Status box**

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| ***[3]***This status box is not an official part of the Guide and will be modified by the IPPC Secretariat |
| ***[4]*Submission number:**  | ***[5]***2018-028 |
| ***[6]*Date of this document** | ***[7]***2021-04-23 |
| ***[8]*Document category**  | ***[9]***IPPC Guide |
| ***[10]*Title** | ***[11]***Guide for developing phytosanitary security procedures to maintain the phytosanitary integrity of consignments for export" |
| ***[12]*Current document stage** | ***[13]***Draft Specification |
| ***[14]*Major stages** | ***[15]***2019-03: CPM-14 (2019) added this topic to List of Implementation and Capacity Development Topics; priority 1***[16]***2020-11: Implementation and Capacity Development Committee (IC) lead identified (Ms Kyu-Ock YIM (KOR))***[17]***2021-04: draft Specification reviewed by the IC |
| ***[18]*Implementation Committee lead** | ***[19]***2020-11: Ms Kyu-Ock YIM |
| ***[20]*IPPC Secretariat lead** | ***[21]***2021-02: Mr Descartes KOUMBA |
| ***[22]*Working Group experts**  | ***[23]*** |
| ***[24]*Notes** | ***[25]*** |

***[26]***

1. ***[27]***Title

***[28]***Guide for developing phytosanitary security procedures to maintain the phytosanitary integrity of consignments for export (2018-028)

***[29]***2. Type of implementation resource

***[30]***IPPC Guide

***[31]***3. Convention articles, ISPMs and CPM recommendations to be addressed by the proposed implementation resource

***[32]***International Plant Protection Convention[[1]](#footnote-1) (Articles IV. 2. (g) and VII. 2 (e) in particular)

***[34]***ISPM 07: Phytosanitary certification system[[2]](#footnote-2)

***[36]***ISPM 12: Phytosanitary certificates[[3]](#footnote-3)

***[38]***ISPM 23: Guidelines for inspection[[4]](#footnote-4)

***[40]***[ISPM 31: Methodologies for sampling of consignments](https://www.ippc.int/en/publications/588/)[[5]](#footnote-5)

# ***[42]***ISPM 32: Categorization of commodities according to their pest risk[[6]](#footnote-6)

***[44]*4. Scope**

***[45]***This IPPC Guide is design to develop phytosanitary security procedures that can be applied to all consignments subject to phytosanitary export certification.

***[46]***5. Purpose

***[47]***To provide guidance for NPPO decision makers on phytosanitary security procedures including required facility and equipment to maintain the phytosanitary integrity and pest free status of consignments of plant products for export. Indeed, maintain phytosanitary integrity of consignments is a requirement of the importing country (ISPM 7).

***[48]***

## ***[49]***The ISPM 5 define phytosanitary security (of a consignment) as the maintenance of the integrity of a consignment and prevention of its infestation and contamination by regulated pests, through the application of appropriate phytosanitary measures.

***[50]***

***[51]***The Guide will contribute to prevent the introduction and spread of pests of plants and plant products by providing guidance for decision making about the effective application of phytosanitary security measures and will strengthen the phytosanitary assurances attested to in phytosanitary certificates.

***[52]***6. Content for the proposed implementation resource

***[53]***Proposed tasks for the working group:

1. ***[54]***Describe responsibilities and obligations of the NPPOs related to export certification, including phytosanitary security of consignments
2. ***[55]***Describe the importance of implementing phytosanitary security procedures to maintain the phytosanitary integrity and pest free status of consignments
3. ***[56]***Describe critical control points in the export chain where phytosanitary security measures can be applied[[7]](#footnote-7) to maintain the integrity and pest free status of a consignment at the moment of certification, such as:
	* ***[58]***transport from the production site to or, between receiving facilities;
	* ***[59]***at the packhouse;
	* ***[60]***at the treatment facility;
	* ***[61]***at the storage facility;
	* ***[62]***at the freight forwarding facility;
	* ***[63]***during loading for export.
4. ***[64]***Provide examples of phytosanitary measures that can be applied e.g., prevention/exclusion, reduction/control and monitoring/verification procedures.
5. ***[65]***Describe actions that can be applied when phytosanitary security has been compromised.
6. ***[66]***Suggest case studies which show how phytosanitary security procedures have been applied for the export certification of plants and plant products.

***[67]*7. References and supporting materials**

* ***[68]*Guidance Document: Developing Phytosanitary Security Procedures**, 2017 (MPI New Zealand)
* ***[69]*Export Certification, IPPC Guide**, Available at: <https://www.ippc.int/en/publications/86042/>
* ***[70]*Operation of a NPPO, IPPC Guide**, Available at: <https://www.ippc.int/en/publications/86039/>
* ***[71]*Plant Export Requirement - MPI Technical Standard: Phytosanitary Inspection**, 2015 (MPI New Zealand): <https://www.mpi.govt.nz/dmsdocument/7968-mpi-technical-standard-phytosanitary-inspection>
* ***[72]*Export Manual Program**, NPPO of USA : <https://www.ippc.int/en/core-activities/capacity-development/guides-and-training-materials/contributed-resource-detail/export-manual-program/>
* ***[73]*Exporting from Australia: Includes quarantine information on the export of plants and plant products from Australia** : <https://www.ippc.int/en/core-activities/capacity-development/guides-and-training-materials/contributed-resource-detail/exporting-australia-includes-quarantine-information-export-plants-and-plant-products/>
* ***[74]*Phytosanitary export certification system, IPPC e-Learning course** (under development in 2021)
* ***[75]*Inspection, IPPC e-Learning course** (under development in 2021)

***[76]*8. Financial and in-kind contributions**

***[77]****Contracting parties are encouraged to identify any financial or in-kind contributions that will be provided to support the development of this guide or to facilitate the translation of the final product.*

***[78]***9. Selection criteria for working group experts

***[79]***A working group should be formed to help develop this Guide and should be composed of experts with relevant technical and practical expertise in the subject matter. The group should take into account geographical representation from both developing and developed countries to ensure that the material developed is globally applicable, as well as gender representation.

***[80]***The following criteria should be used for selecting working group members:

1. ***[81]***Practical expertise and knowledge in one or more of the following areas:
* ***[82]***Inspecting plants and plant products
* ***[83]***Export certification
* ***[84]***Developing or managing phytosanitary export systems.
* ***[85]***Developing training materials or manuals for NPPO inspection staff
1. ***[33]*** <https://www.ippc.int/en/publications/131/> [↑](#footnote-ref-1)
2. ***[35]*** <https://www.ippc.int/en/publications/613/> [↑](#footnote-ref-2)
3. ***[37]*** <https://www.ippc.int/en/publications/609/> [↑](#footnote-ref-3)
4. ***[39]*** <https://www.ippc.int/en/publications/598/> [↑](#footnote-ref-4)
5. ***[41]*** <https://www.ippc.int/en/publications/588/> [↑](#footnote-ref-5)
6. ***[43]*** <https://www.ippc.int/en/publications/587/> [↑](#footnote-ref-6)
7. ***[57]*** It is important to determine if results from growing (field inspection) or storage (bulk inspections or lab tests) are taken into account for the certification. [↑](#footnote-ref-7)