



منظمة الأغذية
والزراعة
للأمم المتحدة

联合国
粮食及
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Food
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Agriculture
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des
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pour
l'alimentation
et
l'agriculture

Organización
de las
Naciones
Unidas
para la
Agricultura
y la
Alimentación

COMMISSION ON PHYTOSANITARY MEASURES

Second Session

Rome, 26 – 30 March 2007

Update of Annex I of the Rules of Procedure of the CPM on Development and Adoption of International Standards

Agenda Item 10.1.2 of the Provisional Agenda

1. The Rules of Procedure of the CPM were adopted at its first session in 2006. Rule X on "Development and adoption of international standards" states that "*The procedures for the development and adoption of international standards are set out in the Annex I to these Rules and shall form an integral part thereof*". CPM-1 (2006) decided that Annex I should be updated and presented to CPM-2 for adoption.
2. Annex I of the Rules of Procedure of ICPM was adopted by ICPM-2 in 1999 as "Outline of procedures for elaboration of international standards for phytosanitary measures". In subsequent years, the standard setting process greatly evolved. Many detailed procedures on various steps in the standard setting process were adopted by the ICPM/CPM and decisions were made which had consequences on the standard setting process.
3. Revision of Annex I started in 2004. A draft was presented to ICPM-7 (2005) for approval, but was sent back to the Standards Committee with comments. No further progress was made before entry into force of the 1997 amendments to the IPPC and the establishment of the CPM. In revising Annex I for CPM-2, the 2005 draft revision and comments, as well as all ICPM decisions relating to standard setting, were taken into account. The proposed Annex I was reviewed and modified by the Informal Working Group on Strategic Planning and Technical Assistance (SPTA) in October 2006 and by the Standards Committee in November 2006.
4. The following points are noted:
 - The approach followed was to provide a simple overview of the standard setting process, and not to try to integrate all detailed procedures within each step.

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- The proposed annex contains a general reference to the IPPC procedural manual, but not references to individual procedures or decisions, which would all be included in the Procedural Manual under the same headings as proposed in this revised Annex I.
 - The regular standard-setting process and fast-track standard setting process are described in parallel.
 - The revision attempted to clarify the process where it was found to be inaccurate or confusing, to present the steps clearly and simply, and to align the procedure with actual common practices.
5. The standard setting process has been divided into four stages:
- Stage 1. Developing the work programme: from the call for topics to the adoption of the IPPC standard setting work programme. This first stage is aligned with the proposed "Procedure and criteria for identifying topics for inclusion in the IPPC standard setting work programme", proposed for adoption under agenda item 9.5. This procedure and Annex I are currently aligned and consistent; if either is modified by the CPM, care should be taken to ensure they remain aligned.
 - Stage 2. Drafting: from preparation of a specification to Standards Committee declaring the draft ready for country consultation.
 - Stage 3. Member consultation: from sending for consultation to the Standards Committee finalizing a text for CPM.
 - Stage 4. Adoption and publication: from adoption by CPM to publication by the IPPC Secretariat.
6. The CPM is invited to:
- 1. *Consider* and *adopt* the revised Annex I to the Rules of Procedures of the CPM.

ANNEX I TO THE RULES OF PROCEDURE OF THE CPM IPPC STANDARD SETTING PROCEDURE

The process for the development of international standards for phytosanitary measures (ISPMs) is divided into four stages:

- developing the IPPC standard setting work programme,
- drafting,
- member consultation,
- adoption and publication.

Detailed procedures and relevant ICPM/CPM decisions on many aspects of the standard setting process can be found in the IPPC Procedural Manual which is updated annually.

The process for the development of international standards is conducted under general considerations regarding:

- transparency (as part of Appendix VII of ICPM-2 (1999) and Appendix IX of ICPM-6 (2004))
- financial considerations (as part of Appendix VII of ICPM-2 (1999) and Appendix XI of ICPM-4 (2002))
- role of Regional Plant Protection Organizations (as part of Appendix VII of ICPM-2 (1999) and Appendix XIX of ICPM-7 (2005)).

Stage 1: Developing the IPPC standard setting work programme

Step 1: Call for topics

A call for topics is made by the IPPC Secretariat every two years. Detailed proposals for new topics or for the revision of existing ISPMs are submitted to the IPPC Secretariat.

Step 2: Adjustment and adoption of the IPPC standard setting work programme

The CPM adjusts and adopts the IPPC standard setting work programme, taking account of the strategic priorities identified by the Informal Working Group on Strategic Planning and Technical Assistance and the revised work programme proposed by the Standards Committee.

Stage 2: Drafting

Step 3: Development of a specification

For each topic or technical panel, the Standards Committee appoints a steward, who, in collaboration with the Secretariat, drafts a specification.

The draft specification is reviewed by the Standards Committee and then made available on the International Phytosanitary Portal (IPP) for a 60 day consultation period. Comments received by the IPPC Secretariat are compiled and submitted to the steward and Standards Committee for consideration. The specification is amended as necessary, approved by the Standards Committee and published on the IPP.

Step 4: Preparation of a draft ISPM¹

The standard is drafted or revised by an expert drafting group (expert working group or technical panel) in accordance with the relevant specification.

¹ This procedure refers to "draft ISPMs" and "standards" to simplify wording, but also applies to any part of an ISPM, including annexes, appendices or supplements.

<p>Regular process: The resulting draft standard is submitted to the Standards Committee or Standards Committee Working Group at a meeting for review.</p> <p>The Standards Committee decides whether to send it for member consultation, or to return it to the steward or to an expert drafting group, or to put it on hold.</p>	<p>Fast-track process: The resulting draft standard is submitted to the Standards Committee at any time by e-mail.</p> <p>The Standards Committee decides by e-mail whether to send it for member consultation, or to return it to the steward or to an expert drafting group, or to put it on hold, or to place it on the Standards Committee agenda for discussion.</p>
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Stage 3: Member consultation

Step 5: Member consultation

The draft standard is sent by the IPPC Secretariat to National Plant Protection Organizations (NPPOs), Regional Plant Protection Organizations (RPPOs) and international organizations for consultation. The length of the consultation period is 100 days.

<p>Regular process: The draft standard is sent for member consultation following approval at the Standards Committee or Standards Committee Working Group meeting.</p> <p>Comment is by written submission to the Secretariat following guidelines.</p> <p>Comments are compiled by the Secretariat and submitted to the steward, the Standards Committee Working Group and the Standards Committee for consideration.</p>	<p>Fast-track process: The draft standard is sent for member consultation following clearance by the Standards Committee, i.e. at any time, in appropriate FAO languages.</p>
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Comments are posted on the IPP.

Step 6: Review of the draft ISPM prior to CPM

<p>Regular process: The draft standard is revised by the Standards Committee and/or Standards Committee Working Group taking comments into account.</p> <p>The Standards Committee decides whether to forward the modified draft to the CPM for adoption, or to put it on hold, return it to the steward or to an expert drafting group, or submit it for another round of member consultation.</p>	<p>Fast-track process: If no formal objections² are received, the draft standard is submitted to the CPM for adoption without discussion.</p> <p>If one or more formal objections are received, the Secretariat tries to resolve the issue(s) with the country(ies) concerned.</p> <p>If these issues are resolved without change to the draft text, the draft standard is submitted to the CPM for adoption without discussion.</p>
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² A formal objection should be a technically supported objection to the adoption of the draft standard in its current form, sent through the official contact point (IPPC contact point or if not available, FAO contact point). The Secretariat would not make any judgement about the validity of the objection – an objection with some technical discussion of the issue would be accepted as a formal objection.

	<p>If these issues are not resolved, the draft is submitted to the Standards Committee and/or Standards Committee Working Group. The Standards Committee and/or Standards Committee Working Group examines the comments and modifies the draft standard if needed in consultation with the relevant technical panel.</p> <p>The Standards Committee decides whether to forward the modified draft standard to the CPM for adoption or to return it to the technical panel.</p>
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If members have concerns regarding their comments, they may contact Standards Committee members in their region to obtain a specific response to their comment.

Stage 4: Adoption and publication

Step 7: Adoption

<p>Regular process: The draft standard is included on the agenda of the CPM for discussion and adoption.</p> <p>Comments on standards at CPM are sent at least 14 days before the meeting.</p>	<p>Fast track process: The draft standard is included on the agenda of the CPM:</p> <ul style="list-style-type: none"> - for adoption without discussion if no formal objections were received, or if objections were resolved by the Secretariat with countries. - for discussion and adoption if objections were discussed by the Standards Committee.
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The ISPM is established through formal adoption by the CPM according to Rule X of the Rules of Procedure of the CPM.

The principle of adoption in CPM without discussion is approved, with the understanding that this principle would not limit the right of countries to make comments or intervene with comments.

Step 8: Publication

The ISPM is appended to the report of the CPM and published by the IPPC Secretariat, including on the IPP.